Express Mail No. EV054299795US Date of Deposit: May 3, 2002

MAN 0 3 JOHN SEED

04-06-01/214 Rec'd PCT/PTE

Attorn

ket No: 19374-<del>5</del>01

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:

Farmer et al.

ASSIGNEE:

Ganeden BioTech, Inc.

SERIAL NUMBER:

09/509,159

EXAMINER:

Not yet assigned

FILING DATE:

March 22, 2000

ART UNIT:

Not yet assigned

FOR:

TOPICAL USE OF PROBIOTIC BACILLUS SPORES TO PREVENT OR

CONTROL MICROBIAL INFECTIONS

May 3, 2002

Boston, Massachusetts

Commissioner for Patents Washington, D.C. 20031

#### TRANSMITTAL LETTER

Transmitted herewith for filing in the present application are the following documents:

Petition to Withdraw Holding of Abandonment (2 pgs.);

Appendix A – Docket report for August 30, 2000 (26 pgs.);

Appendix B – Docket report for all actions relating to Patent Application Serial No.

09/509,159 (75 pgs.);

Appendix C - Statement/Affidavit of Facts in Support of Petition (2 pgs.);

Response to Decision on Petition (1 pg.);

Check # 12615 for \$130.00; and

Return Postcard.

If the enclosed papers are considered incomplete, the Mail Room is respectfully requested to contact the undersigned collect at (617) 542-6000, Boston, Massachusetts.

RECEIVED

MAY 0 8 2002

OFFICE OF PETITIONS

APPLICANTS: U.S.S.N.:

Farmer et al. 09/509,159

The Commissioner is hereby authorized to charge any additional fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311 (Reference No. 19374-501). A duplicate copy of this Transmittal Letter is enclosed.

Respectfully submitted,

30623

PATENT TRADEMARK OFFICE

Ingrid A. Beattie) and Reg. No.42,306

Attorney(s) for Applicants

MINTZ, LEVIN, COHN, FERRIS, c/o GLOVSKY & POPEO, P.C.

One Financial Center

Boston, Massachusetts 02111

Tel: (617) 542-6000 Fax: (617) 542-2241

Dated: May 3, 2002

TRA 1645887v1

RECEIVED

MAY Q 8 2002

OFFICE OF PETITIONS

Express Mail No. EV05429979 Date of Deposit: May 3, 2002

cket No: 19374-501

#### IN THE UNITED ST T AND TRADEMARK OFFICE

APPLICANTS:

Farmer et al.

ASSIGNEE:

Ganeden BioTech, Inc.

SERIAL NUMBER:

09/509,159

EXAMINER:

Not yet assigned

FILING DATE:

March 22, 2000

ART UNIT:

Not yet assigned

FOR:

TOPICAL USE OF PROBIOTIC BACILLUS SPORES TO PREVENT OR

CONTROL MICROBIAL INFECTIONS

May 3, 2002

Boston, Massachusetts

Commissioner for Patents Washington, D.C. 20031

### PETITION TO WITHDRAW HOLDING OF ABANDONMENT DECISION ON PETITION UNDER 37 CFR 1.137(b) AND 37 CFR 1.47(a) NOT RECEIVED

- 1. I hereby petition to withdraw the holding of abandonment in this case, on the basis that the Decision forming the basis of the abandonment was not received. RECEIVED
- 2. I hereby state:

MAY 0 8 2002

OFFICE OF PETITIONS

- (a) The Decision indicated, as mailed on June 30, 2000, was not received.
- (b) A search of the file jacket and the docket records in my office indicates that this Decision was not received.

Renewed Petition Under 37 CFR 1.47(a) is enclosed herewith.

- I attach a copy of the docket record where the non-received Decision would have been entered had it been received and docketed. (Appendix A)
- As additional evidence of non-receipt of the decision, I also attach: 4.

$\sqcup$	Copies of records that would disclose the receipt of other correspondence mailed
from	the PTO on or about there mail date of the non-received Decision that fail to disclose
the re	ceipt of the Decision mailed on that date.

 $\bowtie$ Copies of records on which the Decision would have been entered had it been received. (Docket of all Actions due for Attorney Reference 19374-501 – Appendix B)

Statements from the person(s) who would have handled the Decision had it been received.(Statement from Ingrid A. Beattie - Appendix C)

Other:

APPLICANTS: U.S.S.N.:

Farmer et al.

- 09/509,159
- In consideration of these submissions, it is respectfully requested that the holding of abandonment 5. be withdrawn.
- The petition fee (37 C.F.R. § 1.17(h)-\$ 130.00) is paid as follows: 6.
  - $\boxtimes$ enclosed check in the amount of \$130.00.
- Commissioner is hereby authorized to charge any fee or any fee deficiency occasioned by this  $\boxtimes$ document to Deposit Account No. 50-0311, Attorney Reference No. 19374-501.

Respectfully submitted,

Ingrid A. Beattie) and Reg. No.42,306

Attorney(s) for Applicants MINTZ, LEVIN c/o

One Financial Center

Boston, Massachusetts 02111

Tel: (617) 542-6000 Fax: (617) 542-2241

Dated: May 3, 2002

TRA 1628578v1



CaseNumber	ApplNumber	ActionDue	DueDate
18105-017	030113	Req for Exam Due in 3Y	30-Aug-2000
17549-053	I-1998-00247	Req for Exam Due in 1M	30-Aug-2000
15966-516	09/189618	DEADLINE TO RESTRICT OR ELECT	30-Aug-2000
18082-001	09/266014	Resp to 3M O.A. Due 2nd Ext	30-Aug-2000
19529-028	08/989874	Response Due w/ 2nd Extension	30-Aug-2000
00960-505	25823/97	In Ordr for Acpt DUE 1M BY EXT	30-Aug-2000
15966-572 PR	60/156745	Convert from Provisional? 11M	30-Aug-2000
15966-572 PR	60/156745	Foreign 11M Reminder	30-Aug-2000
19529-032	09/408841	Foreign 11M Reminder	30-Aug-2000
19546-011	US99/31018	Art 19 Amns DUE	30-Aug-2000
19546-011	US99/31018	Cite Art to USPTO Due in 1M	30-Aug-2000
20354-055	95197037.2	Instructions to Associate	30-Aug-2000
17549-016	9906603-7	Grant Fees Due in 4M	30-Aug-2000
17556-053	09/490994	Missing Parts Due w/ 3rd Ext	30-Aug-2000
15966-547 PR	US00/10076	Srch Rpt Rec'd from ISA? 16.5M	30-Aug-2000
00960-501	US00/11501	Priority Docs Due at IB 16M	30-Aug-2000
00960-501	US00/11501	Resp to Inv to Correct Pri DUE	30-Aug-2000
07473-030	09/563630	Missing Parts Due	30-Aug-2000
21508-022	US98/08716	ChII 28M Reminder	30-Aug-2000
21127-503	US00/14674	Rsp to Invi to Correct BY EXT	30-Aug-2000
19537-014	09/608409	Filing Receipt Rec'd?	30-Aug-2000
22058-513 PR	US00/09256	Srch Rpt Rec'd from ISA? 16.5M	30-Aug-2000
21603-032	09/409509	Foreign 11M Reminder	30-Aug-2000
23632-003	09/580546	Information Disclosure Stmnt	30-Aug-2000
23632-003	09/580546	Filing Receipt Rec'd?	30-Aug-2000

DETAILED QUERY OF All ITEMS DUE AUG 30, 2000 IN "CPI" Docketing System.



12-Feb-2002

SubCase:

**Docket Number:** 18105-017

Country: TH

Yes Serial #: 030113

Thailand

Filing Date: 16-Feb-1996

Status: Published

Action Type: Deferred Examination

Action Base Date: 30-Aug-1998

Response sent date:

<del> </del>
May-1955
Sep-1999

User ID: nir

Date Created: 02-Nov-1998

Last Update: 02-Nov-1998

12-Feb-2002

**Docket Number:** 00960-505

SubCase:

No Serial #: 25823/97

Country: AU Australia

Filing Date: 21-Mar-1997

Status: Trnsferd

Action Type: AU Acceptance

Action Base Date: 30-Dec-1998

Response sent date: 21-Jul-2000

Action(s) Due	Due Date	Indicator	Taken
In Ordr for Acpt DUE	30-Jan-1999	Due Date	05-May-1955
In Ordr for Acpt Due 1M	30-Nov-1999	Due Date	05-May-1955
In Ordr for Acpt DUE 1M BY EXT	30-Aug-2000	Due Date	21-Jul-2000
IN ORDR FOR ACPT DUE BY EXT	30-Sep-2000	Final	21-Jul-2000

#### Remarks:

assoc rmdr w/file to HAE 7/11; instructions sent to assoc 7/21

User ID: NIU

Date Created: 21-Jun-1999

Last Update: 28-Jul-2000

12-Feb-2002

Docket Number: 15966-572 PRO

SubCase:

Yes Serial #: 60/156745

6745 Country: US

United States of America

Filing Date: 30-Sep-1999

Status: Abandoned

Action Type: Conversion

Action Base Date: 30-Sep-1999

Response sent date:

Action(s) Due	<b>Due Date</b>	Indicator	Taken	
CONVERSION DEADLINE 12M	30-Sep-2000	Final	29-Sep-2000	
Convert from Provisional? 10M	30-Jul-2000	Due Date	08-Aug-2000	•
Convert from Provisional? 11M	30-Aug-2000	Due Date	29-Sep-2000	
Provisional 8M Letter	30-May-2000	Due Date	05-May-1955	

User ID: RRT

Date Created: 05-Oct-1999

Last Update: 12-Jan-2001

12-Feb-2002

Docket Number: 15966-572 PRO

SubCase:

Yes Serial #: 60/156745

Country: US

United States of America

Filing Date: 30-Sep-1999

Status: Abandoned

Action Type: Foreign Filing

Action Base Date: 30-Sep-1999

Response sent date: 29-Sep-2000

Action(s) Due	<b>Due Date</b>	Indicator	Taken
Foreign 10M Reminder	30-Jul-2000	Due Date	08-Aug-2000
Foreign 11M Reminder	30-Aug-2000	Due Date	29-Sep-2000
FOREIGN 12M DEADLINE	30-Sep-2000	Final	29-Sep-2000
Foreign 8M Letter	30-May-2000	Due Date	05-May-1955

User ID: bac

Date Created: 05-Oct-1999

Last Update: 03-Oct-2000

12-Feb-2002

**Docket Number:** 19529-032

SubCase:

Yes Serial #: 09/408841

Country: US

United States of America

Filing Date: 30-Sep-1999

Status: Trnsferd

Action Type: Foreign Filing

Action Base Date: 30-Sep-1999

Response sent date:

Action(s) Due	<b>Due Date</b>	Indicator	Taken
Foreign 10M Reminder	30-Jul-2000	Due Date	29-Mar-2000
Foreign 11M Reminder	30-Aug-2000	Due Date	29-Mar-2000
FOREIGN 12M DEADLINE	30-Sep-2000	Final	29-Mar-2000
Foreign 8M Letter	30-May-2000	Due Date	29-Mar-2000

#### Remarks:

19504-016 WO claims priority from this application.

User ID: vdaly

Date Created: 25-Oct-1999

Last Update: 30-Mar-2000

12-Feb-2002

SubCase:

**Docket Number:** 17549-016

Yes Serial #: 9906603-7

Country: SG Singapore

Filing Date: 26-Jun-1998

Status: Pending

Action Type: Grant

Action Base Date: 30-Jun-1997

Response sent date: 05-May-195

Action(s) Due	<b>Due Date</b>	Indicator	Taken
GRANT FEES DUE	30-Dec-2000	Final	05-May-1955
Grant Fees Due in 1M	30-Nov-2000	Due Date	05-May-1955
Grant Fees Due in 1Y	30-Dec-1999	Due Date	05-May-1955
Grant Fees Due in 2M	30-Oct-2000	Due Date	05-May-1955
Grant Fees Due in 4M	30-Aug-2000	Due Date	05-May-1955
Grant Fees Due in 6M	30-Jun-2000	Due Date	05-May-1955

User ID: NIU

Date Created: 12-Sep-2000

Last Update: 12-Sep-2000

12-Feb-2002

**Docket Number: 15966-516** 

SubCase:

No Serial #: 09/189618

Country: US

United States of America

Filing Date: 09-Nov-1998

Status: Trnsferd

Action Type: US Restriction

Action Base Date: 30-Mar-2000

Response sent date: 10-May-200

Action(s) Due	<b>Due Date</b>	Indicator	Taken
DEADLINE TO RESTRICT OR ELE	30-Aug-2000	Final	10-May-2000
Due Date - Election	30-Apr-2000	Due Date	05-May-1955
Restrict or Elect Due in 2Wks	16-Aug-2000	Due Date	10-May-2000
Restrict or Elect w/ 1st Ext	30-May-2000	Due Date	10-May-2000
Restrict or Elect w/ 2nd Ext	30-Jun-2000	Due Date	10-May-2000
Restrict or Elect w/ 3rd Ext	30-Jul-2000	Due Date	10-May-2000

User ID: bac

Date Created: 03-Apr-2000

Last Update: 10-May-2000

12-Feb-2002

Docket Number: 18082-001

SubCase:

No Serial #: 09/266014

Country: US

United States of America

Filing Date: 11-Mar-1999

Status: Trnsferd

Action Type: US 3 Month Office Action

Action Base Date: 30-Mar-2000

Response sent date: 29-Sep-2000

Action(s) Due	<b>Due Date</b>	Indicator	Taken
DEADLINE TO RESP TO 3M O.A.	30-Sep-2000	Final	29-Sep-2000
Resp to 3M O.A. Due	30-Jun-2000	Due Date	29-Sep-2000
Resp to 3M O.A. Due 1st Ext	30-Jul-2000	Due Date	29-Sep-2000
Resp to 3M O.A. Due 2nd Ext	30-Aug-2000	Due Date	29-Sep-2000
Resp to 3M O.A. Due in 1M	30-May-2000	Due Date	29-Sep-2000
Resp to 3M O.A. Due in 2Wks	16-Sep-2000	Due Date	29-Sep-2000

User ID: NIU

Date Created: 03-Apr-2000

Last Update: 04-Oct-2000

# Actions Due 12-Feb-2002

**Docket Number:** 17556-053

SubCase:

No Serial #: 09/490994 Country: US United States of America

Filing Date: 25-Jan-2000 Status: Pending

Action Type: US Missing Parts

Action Base Date: 30-Mar-2000 Response sent date: 22-May-200

Action(s) Due	<b>Due Date</b>	Indicator	Taken
Missing Parts Due	30-May-2000	Due Date	22-May-2000
Missing Parts Due in 2Wks	16-Sep-2000	Due Date	22-May-2000
Missing Parts Due w/ 1st Ext	30-Jun-2000	Due Date	22-May-2000
Missing Parts Due w/ 2nd Ext	30-Jul-2000	Due Date	22-May-2000
Missing Parts Due w/ 3rd Ext	30-Aug-2000	Due Date	22-May-2000
MISSING PARTS DUE w/ FINAL E	30-Sep-2000	Final	22-May-2000

User ID: bac

**Date Created:** 18-Apr-2000 **Last Update:** 24-May-2000

12-Feb-2002

**Docket Number: 17549-053** 

SubCase:

Yes Serial #: I-1998-00247

Country: PH Philippines

Filing Date: 05-Feb-1998

Status: Published

Action Type: Req for Exam Due in 1M

Action Base Date: 30-Mar-2000

Response sent date: 05-May-195

Action(s) Due

**Due Date** 

Indicator Taken

Req for Exam Due in 1M

30-Aug-2000

Due Date

05-May-1955

#### Remarks:

ltr to cl 6/21 requesting instructions; rmdr to TMS 9/25; instructions to req exam faxed to assoc 9/25

User ID: NIU

Date Created: 24-Apr-2000

Last Update: 04-Oct-2000

12-Feb-2002

Docket Number: 15966-547 PRO

SubCase:

Yes Serial #: US00/10076

Country: WO

Patent Cooperation Treaty

Filing Date: 14-Apr-2000

Status: Published

Action Type: Srch Rpt Rec'd from ISA? 16.5M

Action Base Date: 15-Apr-1999

Response sent date: 05-May-195

Action(s) Due

**Due Date** 

Indicator

Taken

Srch Rpt Rec'd from ISA? 16.5M

30-Aug-2000

Due Date

16-Jan-2002

User ID: JAB

Date Created: 09-Nov-2000

Last Update: 07-Oct-2001

Actions Due 12-Feb-2002

Docket Number: 00960-501

SubCase:

Yes Serial #: US00/11501 Country: WO Patent Cooperation Treaty

Filing Date: 28-Apr-2000 Status: Trnsferd

Action Type: Priority Docs Due at IB 16M

Action Base Date: 30-Apr-1999

Response sent date:

Action(s) Due Due Date Indicator Taken

Priority Docs Due at IB 16M 30-Aug-2000 Due Date 16-Jun-2000

User ID: NIU

**Date Created:** 20-Nov-2000

Last Update: 20-Nov-2000

## Actions Due 12-Feb-2002

**Docket Number:** 21508-022

SubCase:

Yes Serial #: US98/08716

Country: WO Patent Cooperation Treaty

Filing Date: 30-Apr-1998

Status: National

Action Type: ChII Decision

Action Base Date: 30-Apr-1998

Response sent date:

Action(s) Due	<b>Due Date</b>	Indicator	Taken
ChII 27M Ltr to Client	30-Jul-2000		15-Aug-2000
ChII 28M Reminder	30-Aug-2000		06-Oct-2000
ChII 29M Reminder	30-Sep-2000		13-Oct-2000
CHII NAT'L PHASE DEADLINE 30	30-Oct-2000	Final	30-Oct-2000
If US designated, file US NATL	30-Oct-2000	Final	30-Oct-2000

#### Remarks:

PCT is first filing, no priority claimed. Desig EP, CA, JP & US. 27M ltr w/est to cl 8/15 (IAB), w/92bis. Is there an active US case? Rmdr to IAB/IRE 10/6, 10/13. Per cl 10/23, no JP filing -- maybe CA, EP -- instructions rec'd to file in US. NO NAT'L FILING PER CLIENT 11/30/00

User ID: mf

Date Created: 12-May-2000 Last Update: 01-Dec-2000

12-Feb-2002

**Docket Number:** 19529-028

SubCase:

No Serial #: 08/989874

Country: US

United States of America

Filing Date: 12-Dec-1997

Status: Trnsferd

Action Type: US Communication from Examiner

Action Base Date: 30-May-2000

Response sent date: 05-May-195

Action(s) Due	Due Date	Indicator	Taken	
Response Due	30-Jun-2000	Due Date	05-May-1955	
Response DUE	30-Nov-2000	Final	05-May-1955	
Response Due in 2Wks	16-Jun-2000	Reminder	05-May-1955	
Response Due w/ 1st Extension	30-Jul-2000	Reminder	05-May-1955	
Response Due w/ 2nd Extension	30-Aug-2000	Reminder	05-May-1955	
Response Due w/ 3rd Extension	30-Sep-2000	Reminder	05-May-1955	
Response Due w/ 4th Extension	30-Oct-2000	Reminder	05-May-1955	

#### Remarks:

Re 05/30/00 communication re fee for IDS. No action per BSR 06/06/00

User ID: vdaly

Date Created: 01-Jun-2000

Last Update: 09-Jun-2000

12-Feb-2002

**Docket Number: 20354-055** 

SubCase:

No Serial #: 95197037.2

Country: CN China

Filing Date: 07-Dec-1995

Status: Granted

Action Type: CN Office Action

Action Base Date: 01-May-2000

Response sent date: 28-Aug-200

Action(s) Due	<b>Due Date</b>	Indicator	Taken
Instructions to Associate	30-Aug-2000	Due Date	28-Aug-2000
Resp to Office Action By Ext	01-Oct-2000	Due Date	28-Aug-2000
Resp to Office Action Due	01-Sep-2000	Due Date	28-Aug-2000
Resp to Office Action DUE	01-Nov-2000	Final	28-Aug-2000
Resp to Office Action Due 1M	01-Aug-2000	Reminder	28-Aug-2000

#### Remarks:

1st O.A. due 9/1/00; file w/assoc ltr & Office Action to AJM 6/12; ltr to cl req ins sent 7/25

User ID: bac

Date Created: 05-Jun-2000 Last Update: 28-Aug-2000

12-Feb-2002

**Docket Number: 00960-501** 

SubCase:

No Serial #: US00/11501 Country: WO Patent Cooperation Treaty

Filing Date: 28-Apr-2000 Status: Trnsferd

Action Type: PCT Inv to Correct Pri Claim

Action Base Date: 30-Apr-1999

Response sent date: 07-Aug-200

Action(s) Due	Due Date	Indicator	Taken
Resp to Inv to Corr Pri Due 1M	30-Jul-2000	Reminder	07-Aug-2000
Resp to Inv to Correct Pri DUE	30-Aug-2000	Due Date	07-Aug-2000

#### Remarks:

\*09/561,171, filed April 27, 2000 - response filed 8/7

User ID: NIU

**Date Created:** 07-Jun-2000 **Last Update:** 08-Aug-2000

12-Feb-2002

**Docket Number:** 19546-011

SubCase:

No Serial #: US99/31018

Patent Cooperation Treaty Country: WO

Filing Date: 24-Dec-1999

Status: Published

Action Type: PCT Search Report

Action Base Date: 30-Jun-2000

Response sent date: 05-May-195

Action(s) Due	<b>Due Date</b>	Indicator	Taken		
Art 19 Amns DUE	30-Aug-2000	Due Date	05-May-1955		
Art 19 Amns Due in 1M	30-Jul-2000	Reminder	05-May-1955		

#### Remarks:

Ltr to cl 7/11. Srch Rpt to DBB 7/11. FILE NOT IN FILE ROOM. CH II Demand due 5/1/01. No Art. 19 Amends. Per DBB.

User ID: bac

Date Created: 06-Jul-2000

Last Update: 05-Sep-2000

12-Feb-2002

**Docket Number:** 19546-011

SubCase:

No Serial #: US99/31018

Country: WO Patent Cooperation Treaty

Filing Date: 24-Dec-1999

Status: Published

Action Type: Foreign Prior Art

Action Base Date: 30-Jun-2000

Response sent date: 23-Aug-200

Action(s) Due	<b>Due Date</b>	Indicator	Taken
Cite Art to USPTO DUE	30-Sep-2000	Due Date	23-Aug-2000
Cite Art to USPTO Due in 1M	30-Aug-2000	Reminder	23-Aug-2000

#### Remarks:

Ltr to cl 7/11. Srch Rpt to DBB 7/11. FILE NOT IN FILE ROOM.

User ID: NIU

Date Created: 06-Jul-2000

Last Update: 25-Aug-2000

12-Feb-2002

**Docket Number:** 19537-014

SubCase:

Yes Serial #: 09/608409

Country: US

United States of America

Filing Date: 30-Jun-2000

Status: Trnsferd

Action Type: Filing Receipt Rec'd?

Action Base Date: 30-Jun-2000

Response sent date:

Action(s) Due

**Due Date** 

Indicator

Taken

Filing Receipt Rec'd?

30-Aug-2000

Due Date

User ID: vdaly

Date Created: 07-Jul-2000

Last Update: 07-Jul-2000

12-Feb-2002

Docket Number: 07473-030

SubCase:

No Serial #: 09/563630

Country: US

United States of America

Filing Date: 02-May-2000

Status: Pending

Action Type: US Missing Parts

Action Base Date: 30-Jun-2000

Response sent date: 24-Aug-200

Action(s) Due	<b>Due Date</b>	Indicator	Taken		
Missing Parts Due	30-Aug-2000	Due Date	24-Aug-2000		
Missing Parts Due in 2Wks	16-Dec-2000	Due Date	24-Aug-2000		
Missing Parts Due w/ 1st Ext	30-Sep-2000	Due Date	24-Aug-2000		
Missing Parts Due w/ 2nd Ext	30-Oct-2000	Due Date	24-Aug-2000		
Missing Parts Due w/ 3rd Ext	30-Nov-2000	Due Date	24-Aug-2000		
MISSING PARTS DUE w/ FINAL E	30-Dec-2000	Final	24-Aug-2000		

User ID: vdaly

Date Created: 10-Jul-2000

Last Update: 25-Aug-2000

12-Feb-2002

Docket Number: 21127-503

SubCase:

No Serial #: US00/14674

Country: WO Patent Cooperation Treaty

Filing Date: 25-May-2000

Status: Published

Action Type: PCT Invi to Correct Ext

Action Base Date: 30-Jun-2000

Response sent date: 15-Sep-2000

Action(s) Due	<b>Due Date</b>	Indicator	Taken
Rsp to Invi to Correct BY EXT	30-Aug-2000	Due Date	05-May-1955
Rsp to Invi to Correct BY EXT	30-Sep-2000	Due Date	15-Sep-2000

User ID: bac

Date Created: 27-Jul-2000

Last Update: 15-Sep-2000

12-Feb-2002

Docket Number: 22058-513 PRO

SubCase:

Yes Serial #: US00/09256

Country: WO Patent Cooperation Treaty

Filing Date: 07-Apr-2000

Status: Pending

Action Type: Srch Rpt Rec'd from ISA? 16.5M

Action Base Date: 15-Apr-1999

Response sent date:

Action(s) Due

**Due Date** 

Indicator

Taken

Srch Rpt Rec'd from ISA? 16.5M

30-Aug-2000

Due Date

05-May-1955

User ID: vmc

Date Created: 28-Nov-2000

Last Update: 28-Nov-2000

12-Feb-2002

**Docket Number: 21603-032** 

SubCase:

Yes Serial #: 09/409509

Country: US

United States of America

Filing Date: 30-Sep-1999

Status: Granted

Action Type: Foreign Filing

Action Base Date: 30-Sep-1999

Response sent date: 05-May-195

Action(s) Due	<b>Due Date</b>	Indicator	Taken		
Foreign 10M Reminder	30-Jul-2000	Due Date	05-May-1955		
Foreign 11M Reminder	30-Aug-2000	Due Date	05-May-1955		
FOREIGN 12M DEADLINE	30-Sep-2000	Final	05-May-1955		
Foreign 8M Letter	30-May-2000	Due Date	05-May-1955		

User ID: NIU

Date Created: 04-May-2001

Last Update: 04-May-2001

12-Feb-2002

**Docket Number: 23632-003** 

SubCase:

Yes Serial #: 09/580546

Country: US

United States of America

Filing Date: 30-May-2000

Status: Pending

Action Type: Filing Receipt Rec'd?

Action Base Date: 30-May-2000

Response sent date:

Action(s) Due

Filing Receipt Rec'd?

**Due Date** 

Taken Indicator

Due Date

30-Aug-2000

01-May-2001

User ID: KB

Date Created: 08-Jun-2001

Last Update: 08-Jun-2001

12-Feb-2002

**Docket Number: 23632-003** 

SubCase:

Yes Serial #: 09/580546

30546 Country: US

United States of America

Filing Date: 30-May-2000

Status: Pending

Action Type: Information Disclosure Stmnt

Action Base Date: 30-May-2000

Response sent date:

Action(s) Due

Due Date

Indicator Taken

Information Disclosure Stmnt

30-Aug-2000

Due Date

30-Aug-2000

User ID: KB

Date Created: 08-Jun-2001

Last Update: 08-Jun-2001

APPENDIX

Monday, February 11, 2002

## **Docket Check Report**

Case Number/

Country/Sub Case Status Filing Date/ Application

**Patent Number** 

Issue Date

**Inventors** 

Farmer, Sean

19374-501 NATL

Pending

10-Apr-1998

US 09/509159

Title: TOPICAL USE OF BACILLUS COAGULANS SPORES TO PREVENT OR CONTROL

MICROBIAL INFECTIONS

Due Date/ Final Date	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	A	ttorne	y
10-Oct-2000 10-Oct-2000	Status Check Due Date	10-Apr-1998	Foreign Filing	05-May-1955	IRE	IAB	GJS DLM
10-Dec-2000 10-Dec-2000	3 1	d? 10-Apr-1998	Status Chk/Filing Recpt Rec'd?		IRE	IAB	GJS - DLM

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Status

Filing Date/ Application

**Patent Number** 

**Issue Date** 

**Inventors** 

19374-501 PRO

Pending

10-Apr-1998

Farmer, Sean

AU

71102/98

Title: TOPICAL USE OF BACILLUS COAGULANS SPORES TO PREVENT OR CONTROL MICROBIAL INFECTIONS

Due Date/ Final Date	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	A	ttorne	у .
10-Apr-1999 10-Apr-1999	Req for Exam Due in 4Y Due Date	10-Apr-1998	Deferred Examination	05-May-1955	IRE	IAB	GJS DLM
11-Mar-2000 11-Mar-2000	Respond to Associate Due Date	11-Oct-1999	Associate Letter	20-Mar-2000	IRE	IAB	GJS DLM
08-May-2000 08-Jun-2000	Exam by Direction Due 1M Due Date	08-Dec-1999	AU Req Exam By Direction	12-May-2000	IRE	IAB	GJS DLM
08-Jun-2000 08-Jun-2000	EXAM BY DIRECTION DUE Final	08-Dec-1999	AU Req Exam By Direction	12-May-2000	IRE	IAB	GJS DLM
27-Oct-2001 27-Aug-2002	In Ordr for Acpt Due 1M Due Date	27-Nov-2000	AU Acceptance		IRE	IAB	GJS DLM
27-Nov-2001 27-Aug-2002	In Ordr for Acpt DUE Due Date	27-Nov-2000	AU Acceptance		IRE	IAB	GJS DLM
27-Jul-2002 27-Aug-2002	In Ordr for Acpt DUE 1M BY Due Date	27-Nov-2000	AU Acceptance		IRE	IAB	GJS DLM
27-Aug-2002 27-Aug-2002	IN ORDR FOR ACPT DUE B Final	27-Nov-2000	AU Acceptance		IRE	IAB	GJS DLM

# **Docket Check Report**

**Patent Number** 

Page: 3

Case Number/ Country/Sub Case

Filing Date/ Status Application



19374-501 PRO

Pending

10-Apr-1998

**Issue Date** 

**Inventors** Farmer, Sean

CA

2287451

Title: TOPICAL USE OF BACILLUS COAGULANS SPORES TO PREVENT OR CONTROL

MICROBIAL INFECTIONS

Remarks: Assignment registered Feb 6, 2001 under Registration No. 05107952

Due Date/ Final Date	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	A	ttorne	y
10-Apr-1999 10-Apr-2003	Req for Exam Due in 4Y Due Date	10-Apr-1998	CA On or After 10/01/96	05-May-1955	IRE	IAB	GJS DLM
03-Feb-2000 03-Mar-2000	Does appln contain statement? Due Date	03-Jan-2000	CA Statement of Invention	06-Jan-2000	IRE	IAB	GJS DLM
03-Mar-2000 03-Mar-2000	Does appln contain statement?  Due Date	03-Jan-2000	CA Statement of Invention	06-Jan-2000	IRE	IAB	GJS DLM
10-Apr-2000 10-Apr-2003	Req for Exam Due in 3Y Due Date	10-Apr-1998	CA On or After 10/01/96	14-Apr-2000	IRE	IAB	GJS DLM
18-Aug-2000 19-Jan-2001	Executed Assignment Due in 2 Due Date	26-Oct-1999	CA Assignment	05-May-1955	IRE	IAB	GJS DLM
18-Sep-2000 19-Jan-2001	Executed Assignment Due in 1 Due Date	26-Oct-1999	CA Assignment	05-May-1955	IRE	IAB	GJS DLM
18-Oct-2000 19-Jan-2001	Submit Executed Assignment Final	26-Oct-1999	CA Assignment	28-Sep-2000	IRE	IAB	GJS DLM
18-Nov-2000 19-Jan-2001	Submit Executed Assignment Due Date	26-Oct-1999	CA Assignment	05-May-1955	IRE	IAB	GJS DLM
18-Dec-2000 19-Jan-2001	Submit Executed Assignment Due Date	26-Oct-1999	CA Assignment	05-May-1955	IRE	IAB	GJS DLM
15-Jan-2001 15-Jan-2001	Call Client re Issues Due Date	05-Dec-2000	Assignment/Inventorship Issues	15-Jan-2001	IRE	IAB	GJS DLM
19-Jan-2001 19-Jan-2001	Submit Executed Assignment Final	26-Oct-1999	CA Assignment	19-Jan-2001	IRE	IAB	GJS DLM
27-Mar-2001 27-Mar-2001	Send IPER to associate Due Date	27-Feb-2001	Associate Letter	06-Apr-2001	IRE	IAB	GJS DLM
10-Apr-2001 10-Apr-2003	Req for Exam Due in 2Y Due Date	10-Apr-1998	CA On or After 10/01/96	05-May-1955	IRE	IAB	GJS DLM
10-Apr-2002 10-Apr-2003	Req for Exam Due in 1Y Due Date	10-Apr-1998	CA On or After 10/01/96		IRE	IAB	GJS DLM
10-Oct-2002 10-Apr-2003	Req for Exam Due in 6M Due Date	10-Apr-1998	CA On or After 10/01/96		IRE	IAB	GJS DLM
10-Dec-2002 10-Apr-2003	Req for Exam Due in 4M Due Date	10-Apr-1998	CA On or After 10/01/96		IRE	IAB	GJS DLM
10-Feb-2003 10-Apr-2003	Req for Exam Due in 2M Due Date	10-Apr-1998	CA On or After 10/01/96		IRE	IAB	GJS DLM

## **Docket Check Report**

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Status

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Patent Number

**Issue Date** 

**Inventors** 

19374-501 PRO

Pending

10-Apr-1998

Farmer, Sean

CA

2287451

Title: TOPICAL USE OF BACILLUS COAGULANS SPORES TO PREVENT OR CONTROL

MICROBIAL INFECTIONS

Remarks: Assignment registered Feb 6, 2001 under Registration No. 05107952

Due Date/ Final Date	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	A	ttorne	y
	Req for Exam Due in 1M Due Date	10-Apr-1998	CA On or After 10/01/96		IRE	IAB	GJS DLM
10-Apr-2003 10-Apr-2003	REQ FOR EXAM DEADLIN	E 10-Apr-1998	CA On or After 10/01/96		IRE	IAB	GJS DLM

## **Docket Check Report**

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Case Number/ Country/Sub Case

Status

Filing Date/ Application

Patent Number

**Issue Date** 

Inventors

19374-501 PRO

Published

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Farmer, Sean

EP

98918115.1

Title: TOPICAL USE OF BACILLUS COAGULANS SPORES TO PREVENT OR CONTROL MICROBIAL INFECTIONS

Due Date/ Final Date	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	Attorney		
12-Nov-1999 12-Nov-1999	Respond to Associate Due Date	12-Oct-1999	Associate Letter	12-Oct-1999	IRE	IAB	GJS DLM
02-Jul-2000 02-Aug-2000	Req to Rec in Hong Kong Due Due Date	02-Feb-2000	EP Publ of Bibliographic Dat	05-May-1955	IRE	IAB	GJS DLM
02-Aug-2000 02-Aug-2000	Req to Rec in Hong Kong DU Final	02-Feb-2000	EP Publ of Bibliographic Dat	05-May-1955	IRE	IAB	GJS DLM
02-Aug-2000 02-Aug-2000		02-Feb-2000	Exam Fee	05-May-1955	IRE	IAB	GJS DLM

# **Docket Check Report**



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Status

Filing Date/ Application

**Patent Number** 

**Issue Date** 

Inventors

19374-501 PRO

Pending

10-Apr-1998

Farmer, Sean

JР

10-546112

Title: TOPICAL USE OF BACILLUS COAGULANS SPORES TO PREVENT OR CONTROL

MICROBIAL INFECTIONS

Due Date/ Final Date	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	A	Attorney	
10-Apr-1999 10-Apr-2005	Req for Exam Due in 6Y Due Date	10-Apr-1998	Deferred Examination	05-May-1955	IRE	IAB	GJS DLM
13-Nov-1999 13-Nov-1999	Respond to Associate Due Date	13-Oct-1999	Associate Letter	13-Oct-1999	IRE	IAB	GJS DLM
10-Apr-2000 10-Apr-2005	Req for Exam Due in 5Y Due Date	10-Apr-1998	Deferred Examination	14-Apr-2000	IRE	IAB	GJS DLM
10-Apr-2001 10-Apr-2005	Req for Exam Due in 4Y Due Date	10-Apr-1998	Deferred Examination	05-May-1955	IRE	IAB	GJS DLM
10-Apr-2002 10-Apr-2005	Req for Exam Due in 3Y Due Date	10-Apr-1998	Deferred Examination		IRE	IAB	GJS DLM
10-Apr-2003 10-Apr-2005	Req for Exam Due in 2Y Due Date	10-Apr-1998	Deferred Examination		IRE	IAB	GJS DLM
10-Apr-2004 10-Apr-2005	Req for Exam Due in 1Y Due Date	10-Apr-1998	Deferred Examination		IRE	IAB	GJS DLM
10-Oct-2004 10-Apr-2005	Req for Exam Due in 6M Due Date	10-Apr-1998	Deferred Examination		IRE	IAB	GJS DLM
10-Dec-2004 10-Apr-2005	Req for Exam Due in 4M Due Date	10-Apr-1998	Deferred Examination		IRE	IAB	GJS DLM
10-Feb-2005 10-Apr-2005	Req for Exam Due in 2M Due Date	10-Apr-1998	Deferred Examination		IRE	IAB	GJS DLM
10-Mar-2005 10-Apr-2005	Req for Exam Due in 1M Due Date	10-Apr-1998	Deferred Examination		IRE	IAB	GJS DLM
10-Apr-2005 10-Apr-2005	REQ FOR EXAM DEADLINE	10-Apr-1998	Deferred Examination		IRE	IAB	GJS DLM

## **Docket Check Report**

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Filing Date/ Application

**Patent Number** 

**Issue Date** 

Inventors

19374-501 PRO

Abandoned

18-Apr-1997

Farmer, Sean

Page: 7

US

60/044643

Title: TOPICAL USE OF BACILLUS COAGULANS SPORES TO PREVENT OR CONTROL

MICROBIAL INFECTIONS

Due Date/ Final Date	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	At	ttorne	У
18-Feb-1998 18-Apr-1998	Convert from Provisional? 10 Due Date	18-Apr-1997	Conversion	05-May-1955	IRE	IAB	GJS DLM
18-Mar-1998 18-Apr-1998	Convert from Provisional? 11 Due Date	18-Apr-1997	Conversion	05-May-1955	IRE	IAB	GJS DLM
18-Apr-1998 18-Apr-1998	•	18-Apr-1997	File Application	05-May-1955	IRE	IAB	GJS DLM
18-Apr-1998 18-Apr-1998	CONVERSION DEADLINE 1 Final	18-Apr-1997	Conversion	05-May-1955	IRE	IAB	GJS DLM

# **Docket Check Report**

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Patent Number

Issue Date

**Inventors** 

19374-501 PRO

National

10-Apr-1998

Farmer, Sean

WO

US98/07307

Title: TOPICAL USE OF BACILLUS COAGULANS SPORES TO PREVENT OR CONTROL MICROBIAL INFECTIONS

Due Date/ Final Date	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	A	ttorne	y
10-May-1998 10-May-1998	Filing Receipt Rec'd?  Due Date	10-Apr-1998	Filing Receipt Rec'd?	05-May-1955	IRE	IAB	GJS DLM
18-Jul-1998 18-Jul-1998	Confirm Designations 15M Reminder	18-Apr-1997	Confirm Designations 15M	05-May-1955	IRE	IAB	GJS DLM
18-Aug-1998 18-Aug-1998	Priority Docs Due at IB 16M  Due Date	18-Apr-1997	Priority Docs Due at IB 16M	05-May-1955	IRE	IAB	GJS DLM
03-Sep-1998 03-Sep-1998	Srch Rpt Rec'd from ISA? 16.5 Due Date	18-Apr-1997	Srch Rpt Rec'd from ISA? 16.5M	05-May-1955	IRE	IAB	GJS DLM
18-Sep-1998 18-Sep-1998	Cancel Publication? 17M Reminder	18-Apr-1997	Cancel Publication? 17M	05-May-1955	IRE	IAB	GJS DLM
18-Sep-1998 18-Dec-1998	ChI/ChII 17M Ltr to Client	18-Apr-1997	ChI/ChII Decision	05-May-1955	IRE	IAB	GJS DLM
18-Oct-1998 18-Dec-1998	ChI/ChII 18M Reminder	18-Apr-1997	ChI/ChII Decision	05-May-1955	IRE	IAB	GJS DLM
18-Oct-1998 18-Oct-1998	Publication 18M Due Date	18-Apr-1997	Publication 18M	05-May-1955	IRE	IAB	GJS DLM
18-Nov-1998 18-Dec-1998	CHII DEMAND DEADLINE Final	18-Apr-1997	ChI/ChII Decision	05-May-1955	IRE	IAB	GJS DLM
18-Dec-1998 18-Dec-1998	Demand Receipt Rec'd? Due Date	18-Apr-1997	Demand Receipt Rec'd?	05-May-1955	IRE	IAB	GJS DLM
18-Dec-1998 18-Dec-1998	CHI NAT'L PHASE DEADLI Final	18-Apr-1997	ChI/ChII Decision	05-May-1955	IRE	IAB	GJS DLM
18-Apr-1999 18-Apr-1999	Opinion Rec'd from IPEA? 24 Due Date	18-Apr-1997	Opinion Rec'd from IPEA? 24M	27-Jun-2000	IRE	IAB	GJS DLM
18-Jul-1999 18-Oct-1999	ChII 27M Ltr to Client	18-Apr-1997	ChII Decision	17-Sep-1999	IRE	IAB	GJS DLM
18-Aug-1999 18-Oct-1999	ChII 28M Reminder	18-Apr-1997	ChII Decision	05-May-1955	IRE	IAB	GJS DLM
03-Sep-1999 03-Sep-1999	IPER Rec'd from IPEA? 28.5M Due Date	18-Apr-1997	IPER Rec'd from IPEA? 28.5M	02-Oct-2000	IRE	IAB	GJS DLM
18-Sep-1999 18-Oct-1999	ChII 29M Reminder	18-Apr-1997	ChII Decision	06-Oct-1999	IRE	IAB	GJS DLM
18-Oct-1999 18-Oct-1999	CHII NAT'L PHASE DEADLI Final	18-Apr-1997	ChII Decision	06-Oct-1999	IRE	IAB	GJS DLM

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Patent Number

**Issue Date** 

**Inventors** 

19374-501 PRO

National

10-Apr-1998

Farmer, Sean

WO

US98/07307

Title: TOPICAL USE OF BACILLUS COAGULANS SPORES TO PREVENT OR CONTROL

MICROBIAL INFECTIONS

Due Date/ Final Date	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	A	ttorne	· <b>y</b>
24-Feb-2000 10-Mar-2000	File US Natl with Petition Due Date	10-Feb-2000	File US Natl with Petition	05-May-1955	IRE	IAB	GJS DLM
10-Mar-2000 10-Mar-2000	File US Natl with Petition Due Date	10-Feb-2000	File US Natl with Petition	05-May-1955	IRE	IAB <sup>2</sup>	GJS DLM
27-Jul-2000 27-Jul-2000	Resp to US Opinion DUE Due Date	27-Jun-2000	PCT Opinion US	05-May-1955	IRE	IAB	GJS DLM

## **Docket Check Report**

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Status

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**Patent Number** 

**Issue Date** 

**Inventors** 

Farmer, Sean

19374-502 NATL

Pending

01-Apr-1999

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US

09/647695

Lefkowitz, Andrew R.

Title: METHODS FOR REDUCING CHOLESTEROL USING BACILLUS COAGULANS

SPORES, SYSTEMS AND COMPOSITIONS

Remarks: Filed in US on 4/6/01.

•							
Due Date/ Final Date	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	A	ttorne	y
01-Dec-2000 01-Dec-2000	Status Chk/Filing Recpt Rec'd? Due Date	01-Apr-1998	Status Chk/Filing Recpt Rec'd?	18-Apr-2001	IRE	IAB	GJS DLM
06-Dec-2000 06-Apr-2001	Missing Req Due Due Date	06-Nov-2000	US Missing Requirements	26-Mar-2001	IRE	IAB	GJS DLM
06-Jan-2001 06-Apr-2001	Missing Req Due w/1st Ext Due Date	06-Nov-2000	US Missing Requirements	26-Mar-2001	IRE	IAB	GJS DLM
06-Feb-2001 06-Apr-2001	Missing Req Due w/2nd Ext Due Date	06-Nov-2000	US Missing Requirements	26-Mar-2001	IRE	IAB	GJS DLM
06-Mar-2001 06-Apr-2001	Missing Req Due w/3rd Ext Due Date	06-Nov-2000	US Missing Requirements	26-Mar-2001	IRE	IAB	GJS DLM
06-Apr-2001 06-Apr-2001	MISSING REQ DUE W/FINA Final	06-Nov-2000	US Missing Requirements	26-Mar-2001	IRE	IAB	GJS DLM
16-Aug-2001 16-Jan-2002	Due Date - Election Due Date	16-Jul-2001	US Restriction	16-Aug-2001	IRE	IAB	GJS DLM
16-Sep-2001 16-Jan-2002	Restrict or Elect w/ 1st Ext Due Date	16-Jul-2001	US Restriction	16-Aug-2001	IRE	IAB	GJS DLM
01-Oct-2001 01-Oct-2001	Status Check Due Date	01-Apr-1999	Foreign Filing	16-Jul-2001	IRE	IAB	GJS DLM
16-Oct-2001 16-Jan-2002	Restrict or Elect w/ 2nd Ext Due Date	16-Jul-2001	US Restriction	16-Aug-2001	IRE	IAB	GJS DLM
06-Nov-2001 06-Mar-2002	Resp to 3M O.A. Due in 1M Due Date	06-Sep-2001	US 3 Month Office Action		IRE	IAB	GJS DLM
16-Nov-2001 16-Jan-2002	Restrict or Elect w/ 3rd Ext Due Date	16-Jul-2001	US Restriction	16-Aug-2001	IRE	IAB	GJS DLM
06-Dec-2001 06-Mar-2002	Resp to 3M O.A. Due Due Date	06-Sep-2001	US 3 Month Office Action		IRE	IAB	GJS DLM
16-Dec-2001 16-Jan-2002	Restrict or Elect w/ 4th Ext Due Date	16-Jul-2001	US Restriction	16-Aug-2001	IRE	IAB	GJS DLM
02-Jan-2002 16-Jan-2002	Restrict or Elect Due in 2Wks Due Date	16-Jul-2001	US Restriction	16-Aug-2001	IRE	IAB	GJS DLM
06-Jan-2002 06-Mar-2002	Resp to 3M O.A. Due 1st Ext Due Date	06-Sep-2001	US 3 Month Office Action		IRE	IAB	GJS DLM
16-Jan-2002 16-Jan-2002	DEADLINE TO RESTRICT O	16-Jul-2001	US Restriction	16-Aug-2001	IRE	IAB	GJS DLM

## **Docket Check Report**

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Status

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**Patent Number** 

**Issue Date** 

Inventors
Farmer, Sean

19374-502 NATL

Pending

01-Apr-1999

Lefkowitz, Andrew R.

Page: 11

US

09/647695

Title: METHODS FOR REDUCING CHOLESTEROL USING BACILLUS COAGULANS

SPORES, SYSTEMS AND COMPOSITIONS

Remarks: Filed in US on 4/6/01.

Due Date/ Final Date	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	A	ttorne	y
06-Feb-2002 06-Mar-2002	Resp to 3M O.A. Due 2nd Ext Due Date	06-Sep-2001	US 3 Month Office Action		IRE	IAB	GJS DLM
23-Feb-2002 06-Mar-2002	Resp to 3M O.A. Due in 2Wks Due Date	06-Sep-2001	US 3 Month Office Action		IRE	IAB	GJS DLM
06-Mar-2002 06-Mar-2002	DEADLINE TO RESP TO 3M Final	06-Sep-2001	US 3 Month Office Action		IRE	IAB	GJS DLM

## **Docket Check Report**

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Status

Filing Date/ Application

Patent Number

Issue Date

**Inventors** 

Lefkowitz, Andrew R.

19374-502 PRO

Pending

01-Apr-1999 33808/99 Farmer, Sean

ΑU

Title: METHODS FOR REDUCING CHOLESTEROL USING BACILLUS COAGULANS

SPORES, SYSTEMS AND COMPOSITIONS

Due Date/ Final Date	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	A	ttorne	y
08-Feb-2001 08-May-2001	Exam by Direction Due 3M Due Date	08-Nov-2000	AU Req Exam By Direction	08-Feb-2001	IRE	IAB	GJS DLM
08-Mar-2001 08-May-2001	Exam by Direction Due 2M Due Date	08-Nov-2000	AU Req Exam By Direction	08-Mar-2001	IRE	IAB	GJS DLM
08-Apr-2001 08-May-2001	Exam by Direction Due 1M Due Date	08-Nov-2000	AU Req Exam By Direction	08-Apr-2001	IRE	IAB	GJS DLM
08-May-2001 08-May-2001	EXAM BY DIRECTION DUE Final	08-Nov-2000	AU Req Exam By Direction	19-Apr-2001	IRE	IAB	GJS DLM
17-Jan-2002 17-Jan-2002	Resp to Associate Due Due Date	17-Dec-2001	Associate Letter		IRE	IAB	GJS DLM
17-Jan-2002 17-Jan-2002	Advise Assoc of Invent Rights Due Date	17-Dec-2001	AU Devolution of Rights		IRE	IAB	GJS DLM
03-Nov-2002 03-Sep-2003	In Ordr for Acpt Due 1M Due Date	03-Dec-2001	AU Acceptance		IRE	IAB	GJS DLM
03-Dec-2002 03-Sep-2003	In Ordr for Acpt DUE Due Date	03-Dec-2001	AU Acceptance		IRE	IAB	GJS DLM
03-Aug-2003 03-Sep-2003	In Ordr for Acpt DUE 1M BY Due Date	03-Dec-2001	AU Acceptance		IRE	IAB	GJS DLM
03-Sep-2003 03-Sep-2003	IN ORDR FOR ACPT DUE B Final	03-Dec-2001	AU Acceptance		IRE	IAB	GJS DLM

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Case Number/ Country/Sub Case Filing Date/
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Patent Number

**Inventors** 

Farmer, Sean

19374-502 PRO

Pending

01-Apr-1999

**Issue Date** 

CA

2326874

Lefkowitz, Andrew R.

**Title:** METHODS FOR REDUCING CHOLESTEROL USING BACILLUS COAGULANS SPORES, SYSTEMS AND COMPOSITIONS

Due Date/ Final Date	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	A	ttorne	y
01-Apr-2000 01-Apr-2004	Req for Exam Due in 4Y Due Date	01-Apr-1999	CA On or After 10/01/96	05-May-1955	IRE	IAB	GJS DLM
01-Apr-2001 01-Apr-2004	Req for Exam Due in 3Y Due Date	01-Apr-1999	CA On or After 10/01/96	30-Mar-2001	IRE	IAB	GJS DLM
02-Aug-2001 02-Oct-2001	Submit Executed Assignment 2 Due Date	02-Oct-2000	CA Assignment	02-Oct-2001	IRE	IAB	GJS DLM
02-Sep-2001 02-Oct-2001	Submit Executed Assignment 1 Due Date	02-Oct-2000	CA Assignment	02-Oct-2001	IRE	IAB	GJS DLM
02-Oct-2001 02-Oct-2001	Submit Executed Assignment Final	02-Oct-2000	CA Assignment	02-Oct-2001	IRE	IAB	GJS DLM
01-Apr-2002 01-Apr-2004	Req for Exam Due in 2Y Due Date	01-Apr-1999	CA On or After 10/01/96		IRE	IAB	GJS DLM
01-Apr-2003 01-Apr-2004	Req for Exam Due in 1Y Due Date	01-Apr-1999	CA On or After 10/01/96		IRE	IAB	GJS DLM
01-Oct-2003 01-Apr-2004	Req for Exam Due in 6M Due Date	01-Apr-1999	CA On or After 10/01/96		IRE	IAB	GJS DLM
01-Dec-2003 01-Apr-2004	Req for Exam Due in 4M Due Date	01-Apr-1999	CA On or After 10/01/96		IRE	IAB	GJS DLM
01-Feb-2004 01-Apr-2004	Req for Exam Due in 2M Due Date	01-Apr-1999	CA On or After 10/01/96		IRE	IAB	GJS DLM
01-Mar-2004 01-Apr-2004	Req for Exam Due in 1M Due Date	01-Apr-1999	CA On or After 10/01/96		IRE	IAB	GJS DLM
01-Apr-2004 01-Apr-2004	REQ FOR EXAM DEADLINE Final	01-Apr-1999	CA On or After 10/01/96		IRE	IAB	GJS DLM

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19374-502 PRO

Country/Sub Case

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Status Published

01-Apr-1999

Lefkowitz, Andrew R.

EP

99915251.5

**Inventors** 

Farmer, Sean

Title: METHODS FOR REDUCING CHOLESTEROL USING BACILLUS COAGULANS

SPORES, SYSTEMS AND COMPOSITIONS

Remarks: EP 99915251.5

Due Date/ Final Date	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	A	ttorne	y
07-Apr-2000 17-Jul-2001	Exam Fee Due Date	17-Jan-2001	Exam Fee	05-May-1955	IRE	IAB	GJS DLM
17-Jún-2001 17-Jul-2001	Req to Rec in Hong Kong Due Due Date	17-Jan-2001	EP Publ of Bibliographic Dat	17-Jul-2001	IRE	IAB	GJS DLM
17-Jul-2001 17-Jul-2001	Req to Rec in Hong Kong DU Final	17-Jan-2001	EP Publ of Bibliographic Dat	17-Jul-2001	IRE	IAB	GJS DLM
17-Jul-2001 17-Jul-2001	Exam Fee Due Date	17-Jan-2001	Exam Fee	05-May-1955	IRE	IAB	GJS DLM

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**Patent Number** 

**Issue Date** 

**Inventors** 

19374-502 PRO

Status

01-Apr-1999

Farmer, Sean

JР

Pending

2000-540840

Lefkowitz, Andrew R.

Title: METHODS FOR REDUCING CHOLESTEROL USING BACILLUS COAGULANS

SPORES, SYSTEMS AND COMPOSITIONS

Remarks: EP 99915251.5

Identification No. 500457634

Due Date/ Final Date	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	A	ttorne	y
01-Apr-2000 01-Apr-2006	Req for Exam Due in 6Y Due Date	01-Apr-1999	Deferred Examination	05-May-1955	IRE	IAB	GJS DLM
01-Apr-2001 01-Apr-2006	Req for Exam Due in 5Y Due Date	01-Apr-1999	Deferred Examination	05-May-1955	IRE	IAB	GJS DLM
01-Apr-2002 01-Apr-2006	Req for Exam Due in 4Y Due Date	01-Apr-1999	Deferred Examination		IRE	IAB	GJS DLM
01-Apr-2003 01-Apr-2006	Req for Exam Due in 3Y Due Date	01-Apr-1999	Deferred Examination		IRE	IAB	GJS DLM
01-Apr-2004 01-Apr-2006	Req for Exam Due in 2Y Due Date	01-Apr-1999	Deferred Examination		IRE	IAB	GJS DLM
01-Apr-2005 01-Apr-2006	Req for Exam Due in 1Y Due Date	01-Apr-1999	Deferred Examination		IRE	IAB	GJS DLM
01-Oct-2005 01-Apr-2006	Req for Exam Due in 6M Due Date	01-Apr-1999	Deferred Examination		IRE	IAB	GJS DLM
01-Dec-2005 01-Apr-2006	Req for Exam Due in 4M Due Date	01-Apr-1999	Deferred Examination		IRE	IAB	GJS DLM
01-Feb-2006 01-Apr-2006	Req for Exam Due in 2M Due Date	01-Apr-1999	Deferred Examination		IRE	IAB	GJS DLM
01-Mar-2006 01-Apr-2006	Req for Exam Due in 1M Due Date	01-Apr-1999	Deferred Examination		IRE	IAB	GJS DLM
01-Apr-2006 01-Apr-2006	REQ FOR EXAM DEADLINE Final	E 01-Apr-1999	Deferred Examination		IRE	IAB	GJS DLM
01-Apr-2006 01-Apr-2006	Deferred Exam  Due Date	01-Apr-1999	Deferred Exam		IRE	IAB	GJS DLM

## **Docket Check Report**

Case Number/ Country/Sub Case Filing Date/
Status Application

Patent Number

**Issue Date** 

**Inventors** 

19374-502 PRO

Abandoned

01-Apr-1998

Farmer, Sean

US

60/080378

Lefkowitz, Andrew R.

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Title: METHODS FOR REDUCING CHOLESTEROL USING BACILLUS COAGULANS

SPORES, SYSTEMS AND COMPOSITIONS

Due Date/ Final Date	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	At	torne	y
01-Apr-1999 01-Apr-1999	FOREIGN 12M DEADLINE Final	01-Apr-1998	Foreign Filing	01-Apr-1999	IRE	IAB	GJS DLM
01-Apr-1999 01-Apr-1999	~	01-Apr-1998	File Application	05-May-1955	IRE	IAB	GJS DLM
01-Apr-1999 01-Apr-1999	CONVERSION DEADLINE 1 Final	01-Apr-1998	Conversion	05-May-1955	IRE	IAB	GJS DLM

## **Docket Check Report**



Case Number/ Country/Sub Case

Status

Filing Date/ Application

**Patent Number** 

**Issue Date** 

**Inventors** 

19374-502 PRO

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National

01-Apr-1999 US99/07360 Farmer, Sean Lefkowitz, Andrew R.

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Title

Title: METHODS FOR REDUCING CHOLESTEROL USING BACILLUS COAGULANS

SPORES, SYSTEMS AND COMPOSITIONS

Remarks: EP 99915251.5

<b>Due Date/ Final Date</b>	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	A	ttorne	y
01-May-1999 01-May-1999	Filing Receipt Rec'd? Due Date	01-Apr-1999	Filing Receipt Rec'd?	05-May-1955	IRE	IAB	GJS DLM
01-Jul-1999 01-Jul-1999	Confirm Designations 15M Reminder	01-Apr-1998	Confirm Designations 15M	05-May-1955	IRE	IAB	GJS DLM
18-Jul-1999 18-Sep-1999	Resp to Invi to Correct DUE  Due Date	18-Jun-1999	PCT Invi to Correct	16-Jul-1999	IRE	IAB	GJS DLM
01-Aug-1999 01-Aug-1999	Priority Docs Due at IB 16M Due Date	01-Apr-1998	Priority Docs Due at IB 16M	05-May-1955	IRE	IAB	GJS DLM
16-Aug-1999 16-Aug-1999	Srch Rpt Rec'd from ISA? 16.5 Due Date	01-Apr-1998	Srch Rpt Rec'd from ISA? 16.5M	02-Nov-1999	IRE	IAB	GJS DLM
18-Aug-1999 18-Sep-1999	Resp to Inv to Corr due by EX Due Date	18-Jun-1999	PCT Invi to Correct	18-Aug-1999	IRE	IAB	GJS DLM
01-Sep-1999 01-Sep-1999	Cancel Publication? 17M Reminder	01-Apr-1998	Cancel Publication? 17M	05-May-1955	IRE	IAB	GJS DLM
01-Sep-1999 01-Dec-1999	ChI/ChII 17M Ltr to Client	01-Apr-1998	ChI/ChII Decision	17-Sep-1999	IRE	IAB	GJS DLM
18-Sep-1999 18-Sep-1999	Resp to Inv to Corr due by EX Due Date	18-Jun-1999	PCT Invi to Correct	17-Sep-1999	IRE	IAB	GJS DLM
01-Oct-1999 01-Oct-1999	Publication 18M Due Date	01-Apr-1998	Publication 18M	07-Oct-1999	IRE	IAB	GJS DLM
01-Oct-1999 01-Dec-1999	ChI/ChII 18M Reminder	01-Apr-1998	ChI/ChII Decision	05-May-1955	IRE	IAB	GJS DLM
01-Nov-1999 01-Dec-1999	CHII DEMAND DEADLINE Final	01-Apr-1998	ChI/ChII Decision	28-Oct-1999	IRE	IAB	GJS DLM
	CHI NATL PHASE DEADLI Final	01-Apr-1998	ChI/ChII Decision	05-May-1955	IRE	IAB	GJS DLM
01-Dec-1999 01-Dec-1999	Demand Receipt Rec'd? Due Date	01-Apr-1998	Demand Receipt Rec'd?	28-Oct-1999	IRE	IAB	GJS DLM
01-Dec-1999 01-Dec-1999	CHI NATL PHASE DEADLI Final	01-Apr-1998	CHI NAT'L PHASE DEADLINE 20M	05-May-1955	IRE	IAB	GJS DLM
02-Dec-1999 02-Jan-2000	Art 19 Amns Due in 1M Due Date	02-Nov-1999	PCT Search Report	05-May-1955	IRE	IAB	GJS DLM
02-Jan-2000 02-Feb-2000	Cite Art to USPTO Due in 1M Due Date	02-Nov-1999	Foreign Prior Art	05-May-1955	IRE	IAB	GJS DLM

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**Patent Number** 

**Issue Date** 

Inventors

19374-502 PRO

National

01-Apr-1999

Farmer, Sean Lefkowitz, Andrew R.

WO

US99/07360

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Title: METHODS FOR REDUCING CHOLESTEROL USING BACILLUS COAGULANS

SPORES, SYSTEMS AND COMPOSITIONS

Remarks: EP 99915251.5

<b>Due Date/ Final Date</b>	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	A	ttorne	y
02-Jan-2000 02-Jan-2000	Art 19 Amns DUE Due Date	02-Nov-1999	PCT Search Report	05-May-1955	IRE	IAB	GJS DLM
02-Feb-2000 02-Feb-2000	Cite Art to USPTO DUE Due Date	02-Nov-1999	Foreign Prior Art	05-May-1955	IRE	IAB	GJS DLM
26-Mar-2000 26-Apr-2000	Resp to EP Opinion Due in 1M Reminder	26-Jan-2000	PCT Opinion EP 3M	05-May-1955	IRE	IAB	GJS DLM
26-Mar-2000 26-Apr-2000	Cite Art to USPTO Due in 1M Reminder	26-Jan-2000	Foreign Prior Art		IRE	IAB	GJS DLM
01-Apr-2000 01-Apr-2000	Opinion Rec'd from IPEA? 24 Due Date	01-Apr-1998	Opinion Rec'd from IPEA? 24M	26-Jan-2000	IRE	IAB	GJS DLM
26-Apr-2000 26-Apr-2000	Cite Art to USPTO DUE Due Date	26-Jan-2000	Foreign Prior Art		IRE	IAB	GJS DLM
26-Apr-2000 26-Apr-2000	Resp to EP Opinion DUE Due Date	26-Jan-2000	PCT Opinion EP 3M	05-May-1955	IRE	IAB	GJS DLM
01-Jul-2000 01-Oct-2000	ChII 27M Ltr to Client	01-Apr-1998	ChII Decision	18-Jul-2000	IRE	IAB	GJS DLM
26-Jul-2000 26-Aug-2000	Cite Art to USPTO Due in 1M Reminder	26-May-2000	Foreign Prior Art		IRE	IAB	GJS DLM
01-Aug-2000 01-Oct-2000	ChII 28M Reminder	01-Apr-1998	ChII Decision	02-Oct-2000	IRE	IAB	GJS DLM
16-Aug-2000 16-Aug-2000	IPER Rec'd from IPEA? 28.5M Due Date	01-Apr-1998	IPER Rec'd from IPEA? 28.5M	26-May-2000	IRE	IAB	GJS DLM
26-Aug-2000 26-Aug-2000	Cite Art to USPTO DUE Due Date	26-May-2000	Foreign Prior Art		IRE	IAB	GJS DLM
01-Sep-2000 01-Oct-2000	ChII 29M Reminder	01-Apr-1998	ChII Decision	02-Oct-2000	IRE	IAB	GJS DLM
01-Oct-2000 01-Oct-2000	If US designated, file US NAT Final	01-Apr-1998	ChII Decision	02-Oct-2000	IRE	IAB	GJS DLM
01-Oct-2000 01-Oct-2000	CHII NAT'L PHASE DEADLI Final	01-Apr-1998	ChII Decision	02-Oct-2000	IRE	IAB	GJS DLM

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**Inventors** 

Farmer, Sean

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Pending

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Due Date/ Final Date	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	A	ttorne	y
03-Jun-1999 03-Jun-1999	Req for Exam Due in 4Y Due Date	03-Jun-1998	Deferred Examination	05-May-1955	IRE	IAB	GJS DLM
26-Mar-2000 26-Mar-2000	Respond to Associate Due Date	26-Nov-1999	Associate Letter	22-Mar-2000	IRE	IAB	GJS DLM
05-Jun-2000 05-Jul-2000	Exam by Direction Due 1M Due Date	05-Jan-2000	AU Req Exam By Direction	12-May-2000	IRE	IAB	GJS DLM
05-Jul-2000 05-Jul-2000	EXAM BY DIRECTION DUE Final	05-Jan-2000	AU Req Exam By Direction	12-May-2000	IRE	IAB	GJS DLM
03-Oct-2001 03-Aug-2002	In Ordr for Acpt Due 1M Due Date	03-Nov-2000	AU Acceptance	05-May-1955	IRE	IAB	GJS DLM
03-Nov-2001 03-Aug-2002	In Ordr for Acpt DUE Due Date	03-Nov-2000	AU Acceptance	05-May-1955	IRE	IAB	GJS DLM
04-Jan-2002 21-Jan-2002	Resp to Associate Fax Due Date	21-Dec-2001	Associate Fax	22-Jan-2002	IRE	IAB	GJS DLM
21-Jan-2002 21-Jan-2002	Resp to Associate Due Date	21-Dec-2001	Associate Fax	22-Jan-2002	IRE	IAB	GJS DLM
03-Jul-2002 03-Aug-2002	In Ordr for Acpt DUE 1M BY Due Date	03-Nov-2000	AU Acceptance		IRE	IAB	GJS DLM
03-Aug-2002 03-Aug-2002	IN ORDR FOR ACPT DUE B	03-Nov-2000	AU Acceptance		IRE	IAB	GJS DLM

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Farmer, Sean

**Inventors** 

CA

2292536

Due Date/ Final Date	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	A	ttorne	y
03-Jun-1999 03-Jun-2003	Req for Exam Due in 4Y Due Date	03-Jun-1998	CA On or After 10/01/96	05-May-1955	IRE	IAB	GJS DLM
24-Dec-1999 24-Dec-1999	Respond to Associate Due Date	24-Nov-1999	Associate Letter	01-Dec-1999	IRE	IAB	GJS DLM
03-Jun-2000 03-Jun-2003	Req for Exam Due in 3Y Due Date	03-Jun-1998	CA On or After 10/01/96	05-May-1955	IRE	IAB	GJS DLM
01-Oct-2000 04-Mar-2002	Submit Executed Assignment Due Date	01-Dec-1999	CA Assignment	05-May-1955	IRE	IAB	GJS DLM
01-Nov-2000 04-Mar-2002	Submit Executed Assignment 1 Due Date	01-Dec-1999	CA Assignment	05-May-1955	IRE	IAB	GJS DLM
01-Dec-2000 04-Mar-2002	Submit Executed Assignment Due Date	01-Dec-1999	CA Assignment	05-May-1955	IRE	IAB	GJS DLM
01-Jan-2001 04-Mar-2002	Submit Executed Assignment Due Date	01-Dec-1999	CA Assignment	05-May-1955	IRE	IAB	GJS DLM
15-Jan-2001 15-Jan-2001	Call Client re Issues Due Date	05-Dec-2000	Assignment/Inventorship Issues	05-May-1955	IRE	IAB	GJS DLM
01-Feb-2001 04-Mar-2002	Submit Executed Assignment Due Date	01-Dec-1999	CA Assignment	20-Feb-2001	IRE	IAB	GJS DLM
04-Mar-2001 04-Mar-2002	Submit Executed Assignment Final	01-Dec-1999	CA Assignment	28-Feb-2001	IRE	IAB	GJS DLM
03-Jun-2001 03-Jun-2003	Req for Exam Due in 2Y Due Date	03-Jun-1998	CA On or After 10/01/96	05-May-1955	IRE	IAB	GJS DLM
04-Jan-2002 04-Mar-2002	Submit Executed Assignment Due Date	01-Dec-1999	CA Assignment	05-Feb-2002	IRE	IAB	GJS DLM
04-Feb-2002 04-Mar-2002	Submit Executed Assignment Due Date	01-Dec-1999	CA Assignment	05-Feb-2002	IRE	IAB	GJS DLM
04-Mar-2002 04-Mar-2002	Submit Executed Assignment Final	01-Dec-1999	CA Assignment	05-Feb-2002	IRE	IAB	GJS DLM
03-Jun-2002 03-Jun-2003	Req for Exam Due in 1Y Due Date	03-Jun-1998	CA On or After 10/01/96		IRE	IAB	GJS DLM
03-Dec-2002 03-Jun-2003	Req for Exam Due in 6M Due Date	03-Jun-1998	CA On or After 10/01/96		IRE	IAB	GJS DLM
03-Feb-2003 03-Jun-2003	Req for Exam Due in 4M Due Date	03-Jun-1998	CA On or After 10/01/96		IRE	IAB	GJS DLM

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19374-503

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03-Jun-1998

Farmer, Sean

CA

2292536

Due Date/ Final Date	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	A	ttorne	y
03-Apr-2003 03-Jun-2003	Req for Exam Due in 2M Due Date	03-Jun-1998	CA On or After 10/01/96		IRE	IAB	GJS DLM
03-May-2003 03-Jun-2003	Req for Exam Due in 1M Due Date	03-Jun-1998	CA On or After 10/01/96		IRE	IAB	GJS DLM
03-Jun-2003 03-Jun-2003	REQ FOR EXAM DEADLIN	E 03-Jun-1998	CA On or After 10/01/96		IRE	IAB	GJS DLM

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03-Jun-1998

Farmer, Sean

**Inventors** 

EP

98925189.7

Due Date/ Final Date	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	Atto	ney
22-Aug-2000 22-Sep-2000	Req to Rec in Hong Kong Due Due Date	22-Mar-2000	EP Publ of Bibliographic Dat	05-May-1955	IRE IA	AB GJS DLM
22-Sep-2000 22-Sep-2000	Req to Rec in Hong Kong DU Final	22-Mar-2000	EP Publ of Bibliographic Dat	05-May-1955	IRE IA	AB GJS DLM

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Farmer, Sean

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11-502783

Due Date/ Final Date	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	A	ttorne	y
03-Jun-1999 03-Jun-2005	Req for Exam Due in 6Y Due Date	03-Jun-1998	Deferred Examination	05-May-1955	IRE	IAB	GJS DLM
29-Dec-1999 29-Dec-1999	Respond to Associate Due Date	29-Nov-1999	Associate Letter	01-Dec-1999	IRE	IAB	GJS DLM
03-Jun-2000 03-Jun-2005	Req for Exam Due in 5Y Due Date	03-Jun-1998	Deferred Examination	05-May-1955	IRE	IAB	GJS DLM
03-Jun-2001 03-Jun-2005	Req for Exam Due in 4Y Due Date	03-Jun-1998	Deferred Examination		IRE	IAB	GJS DLM
03-Jun-2002 03-Jun-2005	Req for Exam Due in 3Y Due Date	03-Jun-1998	Deferred Examination		IRE	IAB	GJS DLM
03-Jun-2003 03-Jun-2005	Req for Exam Due in 2Y Due Date	03-Jun-1998	Deferred Examination		IRE	IAB	GJS DLM
03-Jun-2004 03-Jun-2005	Req for Exam Due in 1Y Due Date	03-Jun-1998	Deferred Examination		IRE	IAB	GJS DLM
03-Dec-2004 03-Jun-2005	Req for Exam Due in 6M Due Date	03-Jun-1998	Deferred Examination		IRE	IAB	GJS DLM
03-Feb-2005 03-Jun-2005	Req for Exam Due in 4M Due Date	03-Jun-1998	Deferred Examination		IRE	IAB	GJS DLM
03-Apr-2005 03-Jun-2005	Req for Exam Due in 2M Due Date	03-Jun-1998	Deferred Examination		IRE	IAB	GJS DLM
03-May-2005 03-Jun-2005	Req for Exam Due in 1M Due Date	03-Jun-1998	Deferred Examination		IRE	IAB	GJS DLM
03-Jun-2005 03-Jun-2005	REQ FOR EXAM DEADLINE Final	E 03-Jun-1998	Deferred Examination		IRE	IAB	GJS DLM

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Inventors

19374-503

Pending

03-Jun-1998

Farmer, Sean

US

09/424527

Due Date/ Final Date	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	A	ttorne	<b>y</b>
03-Feb-2000 03-Feb-2000	Status Chk/Filing Recpt Rec'd? Due Date	03-Jun-1997	Status Chk/Filing Recpt Rec'd?	05-May-1955	IRE	IAB	GJS DLM
24-Apr-2000 24-Aug-2000	Missing Req Due Due Date	24-Mar-2000	US Missing Requirements		IRE	IAB	GJS DLM
24-May-2000 24-Aug-2000	Missing Req Due w/1st Ext Due Date	24-Mar-2000	US Missing Requirements		IRE	IAB	GJS DLM
24-Jun-2000 24-Aug-2000	Missing Req Due w/2nd Ext Due Date	24-Mar-2000	US Missing Requirements		IRE	IAB	GJS DLM
24-Jul-2000 24-Aug-2000	Missing Req Due w/3rd Ext Due Date	24-Mar-2000	US Missing Requirements		IRE	IAB	GJS DLM
24-Aug-2000 24-Aug-2000	MISSING REQ DUE W/FINA Final	24-Mar-2000	US Missing Requirements		IRE	IAB	GJS DLM
03-Dec-2000 03-Dec-2000	Status Check Due Date	03-Jun-1998	Status Check		IRE	IAB	GJS DLM

#### **Docket Check Report**

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**Issue Date** 

**Inventors** 

19374-503

National

03-Jun-1998

Farmer, Sean

WO

US98/11347

Title: USE OF BACILLUS COAGULANS TO CONTROL BACTERIAL INFECTIONS

ASSOCIATED WITH SUDDEN INFANT DEATH SYNDROME (SIDS)

Remarks: Claims priority to 60/048452, filed 6/3/97.

Due Date/ Final Date	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	A	ttorne	y
03-Sep-1998 03-Sep-1998	Confirm Designations 15M Reminder	03-Jun-1997	Confirm Designations 15M	05-May-1955	IRE	IAB	GJS DLM
03-Oct-1998 03-Oct-1998	Priority Docs Due at IB 16M Due Date	03-Jun-1997	Priority Docs Due at IB 16M	05-May-1955	IRE	IAB	GJS DLM
18-Oct-1998 18-Oct-1998	Srch Rpt Rec'd from ISA? 16.5 Due Date	03-Jun-1997	Srch Rpt Rec'd from ISA? 16.5M	05-May-1955	IRE	IAB	GJS DLM
03-Nov-1998 03-Nov-1998	Cancel Publication? 17M Reminder	03-Jun-1997	Cancel Publication? 17M	05-May-1955	IRE	IAB	GJS DLM
03-Nov-1998 03-Jan-1999	ChI/ChII 17M Ltr to Client	03-Jun-1997	ChI/ChII Decision	05-May-1955	IRE	IAB	GJS DLM
03-Dec-1998 03-Jan-1999	ChI/ChII 18M Reminder	03-Jun-1997	ChI/ChII Decision	05-May-1955	IRE	IAB	GJS DLM
03-Dec-1998 03-Dec-1998	Publication 18M Due Date	03-Jun-1997	Publication 18M	05-May-1955	IRE	IAB	GJS DLM
03-Jan-1999 03-Jan-1999	CHII DEMAND DEADLINE Final	03-Jun-1997	ChI/ChII Decision	05-May-1955	IRE	IAB	GJS DLM
03-Feb-1999 03-Feb-1999	Demand Receipt Rec'd? Due Date	03-Jun-1997	Demand Receipt Rec'd?	05-May-1955	IRE	IAB	GJS DLM
03-Feb-1999 03-Feb-1999	CHI NAT'L PHASE DEADLI Final	03-Jun-1997	CHI NAT'L PHASE DEADLINE 20M	01-May-555	IRE	IAB	GJS DLM
03-Jun-1999 03-Jun-1999	Opinion Rec'd from IPEA? 24 Due Date	03-Jun-1997	Opinion Rec'd from IPEA? 24M	05-May-1955	IRE	IAB	GJS DLM
03-Sep-1999 03-Dec-1999	ChII 27M Ltr to Client	03-Jun-1997	ChII Decision	23-Nov-1999	IRE	IAB	GJS DLM
03-Oct-1999 03-Dec-1999	ChII 28M Reminder	03-Jun-1997	ChII Decision	23-Nov-1999	IRE	IAB	GJS DLM
18-Oct-1999 18-Oct-1999	IPER Rec'd from IPEA? 28.5M Due Date	03-Jun-1997	IPER Rec'd from IPEA? 28.5M	05-May-1955	IRE	IAB	GJS DLM
03-Nov-1999 03-Dec-1999	ChII 29M Reminder	03-Jun-1997	ChII Decision	23-Nov-1999	IRE	IAB	GJS DLM
03-Dec-1999 03-Dec-1999	CHII NAT'L PHASE DEADLI Final	03-Jun-1997	ChII Decision	23-Nov-1999	IRE	IAB	GJS DLM
27-Jul-2000 27-Aug-2000	Reconsideration of Merits 1M Due Date	27-Jun-2000	PCT Reconsideration of Merits		IRE	IAB	GJS DLM

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**Inventors** 

19374-503

WO

National

03-Jun-1998

Farmer, Sean

US98/11347

Title: USE OF BACILLUS COAGULANS TO CONTROL BACTERIAL INFECTIONS

ASSOCIATED WITH SUDDEN INFANT DEATH SYNDROME (SIDS)

Remarks: Claims priority to 60/048452, filed 6/3/97.

Due Date/ Final Date	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	Attorney	
27-Aug-2000 27-Aug-2000	Reconsideration of Merits Final	27-Jun-2000	PCT Reconsideration of Merits		IRE IAB GJ	JS LM

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Inventors

19374-503 PRO

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03-Jun-1997 60/048452 Farmer, Sean

Due Date/ Final Date	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	At	ttorne	y
03-Feb-1998 03-Jun-1998	Foreign 8M Letter Due Date	03-Jun-1997	Foreign Filing	05-May-1955	IRE	IAB	GJS DLM
03-Feb-1998 03-Jun-1998	Provisional 8M Letter Due Date	03-Jun-1997	Conversion	05-May-1955	IRE	IAB	GJS DLM
03-Apr-1998 03-Jun-1998	Foreign 10M Reminder Due Date	03-Jun-1997	Foreign Filing	05-May-1955	IRE	IAB	GJS DLM
03-Apr-1998 03-Jun-1998	Convert from Provisional? 10 Due Date	03-Jun-1997	Conversion	05-May-1955	IRE	IAB	GJS DLM
03-May-1998 03-Jun-1998	Foreign 11M Reminder Due Date	03-Jun-1997	Foreign Filing	05-May-1955	IRE	IAB	GJS DLM
03-May-1998 03-Jun-1998	Convert from Provisional? 11 Due Date	03-Jun-1997	Conversion	05-May-1955	IRE	IAB	GJS DLM
03-Jun-1998 03-Jun-1998	FOREIGN 12M DEADLINE Final	03-Jun-1997	Foreign Filing	05-May-1955	IRE	IAB	GJS DLM
03-Jun-1998 03-Jun-1998	Filing Due Final	03-Jun-1997	File Application	05-May-1955	IRE	IAB	GJS DLM
03-Jun-1998 03-Jun-1998	CONVERSION DEADLINE 1 Final	03-Jun-1997	Conversion	05-May-1955	IRE	IAB	GJS DLM

#### **Docket Check Report**

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Country/Sub CaseStatusApplicationPatent NumberIssue DateInventors19374-504Pending05-Aug-1999Farmer, Sean

US 09/369016

Title: METHODS FOR INCREASING THE SOLUBILITY OF ESSENTIAL

VITAMINS & MINERALS IN MAMMALS USING PROBIOTIC LACTIC ACID-

PRODUCING BACTERIA, SYSTEMS, AND COMPOSITIONS

Remarks: Claims priority to 60/095786, filed 8/7/98.

Assigned from Sean Farmer to Ganeden Biotech, Inc. Recorded at Reel/Frame 010390/0212

(3 pgs.) on 11/12/99.

Due Date/ Final Date	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	A	ttorne	y
31-Oct-1999 29-Feb-2000	Missing Parts Due Due Date	31-Aug-1999	US Missing Parts	12-Nov-1999	IRE	IAB	GJS DLM
05-Nov-1999 05-Nov-1999	Information Disclosure Stmnt Due Date	05-Aug-1999	Information Disclosure Stmnt	17-Nov-1999	IRE	IAB	GJS DLM
30-Nov-1999 29-Feb-2000	Missing Parts Due w/ 1st Ext Due Date	31-Aug-1999	US Missing Parts	12-Nov-1999	IRE	IAB	GJS DLM
31-Dec-1999 29-Feb-2000	Missing Parts Due w/ 2nd Ext Due Date	31-Aug-1999	US Missing Parts	12-Nov-1999	IRE	IAB	GJS DLM
31-Jan-2000 29-Feb-2000	Missing Parts Due w/ 3rd Ext Due Date	31-Aug-1999	US Missing Parts	12-Nov-1999	IRE	IAB	GJS DLM
17-Feb-2000 29-Feb-2000	Missing Parts Due in 2Wks Due Date	31-Aug-1999	US Missing Parts	12-Nov-1999	IRE	IAB	GJS DLM
29-Feb-2000 29-Feb-2000	MISSING PARTS DUE w/ FI Final	31-Aug-1999	US Missing Parts	12-Nov-1999	IRE	IAB	GJS DLM
05-Apr-2000 05-Aug-2000	Foreign 8M Letter Due Date	05-Aug-1999	Foreign Filing	05-May-1955	IRE	IAB	GJS DLM
29-May-2000 29-Sep-2000	Resp to 3M O.A. Due in 1M Due Date	29-Mar-2000	US 3 Month Office Action	29-Sep-2000	IRE	IAB	GJS DLM
05-Jun-2000 05-Aug-2000	Foreign 10M Reminder Due Date	05-Aug-1999	Foreign Filing	05-May-1955	IRE	IAB	GJS DLM
29-Jun-2000 29-Sep-2000	Resp to 3M O.A. Due Due Date	29-Mar-2000	US 3 Month Office Action	29-Sep-2000	IRE	IAB	GJS DLM
05-Jul-2000 05-Aug-2000	Foreign 11M Reminder Due Date	05-Aug-1999	Foreign Filing	05-May-1955	IRE	IAB	GJS DLM
29-Jul-2000 29-Sep-2000	Resp to 3M O.A. Due 1st Ext Due Date	29-Mar-2000	US 3 Month Office Action	29-Sep-2000	IRE	IAB	GJS DLM
05-Aug-2000 05-Aug-2000	FOREIGN 12M DEADLINE Final	05-Aug-1999	Foreign Filing	05-May-1955	IRE	IAB	GJS DLM
29-Aug-2000 29-Sep-2000	Resp to 3M O.A. Due 2nd Ext Due Date	29-Mar-2000	US 3 Month Office Action	29-Sep-2000	IRE	IAB	GJS DLM
15-Sep-2000 29-Sep-2000	Resp to 3M O.A. Due in 2Wks Due Date	29-Mar-2000	US 3 Month Office Action	29-Sep-2000	IRE	IAB	GJS DLM

#### **Docket Check Report**

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Case Number/ Country/Sub Case

Filing Date/ Application

Patent Number

Issue Date

**Inventors** 

19374-504

Status Pending

05-Aug-1999

Farmer, Sean

US

09/369016

Title: METHODS FOR INCREASING THE SOLUBILITY OF ESSENTIAL

VITAMINS & MINERALS IN MAMMALS USING PROBIOTIC LACTIC ACID-

PRODUCING BACTERIA, SYSTEMS, AND COMPOSITIONS

Remarks: Claims priority to 60/095786, filed 8/7/98.

Assigned from Sean Farmer to Ganeden Biotech, Inc. Recorded at Reel/Frame 010390/0212

(3 pgs.) on 11/12/99.

Due Date/ Final Date	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	At	ttorne	y
29-Sep-2000 29-Sep-2000	DEADLINE TO RESP TO 3M Final	29-Mar-2000	US 3 Month Office Action	29-Sep-2000	IRE	IAB	GJS DLM
05-Feb-2001 05-Feb-2001	Application Status Check Due Date	05-Aug-1999	Application Status Check	26-Dec-2000	IRE	IAB	GJS DLM
26-Feb-2001 26-Jun-2001	Resp to 3M O.A. Due in 1M Due Date	26-Dec-2000	US 3 Month Office Action	26-Jun-2001	IRE	IAB	GJS DLM
26-Mar-2001 26-Jun-2001	Resp to 3M O.A. Due Due Date	26-Dec-2000	US 3 Month Office Action	26-Jun-2001	IRE	IAB	GJS DLM
26-Apr-2001 26-Jun-2001	Resp to 3M O.A. Due 1st Ext Due Date	26-Dec-2000	US 3 Month Office Action	26-Jun-2001	IRE	IAB	GJS DLM
26-May-2001 26-Jun-2001	Resp to 3M O.A. Due 2nd Ext Due Date	26-Dec-2000	US 3 Month Office Action	26-Jun-2001	IRE	IAB	GJS DLM
12-Jun-2001 26-Jun-2001	Resp to 3M O.A. Due in 2Wks Due Date	26-Dec-2000	US 3 Month Office Action	26-Jun-2001	IRE	IAB	GJS DLM
26-Jun-2001 26-Jun-2001	DEADLINE TO RESP TO 3M Final	26-Dec-2000	US 3 Month Office Action	26-Jun-2001	IRE	IAB	GJS DLM
06-Nov-2001 06-Mar-2002	Amend after Final Due Date	06-Sep-2001	US Final Office Action		IRE	IAB	GJS DLM
06-Dec-2001 06-Mar-2002	Resp to Final Office Act. Due Due Date	06-Sep-2001	US Final Office Action		IRE	IAB	GJS DLM
06-Jan-2002 06-Mar-2002	Resp to Final O.A. 1st Ext Reminder	06-Sep-2001	US Final Office Action		IRE	IAB	GJS DLM
06-Feb-2002 06-Mar-2002	Final O.A. 2nd Ext/Appeal? Reminder	06-Sep-2001	US Final Office Action		IRE	IAB	GJS DLM
23-Feb-2002 06-Mar-2002	2 Wks to Final O.A./Appeal?  Due Date	06-Sep-2001	US Final Office Action		IRE	IAB	GJS DLM
06-Mar-2002 06-Mar-2002		06-Sep-2001	US Final Office Action		IRE	IAB	GJS DLM

#### **Docket Check Report**

**Patent Number** 

Page: 30

**Inventors** 

Farmer, Sean

Country/Sub Case Status





**Issue Date** 

19374-504 PRO

Case Number/

Pending

06-Aug-1999

ΑU

57719/99

Title: METHODS FOR INCREASING FOOD AND NUTRIENT SUPPLEMENT UTILIZATION

IN ANIMALS USING LACTIC ACID BACTERIA, SYSTEMS AND COMPOSITION

Remarks: EP 99945016.6

Title, as amended: METHODS FOR INCREASING THE SOLUBILITY OF

NUTRITIONAL MATERIALS USING PROBIOTIC LACTIC ACID-PRODUCING

**BACTERIA** 

Due Date/ Final Date	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	A	ttorne	y
06-Aug-2000 06-Aug-2000	Req for Exam Due in 4Y Due Date	06-Aug-1999	Deferred Examination	05-May-1955	IRE	IAB	GJS DLM
14-Aug-2001 14-Sep-2001	Exam by direction due in 1M Due Date	14-Mar-2001	AU Exam by Direction	05-Sep-2001	IRE	IAB	GJS DLM
14-Sep-2001 14-Sep-2001	EXAM BY DIRECTION DUE Final	14-Mar-2001	AU Exam by Direction	05-Sep-2001	IRE	IAB	GJS DLM
20-Sep-2001 20-Sep-2001	Resp to Associate Fax Due Date	06-Sep-2001	Associate Fax		IRE	IAB	GJS DLM

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Application Patent Number

Issue Date

**Inventors** 

19374-504 PRO

Pending

06-Aug-1999

Farmer, Sean

CA

2339643

Title: METHODS FOR INCREASING FOOD AND NUTRIENT SUPPLEMENT UTILIZATION

IN ANIMALS USING LACTIC ACID BACTERIA, SYSTEMS AND COMPOSITION

Remarks: EP 99945016.6

Title, as amended: METHODS FOR INCREASING THE SOLUBILITY OF

NUTRITIONAL MATERIALS USING PROBIOTIC LACTIC ACID-PRODUCING

**BACTERIA** 

Due Date/ Final Date	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	A	ttorne	y
05-Aug-2000 05-Aug-2004	Req for Exam Due in 4Y Due Date	05-Aug-1999	CA On or After 10/01/96	05-May-1955	IRE	IAB	GJS DLM
05-Aug-2001 05-Aug-2004	Req for Exam Due in 3Y Due Date	05-Aug-1999	CA On or After 10/01/96	10-Sep-2001	IRE	IAB	GJS DLM
16-Oct-2001 16-Oct-2001	Resp to Associate Fax Due Date	02-Oct-2001	Associate Fax	12-Oct-2001	IRE	IAB	GJS DLM
05-Dec-2001 05-Feb-2002	Submit Executed Assignment 2 Due Date	05-Feb-2001	CA Assignment	25-Apr-2001	IRE	IAB	GJS DLM
05-Jan-2002 05-Feb-2002	Submit Executed Assignment 1 Due Date	05-Feb-2001	CA Assignment	25-Apr-2001	IRE	IAB	GJS DLM
05-Feb-2002 05-Feb-2002	Submit Executed Assignment Final	05-Feb-2001	CA Assignment	25-Apr-2001	IRE	IAB	GJS DLM
05-Aug-2002 05-Aug-2004	Req for Exam Due in 2Y Due Date	05-Aug-1999	CA On or After 10/01/96		IRE	IAB	GJS DLM
05-Aug-2003 05-Aug-2004	Req for Exam Due in 1Y Due Date	05-Aug-1999	CA On or After 10/01/96		IRE	IAB	GJS DLM
05-Feb-2004 05-Aug-2004	Req for Exam Due in 6M Due Date	05-Aug-1999	CA On or After 10/01/96		IRE	IAB	GJS DLM
05-Apr-2004 05-Aug-2004	Req for Exam Due in 4M Due Date	05-Aug-1999	CA On or After 10/01/96		IRE	IAB	GJS DLM
05-Jun-2004 05-Aug-2004	Req for Exam Due in 2M Due Date	05-Aug-1999	CA On or After 10/01/96		IRE	IAB	GJS DLM
05-Jul-2004 05-Aug-2004	Req for Exam Due in 1M Due Date	05-Aug-1999	CA On or After 10/01/96		IRE	IAB	GJS DLM
05-Aug-2004 05-Aug-2004	REQ FOR EXAM DEADLINE Final	05-Aug-1999	CA On or After 10/01/96		IRE	IAB	GJS DLM

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Status

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**Patent Number** 

**Issue Date** 

Inventors

19374-504 PRO

Pending

06-Aug-1999

Farmer, Sean

EP

99945016.6

Title: METHODS FOR INCREASING FOOD AND NUTRIENT SUPPLEMENT UTILIZATION

IN ANIMALS USING LACTIC ACID BACTERIA, SYSTEMS AND COMPOSITION

Remarks: EP 99945016.6

Title, as amended: METHODS FOR INCREASING THE SOLUBILITY OF

NUTRITIONAL MATERIALS USING PROBIOTIC LACTIC ACID-PRODUCING

**BACTERIA** 

Fees paid at time of filing per associate letter 2/8/01

Due Date/ Final Date	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	A	ttorne	<b>y</b>
28-Apr-2001 28-Apr-2001	Priority document to associate Due Date	09-Mar-2001	Priority document	06-Apr-2001	IRE	IAB	GJS DLM
29-Oct-2001 29-Jan-2002	File Observations in 1M Reminder	19-Jul-2001	EP Communication Article 96(2)	05-May-1955	IRE	IAB	GJS DLM
29-Nov-2001 29-Jan-2002	File Observations Due Date	19-Jul-2001	EP Communication Article 96(2)		IRE	IAB	GJS DLM
29-Dec-2001 29-Jan-2002	File Observations by Ext in 1M Due Date	I 19-Jul-2001	EP Communication Article 96(2)		IRE	IAB	GJS DLM
29-Jan-2002 29-Jan-2002	File Observations by Ext Final	19-Jul-2001	EP Communication Article 96(2)		IRE	IAB	GJS DLM

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Status Application



**Issue Date** 

Inventors

19374-504 PRO

Pending

06-Aug-1999

Farmer, Sean

JР

2000-563291

Title: METHODS FOR INCREASING FOOD AND NUTRIENT SUPPLEMENT UTILIZATION

IN ANIMALS USING LACTIC ACID BACTERIA, SYSTEMS AND COMPOSITION

Remarks: EP 99945016.6

Title, as amended: METHODS FOR INCREASING THE SOLUBILITY OF

NUTRITIONAL MATERIALS USING PROBIOTIC LACTIC ACID-PRODUCING

**BACTERIA** 

Due Date/ Final Date	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	A	ttorne	y
06-Aug-2000 06-Aug-2006	Req for Exam Due in 6Y Due Date	06-Aug-1999	Deferred Examination	05-May-1955	IRE	IAB	GJS DLM
06-Aug-2001 06-Aug-2006	Req for Exam Due in 5Y Due Date	06-Aug-1999	Deferred Examination	01-Aug-2001	IRE	IAB	GJS DLM
13-Aug-2001 13-Sep-2001	Correct Priority Claim US/RO Due Date	13-Jul-2001	Correct Priority Claim US/RO		IRE	IAB	GJS DLM
13-Sep-2001 13-Sep-2001	Correct Priority Claim US/RO Due Date	13-Jul-2001	Correct Priority Claim US/RO		IRE	IAB	GJS DLM
06-Aug-2002 06-Aug-2006	Req for Exam Due in 4Y Due Date	06-Aug-1999	Deferred Examination		IRE	IAB	GJS DLM
06-Aug-2003 06-Aug-2006	Req for Exam Due in 3Y Due Date	06-Aug-1999	Deferred Examination		IRE	IAB	GJS DLM
06-Aug-2004 06-Aug-2006	Req for Exam Due in 2Y Due Date	06-Aug-1999	Deferred Examination		IRE	IAB	GJS DLM
06-Aug-2005 06-Aug-2006	Req for Exam Due in 1Y Due Date	06-Aug-1999	Deferred Examination		IRE	IAB	GJS DLM
06-Feb-2006 06-Aug-2006	Req for Exam Due in 6M Due Date	06-Aug-1999	Deferred Examination		IRE	IAB	GJS DLM
06-Apr-2006 06-Aug-2006	Req for Exam Due in 4M Due Date	06-Aug-1999	Deferred Examination		IRE	IAB	GJS DLM
06-Jun-2006 06-Aug-2006	Req for Exam Due in 2M Due Date	06-Aug-1999	Deferred Examination		IRE	IAB	GJS DLM
06-Jul-2006 06-Aug-2006	Req for Exam Due in 1M Due Date	06-Aug-1999	Deferred Examination		IRE	IAB	GJS DLM
06-Aug-2006 06-Aug-2006	REQ FOR EXAM DEADLINE Final	E 06-Aug-1999	Deferred Examination		IRE	IAB	GJS DLM
06-Aug-2006 06-Aug-2006	Deferred Exam Due Date	06-Aug-1999	Deferred Exam		ÍRE	IAB	GJS DLM

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Filing Date/ Application

Patent Number

Issue Date

**Inventors** 

19374-504 PRO

Abandoned

07-Aug-1998

Farmer, Sean

US

60/095786

Title: METHODS FOR INCREASING FOOD AND NUTRIENT SUPPLEMENT UTILIZATION IN ANIMALS USING LACTIC ACID BACTERIA, SYSTEMS AND COMPOSITION

Due Date/ Final Date	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	A	ttorne	y
07-Apr-1999 07-Aug-1999	Foreign 8M Letter Due Date	07-Aug-1998	Foreign Filing	05-May-1955	IRE	IAB	GJS DLM
07-Apr-1999 07-Aug-1999	Provisional 8M Letter Due Date	07-Aug-1998	Conversion	05-May-1955	IRE	IAB	GJS DLM
07-Jun-1999 07-Aug-1999	Foreign 10M Reminder Due Date	07-Aug-1998	Foreign Filing	05-May-1955	IRE	IAB	GJS DLM
07-Jun-1999 07-Aug-1999	Convert from Provisional? 10 Due Date	07-Aug-1998	Conversion	05-May-1955	IRE	IAB	GJS DLM
07-Jul-1999 07-Aug-1999	Foreign 11M Reminder Due Date	07-Aug-1998	Foreign Filing	05-May-1955	IRE	IAB	GJS DLM
07-Jul-1999 07-Aug-1999	Convert from Provisional? 11 Due Date	07-Aug-1998	Conversion	05-May-1955	IRE	IAB	GJS DLM
05-Aug-1999 05-Aug-1999	Filing Due Final	05-Aug-1998	File Application	05-May-1955	IRE	IAB	GJS DLM
07-Aug-1999 07-Aug-1999	FOREIGN 12M DEADLINE Final	07-Aug-1998	Foreign Filing	05-May-1955	IRE	IAB	GJS DLM
07-Aug-1999 07-Aug-1999	CONVERSION DEADLINE 1 Final	07-Aug-1998	Conversion	05-May-1955	IRE	IAB	GJS DLM

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Patent Number Issue Date Inventors

19374-504 PRO

Status National

06-Aug-1999

Farmer, Sean

wo

US99/17671

Title: METHODS FOR INCREASING FOOD AND NUTRIENT SUPPLEMENT UTILIZATION

IN ANIMALS USING LACTIC ACID BACTERIA, SYSTEMS AND COMPOSITION

Remarks: EP 99945016.6

Title, as amended: METHODS FOR INCREASING THE SOLUBILITY OF

NUTRITIONAL MATERIALS USING PROBIOTIC LACTIC ACID-PRODUCING

**BACTERIA** 

Due Date/ Final Date	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	A	ttorne	y
06-Sep-1999 06-Sep-1999	Filing Receipt Rec'd? Due Date	06-Aug-1999	Filing Receipt Rec'd?	01-Oct-1999	IRE	IAB	GJS DLM
01-Nov-1999 01-Nov-1999	Resp to Invi to Correct DUE  Due Date	01-Oct-1999	PCT Invi to Correct Defects	20-Oct-1999	IRE	IAB	GJS DLM
07-Nov-1999 07-Nov-1999	Confirm Designations 15M Due Date	07-Aug-1998	Confirm Designations 15M	05-May-1955	IRE	IAB	GJS DLM
07-Nov-1999 07-Dec-1999	Priority Serial No. Due in 1M Due Date	07-Aug-1998	PCT Priority Serial No.	20-Oct-1999	IRE	IAB	GJS DLM
07-Dec-1999 07-Dec-1999	Priority Docs Due at IB 16M Due Date	07-Aug-1998	Priority Docs Due at IB 16M	12-Oct-1999	IRE	IAB	GJS DLM
07-Dec-1999 07-Dec-1999	Priority Serial No. DUE Due Date	07-Aug-1998	PCT Priority Serial No.	20-Oct-1999	IRE	IAB	GJS DLM
22-Dec-1999 22-Dec-1999	Srch Rpt Rec'd from ISA? 16.5 Due Date	07-Aug-1998	Srch Rpt Rec'd from ISA? 16.5M	24-Feb-2000	IRE	IAB	GJS DLM
07-Jan-2000 07-Jan-2000	Cancel Publication? 17M Reminder	07-Aug-1998	Cancel Publication? 17M	14-Oct-1999	IRE	IAB	GJS DLM
07-Jan-2000 07-Apr-2000	ChI/ChII 17M Ltr to Client	07-Aug-1998	ChI/ChII Decision	08-Feb-2000	IRE	IAB	GJS DLM
07-Feb-2000 07-Feb-2000	Publication 18M Due Date	07-Aug-1998	Publication 18M	14-Oct-1999	IRE	IAB	GJS DLM
07-Feb-2000 07-Apr-2000	ChI/ChII 18M Reminder	07-Aug-1998	ChI/ChII Decision	08-Feb-2000	IRE	IAB	GJS DLM
07-Mar-2000 07-Apr-2000	CHII DEMAND DEADLINE Final	07-Aug-1998	ChI/ChII Decision	03-Mar-2000	IRE	IAB	GJS DLM
	Art 19 Amns Due in 1M Reminder	24-Feb-2000	PCT Search Report	05-May-1955	IRE	IAB	GJS DLM
07-Apr-2000 07-Apr-2000	CHI NATL PHASE DEADLI Final	07-Aug-1998	ChI/ChII Decision	05-May-1955	IRE	IAB	GJS DLM
07-Apr-2000 07-Apr-2000	Demand Receipt Rec'd? Due Date	07-Aug-1998	Demand Receipt Rec'd?	17-Mar-2000	IRE	IAB	GJS DLM
07-Apr-2000 07-Apr-2000	CHI NATL PHASE DEADLI Final	07-Aug-1998	CHI NAT'L PHASE DEADLINE 20M	03-Mar-2000	IRE	IAB	GJS DLM

#### **Docket Check Report**

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Patent Number

**Issue Date** 

**Inventors** 

19374-504 PRO

National

06-Aug-1999

Farmer, Sean

WO

US99/17671

Title: METHODS FOR INCREASING FOOD AND NUTRIENT SUPPLEMENT UTILIZATION

IN ANIMALS USING LACTIC ACID BACTERIA, SYSTEMS AND COMPOSITION

Remarks: EP 99945016.6

Title, as amended: METHODS FOR INCREASING THE SOLUBILITY OF

NUTRITIONAL MATERIALS USING PROBIOTIC LACTIC ACID-PRODUCING

**BACTERIA** 

Due Date/ Final Date	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	A	ttorne	y
24-Apr-2000 24-May-2000	Cite Art to USPTO Due in 1M Reminder	24-Feb-2000	Foreign Prior Art	05-May-1955	IRE	IAB	GJS DLM
24-Apr-2000 24-Apr-2000	Art 19 Amns DUE Due Date	24-Feb-2000	PCT Search Report .	05-May-1955	IRE	IAB	GJS DLM
•	Cite Art to USPTO DUE Due Date	24-Feb-2000	Foreign Prior Art	05-May-1955	IRE	IAB	GJS DLM
04-Jul-2000 04-Aug-2000	Resp to EP Opinion Due in 1M Reminder	04-May-2000	PCT Opinion EP 3M	05-May-1955	IRE	IAB	GJS DLM
_	Resp to EP Opinion DUE Due Date	04-May-2000	PCT Opinion EP 3M	05-May-1955	IRE	IAB	GJS DLM
07-Aug-2000 07-Aug-2000	Opinion Rec'd from IPEA? 24 Due Date	07-Aug-1998	Opinion Rec'd from IPEA? 24M	04-May-2000	IRE	IAB	GJS DLM
07-Nov-2000 07-Feb-2001	ChII 27M Ltr to Client	07-Aug-1998	ChII Decision	08-Dec-2000	IRE	IAB	GJS DLM
27-Nov-2000 27-Dec-2000	Cite Art to USPTO Due in 1M Reminder	27-Sep-2000	Foreign Prior Art	05-May-1955	IRE	IAB	GJS DLM
07-Dec-2000 07-Feb-2001	ChII 28M Reminder	07-Aug-1998	ChII Decision	08-Dec-2000	IRE	IAB	GJS DLM
22-Dec-2000 22-Dec-2000	IPER Rec'd from IPEA? 28.5M Due Date	07-Aug-1998	IPER Rec'd from IPEA? 28.5M	27-Sep-2000	IRE	IAB	GJS DLM
27-Dec-2000 27-Dec-2000	Cite Art to USPTO DUE Due Date	27-Sep-2000	Foreign Prior Art	05-May-1955	IRE	IAB	GJS DLM
07-Jan-2001 07-Feb-2001	ChII 29M Reminder	07-Aug-1998	ChII Decision	01-Feb-2001	IRE	IAB	GJS DLM
07-Feb-2001 07-Feb-2001	CHII NAT'L PHASE DEADLI Final	07-Aug-1998	ChII Decision	01-Feb-2001	IRE	IAB	GJS DLM
07-Feb-2001 07-Feb-2001	If US designated, file US NAT Final	07-Aug-1998	ChII Decision	01-Feb-2001	IRE	IAB	GJS DLM

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**Patent Number** 

**Issue Date** 

**Inventors** 

19374-505 PRO

Abandoned

24-Aug-1998

Farmer, Sean

US

60/097594

Title: METHODS FOR INHIBITING PARASITES AND PATHOGENS IN THE

GASTROINTESTINAL TRACT OF ANIMALS AND IN ANIMAL FECES, SYSTEMS

AND COMPOSITIONS

Due Date/ Final Date	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	^ At	torne	y
24-Jun-1999 24-Aug-1999	Foreign 10M Reminder Due Date	24-Aug-1998	Foreign Filing	05-May-1955	IRE	IAB	GJS DLM
24-Jun-1999 24-Aug-1999	Convert from Provisional? 10 Due Date	24-Aug-1998	Conversion	05-May-1955	IRE	IAB	GJS DLM
24-Jul-1999 24-Aug-1999	Foreign 11M Reminder Due Date	24-Aug-1998	Foreign Filing	05-May-1955	IRE	IAB	GJS DLM
24-Jul-1999 24-Aug-1999	Convert from Provisional? 11 Due Date	24-Aug-1998	Conversion	05-May-1955	IRE	IAB	GJS DLM
24-Aug-1999 24-Aug-1999	FOREIGN 12M DEADLINE Final	24-Aug-1998	Foreign Filing	05-May-1955	IRE	IAB	GJS DLM
24-Aug-1999 24-Aug-1999	_	24-Aug-1998	File Application	05-May-1955	IRE	IAB	GJS DLM
24-Aug-1999 24-Aug-1999	CONVERSION DEADLINE 1 Final	24-Aug-1998	Conversion	05-May-1955	IRE	IAB	GJS DLM

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Inventors

19374-507

Pending

06-Aug-1999

Farmer, Sean

ΑU

53415/99

Due Date/ Final Date	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	<b>A</b>	ttorne	e <b>y</b>
28-Aug-2001 28-Sep-2001	Exam by direction due in 1M Due Date	28-Mar-2001	AU Exam by Direction	05-Sep-2001	IRE	IAB	GJS DLM
20-Sep-2001 20-Sep-2001	Resp to Associate Fax Due Date	06-Sep-2001	Associate Fax		IRE	IAB	GJS DLM
28-Sep-2001 28-Sep-2001	EXAM BY DIRECTION DUE	28-Mar-2001	AU Exam by Direction	05-Sep-2001	IRE	IAB	GJS DLM

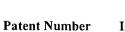
#### **Docket Check Report**

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Application



Issue Date

**Inventors** 

19374-507

Pending

06-Aug-1999

Farmer, Sean

CA

2341503

Due Date/ Final Date	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	A	ttorne	y
06-Aug-2000 06-Aug-2004	Req for Exam Due in 4Y Due Date	06-Aug-1999	CA On or After 10/01/96	06-Aug-2000	IRE	IAB	GJS DLM
06-Aug-2001 06-Aug-2004	Req for Exam Due in 3Y  Due Date	06-Aug-1999	CA On or After 10/01/96	06-Aug-2001	IRE	IAB	GJS DLM
22-Dec-2001 22-Feb-2002	Submit Executed Assignment 2 Due Date	22-Feb-2001	CA Assignment	19-Jun-2001	IRE	IAB	GJS DLM
22-Jan-2002 22-Feb-2002	Submit Executed Assignment 1 Due Date	22-Feb-2001	CA Assignment	19-Jun-2001	IRE	IAB	GJS DLM
22-Feb-2002 22-Feb-2002	Submit Executed Assignment Final	22-Feb-2001	CA Assignment	19-Jun-2001	IRE	IAB	GJS DLM
06-Aug-2002 06-Aug-2004	Req for Exam Due in 2Y Due Date	06-Aug-1999	CA On or After 10/01/96		IRE	IAB	GJS DLM
06-Aug-2003 06-Aug-2004	Req for Exam Due in 1Y Due Date	06-Aug-1999	CA On or After 10/01/96		IRE	IAB	GJS DLM
06-Feb-2004 06-Aug-2004	Req for Exam Due in 6M Due Date	06-Aug-1999	CA On or After 10/01/96		IRE	IAB	GJS DLM
06-Apr-2004 06-Aug-2004	Req for Exam Due in 4M Due Date	06-Aug-1999	CA On or After 10/01/96		IRE	IAB	GJS DLM
06-Jun-2004 06-Aug-2004	Req for Exam Due in 2M Due Date	06-Aug-1999	CA On or After 10/01/96		IRE	IAB	GJS DLM
06-Jul-2004 06-Aug-2004	Req for Exam Due in 1M Due Date	06-Aug-1999	CA On or After 10/01/96		IRE	IAB	GJS DLM
06-Aug-2004 06-Aug-2004	REQ FOR EXAM DEADLINE Final	06-Aug-1999	CA On or After 10/01/96		IRE	IAB	GJS DLM

## **Docket Check Report**



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**Patent Number** 

**Issue Date** 

Inventors

19374-507

Published

06-Aug-1999

Farmer, Sean

EP

99939056.0

Due Date/ Final Date	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	A	ttorne	<b>y</b>
24-Mar-2001 24-Mar-2001	Resp to Associate Due Final	09-Mar-2001	Associate Letter	23-Mar-2001	IRE	IAB	GJS DLM
24-Mar-2001 24-Mar-2001	Resp to Associate Due Due Date	24-Feb-2001	Associate Letter	15-Feb-2001	IRE	IAB	GJS DLM
21-Oct-2001 21-Jan-2002	File Observations in 1M Reminder	11-Jul-2001	EP Communication Article 96(2)	05-May-1955	IRE	IAB	GJS DLM
20-Nov-2001 20-Dec-2001	Req to Rec in Hong Kong Due Due Date	20-Jun-2001	EP Publ of Bibliographic Dat	05-May-1955	IRE	IAB	GJS DLM
21-Nov-2001 21-Jan-2002	File Observations Due Date	11-Jul-2001	EP Communication Article 96(2)	05-May-1955	IRE	IAB	GJS DLM
20-Dec-2001 20-Dec-2001	Req to Rec in Hong Kong DU Final	20-Jun-2001	EP Publ of Bibliographic Dat	05-May-1955	IRE	IAB	GJS DLM
21-Dec-2001 21-Jan-2002	File Observations by Ext in 1M Due Date	11-Jul-2001	EP Communication Article 96(2)	05-May-1955	IRE	IAB	GJS DLM
21-Jan-2002 21-Jan-2002	File Observations by Ext Final	11-Jul-2001	EP Communication Article 96(2)		IRE	IAB	GJS DLM

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Status

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Patent Number

Issue Date

Inventors

19374-507

Pending

06-Aug-1999

Farmer, Sean

JР

2000-565902

Due Date/ Final Date	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	A	ttorne	y
06-Aug-2000 06-Aug-2006	Req for Exam Due in 6Y Due Date	06-Aug-1999	Deferred Examination	06-Aug-2000	IRE	IAB	GJS DLM
06-Aug-2001 06-Aug-2006	Req for Exam Due in 5Y Due Date	06-Aug-1999	Deferred Examination	01-Aug-2001	IRE	IAB	GJS DLM
06-Aug-2002 06-Aug-2006	Req for Exam Due in 4Y Due Date	06-Aug-1999	Deferred Examination		IRE	IAB	GJS DLM
06-Aug-2003 06-Aug-2006	Req for Exam Due in 3Y Due Date	06-Aug-1999	Deferred Examination		IRE	IAB	GJS DLM
06-Aug-2004 06-Aug-2006	Req for Exam Due in 2Y Due Date	06-Aug-1999	Deferred Examination		IRE	IAB	GJS DLM
06-Aug-2005 06-Aug-2006	Req for Exam Due in 1Y Due Date	06-Aug-1999	Deferred Examination		IRE	IAB	GJS DLM
06-Feb-2006 06-Aug-2006	Req for Exam Due in 6M Due Date	06-Aug-1999	Deferred Examination		IRE	IAB	GJS DLM
06-Apr-2006 06-Aug-2006	Req for Exam Due in 4M Due Date	06-Aug-1999	Deferred Examination		IRE	IAB	GJS DLM
06-Jun-2006 06-Aug-2006	Req for Exam Due in 2M Due Date	06-Aug-1999	Deferred Examination		IRE	IAB	GJS DLM
06-Jul-2006 06-Aug-2006	Req for Exam Due in 1M Due Date	06-Aug-1999	Deferred Examination		IRE	IAB	GJS DLM
06-Aug-2006 06-Aug-2006	REQ FOR EXAM DEADLINE Final	06-Aug-1999	Deferred Examination		IRE	IAB	GJS DLM
06-Aug-2006 06-Aug-2006	Deferred Exam Due Date	06-Aug-1999	Deferred Exam		IRE	IAB	GJS DLM

## **Docket Check Report**

Case Number/

Country/Sub Case Status

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Patent Number

**Issue Date** 

**Inventors** 

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19374-507

Pending

05-Aug-1999

Farmer, Sean

US

09/370793

Title: PROBIOTIC, LACTIC, ACID-PRODUCING BACTERIA AND USES THEREOF

Remarks: Assigned from Sean Farmer to Ganeden Biotech, Inc. Recorded at Reel/Frame 010390/0097

(8 pgs.) on 11/11/99.

Due Date/ Final Date	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	A	ttorne	y
24-Oct-1999 24-Feb-2000	Missing Parts Due Due Date	24-Aug-1999	US Missing Parts	11-Nov-1999	IRE	IAB	GJS DLM
05-Nov-1999 05-Nov-1999	Information Disclosure Stmnt Due Date	05-Aug-1999	Information Disclosure Stmnt	18-Nov-1999	IRE	IAB	GJS DLM
24-Nov-1999 24-Feb-2000	Missing Parts Due w/ 1st Ext Due Date	24-Aug-1999	US Missing Parts	11-Nov-1999	IRE	IAB	GJS DLM
24-Dec-1999 24-Feb-2000	Missing Parts Due w/ 2nd Ext Due Date	24-Aug-1999	US Missing Parts	11-Nov-1999	IRE	IAB	GJS DLM
24-Jan-2000 24-Feb-2000	Missing Parts Due w/ 3rd Ext Due Date	24-Aug-1999	US Missing Parts	11-Nov-1999	IRE	IAB	GJS DLM
10-Feb-2000 24-Feb-2000	Missing Parts Due in 2Wks Due Date	24-Aug-1999	US Missing Parts	11-Nov-1999	IRE	IAB	GJS DLM
24-Feb-2000 24-Feb-2000	MISSING PARTS DUE w/ FI Final	24-Aug-1999	US Missing Parts	11-Nov-1999	IRE	IAB	GJS DLM
05-Apr-2000 05-Aug-2000	Foreign 8M Letter Due Date	05-Aug-1999	Foreign Filing	05-May-1955	IRE	IAB	GJS DLM-
05-Jun-2000 05-Aug-2000	Foreign 10M Reminder Due Date	05-Aug-1999	Foreign Filing	05-May-1955	IRE	IAB	GJS DLM
05-Jul-2000 05-Aug-2000	Foreign 11M Reminder Due Date	05-Aug-1999	Foreign Filing	05-May-1955	IRE	IAB	GJS DLM
05-Aug-2000 05-Aug-2000	FOREIGN 12M DEADLINE Final	05-Aug-1999	Foreign Filing	05-May-1955	IRE	IAB	GJS DLM
06-Aug-2000 06-Dec-2000	Due Date - Election Due Date	06-Jul-2000	US Restriction	06-Nov-2000	IRE	IAB	GJS DLM
06-Sep-2000 06-Dec-2000	Restrict or Elect w/ 1st Ext Due Date	06-Jul-2000	US Restriction	06-Nov-2000	IRE	IAB	GJS DLM
06-Oct-2000 06-Dec-2000	Restrict or Elect w/ 2nd Ext Due Date	06-Jul-2000	US Restriction	06-Nov-2000	IRE	IAB	GJS DLM
06-Nov-2000 06-Dec-2000	Restrict or Elect w/ 3rd Ext Due Date	06-Jul-2000	US Restriction	06-Nov-2000	IRE	IAB	GJS DLM
22-Nov-2000 06-Dec-2000	Restrict or Elect Due in 2Wks Due Date	06-Jul-2000	US Restriction	06-Nov-2000	IRE	IAB	GJS DLM
06-Dec-2000 06-Dec-2000	DEADLINE TO RESTRICT O	06-Jul-2000	US Restriction	06-Nov-2000	IRE	IAB	GJS DLM

#### **Docket Check Report**

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Case Number/ Country/Sub Case

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Filing Date/ Application

**Patent Number** 

**Issue Date** 

Inventors

19374-507 US

Pending

05-Aug-1999

09/370793

Farmer, Sean

Title: PROBIOTIC, LACTIC, ACID-PRODUCING BACTERIA AND USES THEREOF

Remarks: Assigned from Sean Farmer to Ganeden Biotech, Inc. Recorded at Reel/Frame 010390/0097

(8 pgs.) on 11/11/99.

Due Date/ Final Date	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	A	ttorne	y
05-Feb-2001 05-Feb-2001	Application Status Check Due Date	05-Aug-1999	Application Status Check	05-May-1955	IRE	IAB	GJS DLM
05-Mar-2001 05-Jul-2001	Resp to 3M O.A. Due in 1M Due Date	05-Jan-2001	US 3 Month Office Action	05-Jul-2001	IRE	IAB	GJS DLM
05-Apr-2001 05-Jul-2001	Resp to 3M O.A. Due Due Date	05-Jan-2001	US 3 Month Office Action	05-Jul-2001	IRE	IAB	GJS DLM
05-May-2001 05-Jul-2001	Resp to 3M O.A. Due 1st Ext Due Date	05-Jan-2001	US 3 Month Office Action	05-Jul-2001	IRE	IAB	GJS DLM
05-Jun-2001 05-Jul-2001	Resp to 3M O.A. Due 2nd Ext Due Date	05-Jan-2001	US 3 Month Office Action	05-Jul-2001	IRE	IAB	GJS DLM
22-Jun-2001 05-Jul-2001	Resp to 3M O.A. Due in 2Wks Due Date	05-Jan-2001	US 3 Month Office Action	05-Jul-2001	IRE	IAB	GJS DLM
05-Jul-2001 05-Jul-2001	DEADLINE TO RESP TO 3M Final	05-Jan-2001	US 3 Month Office Action	05-Jul-2001	IRE	IAB	GJS DLM
10-Sep-2001 10-Feb-2002	Due Date - Election Due Date	10-Aug-2001	US Restriction	10-Sep-2001	IRE	IAB	GJS DLM
10-Oct-2001 10-Feb-2002	Restrict or Elect w/ 1st Ext Due Date	10-Aug-2001	US Restriction	10-Sep-2001	IRE	IAB	GJS DLM
10-Nov-2001 10-Feb-2002	Restrict or Elect w/ 2nd Ext Due Date	10-Aug-2001	US Restriction	10-Sep-2001	IRE	IAB	GJS DLM
10-Dec-2001 10-Feb-2002	Restrict or Elect w/ 3rd Ext Due Date	10-Aug-2001	US Restriction	10-Sep-2001	IRE	IAB	GJS DLM
10-Jan-2002 10-Feb-2002	Restrict or Elect w/ 4th Ext Due Date	10-Aug-2001	US Restriction	10-Sep-2001	IRE	IAB	GJS DLM
23-Jan-2002 23-May-2002	Resp to 3M O.A. Due in 1M Due Date	23-Nov-2001	US 3 Month Office Action		IRE	IAB	GJS DLM
27-Jan-2002 10-Feb-2002	Restrict or Elect Due in 2Wks Due Date	10-Aug-2001	US Restriction	10-Sep-2001	IRE	IAB	GJS DLM
10-Feb-2002 10-Feb-2002	DEADLINE TO RESTRICT O	10-Aug-2001	US Restriction	10-Sep-2001	IRE	IAB	GJS DLM
23-Feb-2002 23-May-2002	Resp to 3M O.A. Due Due Date	23-Nov-2001	US 3 Month Office Action		IRE	IAB	GJS DLM
23-Mar-2002 23-May-2002	Resp to 3M O.A. Due 1st Ext Due Date	23-Nov-2001	US 3 Month Office Action		IRE	IAB	GJS DLM

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Status

Filing Date/ Application

Patent Number

Issue Date

**Inventors** 

19374-507

US

Pending

05-Aug-1999

09/370793

Farmer, Sean

Title: PROBIOTIC, LACTIC, ACID-PRODUCING BACTERIA AND USES THEREOF

Remarks: Assigned from Sean Farmer to Ganeden Biotech, Inc. Recorded at Reel/Frame 010390/0097

(8 pgs.) on 11/11/99.

Due Date/ Final Date	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	A	ttorne	y
23-Apr-2002 23-May-2002	Resp to 3M O.A. Due 2nd Ext Due Date	23-Nov-2001	US 3 Month Office Action		IRE	IAB	GJS DLM
09-May-2002 23-May-2002	Resp to 3M O.A. Due in 2Wks Due Date	23-Nov-2001	US 3 Month Office Action		IRE	IAB	GJS DLM
23-May-2002 23-May-2002	DEADLINE TO RESP TO 3M Final	23-Nov-2001	US 3 Month Office Action		IRE	IAB	GJS DLM

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Patent Number

**Issue Date** 

Inventors

19374-507

National

06-Aug-1999

Farmer, Sean

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US99/17862

Title: PROBIOTIC, LACTIC, ACID-PRODUCING BACTERIA AND USES THEREOF

Remarks: EP 99939056.0

<b>Due Date/ Final Date</b>	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	A	ttorne	y
06-Sep-1999 06-Sep-1999	Filing Receipt Rec'd? Due Date	06-Aug-1999	Filing Receipt Rec'd?	27-Aug-1999	IRE	IAB	GJS DLM
27-Sep-1999 27-Sep-1999	Resp to Invi to Correct DUE  Due Date	27-Aug-1999	PCT Invi to Correct Defects	22-Sep-1999	IRE	IAB	GJS DLM
27-Oct-1999 27-Oct-1999	Rsp to Invi to Correct BY EXT Due Date	27-Aug-1999	PCT Invi to Correct Ext	27-Oct-1999	IRE	IAB	GJS DLM
24-Nov-1999 24-Nov-1999	Confirm Designations 15M Due Date	24-Aug-1998	Confirm Designations 15M	05-May-1955	IRE	IAB	GJS DLM
24-Nov-1999 24-Dec-1999	Resp to Inv to Corr Pri Due 1 Reminder	24-Aug-1998	PCT Inv to Correct Pri Claim	27-Oct-1999	IRE	IAB	GJS DLM
24-Nov-1999 24-Dec-1999	Priority Serial No. Due in 1M Due Date	24-Aug-1998	PCT Priority Serial No.	27-Oct-1999	IRE	IAB	GJS DLM
24-Dec-1999 24-Dec-1999	Priority Serial No. DUE Due Date	24-Aug-1998	PCT Priority Serial No.	27-Oct-1999	IRE	IAB	GJS DLM
24-Dec-1999 24-Dec-1999	Resp to Inv to Correct Pri DUE Due Date	24-Aug-1998	PCT Inv to Correct Pri Claim	27-Oct-1999	IRE	IAB	GJS DLM
24-Dec-1999 24-Dec-1999	Priority Docs Due at IB 16M Due Date	24-Aug-1998	Priority Docs Due at IB 16M	21-Sep-1999	IRE	IAB	GJS DLM
08-Jan-2000 08-Jan-2000	Srch Rpt Rec'd from ISA? 16.5 Due Date	24-Aug-1998	Srch Rpt Rec'd from ISA? 16.5M	24-Feb-2000	IRE	IAB	GJS DLM
24-Jan-2000 24-Jan-2000	Cancel Publication? 17M Due Date	24-Aug-1998	Cancel Publication? 17M	24-Jan-2000	IRE	IAB	GJS DLM
24-Jan-2000 24-Mar-2000	ChI/ChII 17M Ltr to Client	24-Aug-1998	ChI/ChII Decision	08-Feb-2000	IRE	IAB	GJS DLM
24-Feb-2000 24-Feb-2000	Publication 18M Due Date	24-Aug-1998	Publication 18M	02-Mar-2000	IRE	IAB	GJS DLM
24-Feb-2000 24-Mar-2000	ChI/ChII 18M Reminder	24-Aug-1998	ChI/ChII Decision	05-May-1955	IRE	IAB	GJS DLM
24-Mar-2000 24-Apr-2000	Art 19 Amns Due in 1M Reminder	24-Feb-2000	PCT Search Report	05-May-1955	IRE	IAB	GJS DLM
24-Mar-2000 24-Mar-2000	CHII DEMAND DEADLINE Final	24-Aug-1998	ChI/ChII Decision	20-Mar-2000	IRE	IAB	GJS DLM
24-Apr-2000 24-Apr-2000	CHI NATL PHASE DEADLI Final	24-Aug-1998	CHI NAT'L PHASE DEADLINE 20M	05-May-1955	IRE	IAB	GJS DLM

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Filing Date/ Application

Patent Number

**Issue Date** 

**Inventors** 

19374-507

WO

National

06-Aug-1999

US99/17862

Farmer, Sean

Title: PROBIOTIC, LACTIC, ACID-PRODUCING BACTERIA AND USES THEREOF

Remarks: EP 99939056.0

Due Date/ Final Date	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	A	ttorne	y
24-Apr-2000 24-Apr-2000	Demand Receipt Rec'd? Due Date	24-Aug-1998	Demand Receipt Rec'd?	20-Mar-2000	IRE	IAB~	GJS DLM
24-Apr-2000 24-Apr-2000	Art 19 Amns DUE Due Date	24-Feb-2000	PCT Search Report	05-May-1955	IRE	IAB	GJS DLM
24-Apr-2000 24-May-2000	Cite Art to USPTO Due in 1M Reminder	24-Feb-2000	Foreign Prior Art	05-May-1955	IRE	IAB	GJS DLM
24-May-2000 24-May-2000	Cite Art to USPTO DUE Due Date	24-Feb-2000	Foreign Prior Art	05-May-1955	IRE	IAB	GJS DLM
14-Aug-2000 14-Sep-2000	Resp to EP Opinion Due in 1M Reminder	14-Jun-2000	PCT Opinion EP 3M	05-May-1955	IRE	IAB	GJS DLM
14-Aug-2000 14-Sep-2000	Cite Art to USPTO Due in 1M Reminder	14-Jun-2000	Foreign Prior Art	05-May-1955	IRE	IAB	GJS DLM
24-Aug-2000 24-Aug-2000	Opinion Rec'd from IPEA? 24 Due Date	24-Aug-1998	Opinion Rec'd from IPEA? 24M	14-Jun-2000	IRE	IAB	GJS DLM
14-Sep-2000 14-Sep-2000	Cite Art to USPTO DUE Due Date	14-Jun-2000	Foreign Prior Art	05-May-1955	IRE	IAB	GJS DLM
14-Sep-2000 14-Sep-2000	Resp to EP Opinion DUE Due Date	14-Jun-2000	PCT Opinion EP 3M	05-May-1955	IRE	IAB	GJS DLM
24-Nov-2000 24-Feb-2001	ChII 27M Ltr to Client	24-Aug-1998	ChII Decision	08-Dec-2000	IRE	IAB	GJS DLM
24-Dec-2000 24-Feb-2001	ChII 28M Reminder	24-Aug-1998	ChII Decision	02-Feb-2001	IRE	IAB	GJS DLM
08-Jan-2001 08-Jan-2001	IPER Rec'd from IPEA? 28.5M Due Date	24-Aug-1998	IPER Rec'd from IPEA? 28.5M	01-Dec-2000	IRE	IAB	GJS DLM
24-Jan-2001 24-Feb-2001	ChII 29M Reminder	24-Aug-1998	ChII Decision	02-Feb-2001	IRE	IAB	GJS DLM
01-Feb-2001 01-Mar-2001	Cite Art to USPTO Due in 1M Reminder	01-Dec-2000	Foreign Prior Art		IRE	IAB	GJS DLM
24-Feb-2001 24-Feb-2001	If US designated, file US NAT Final	24-Aug-1998	ChII Decision	02-Feb-2001	IRE	IAB	GJS DLM
24-Feb-2001 24-Feb-2001	CHII NAT'L PHASE DEADLI Final	24-Aug-1998	ChII Decision	02-Feb-2001	IRE	IAB	GJS DLM
01-Mar-2001 01-Mar-2001	Cite Art to USPTO DUE Due Date	01-Dec-2000	Foreign Prior Art		IRE	IAB	GJS DLM

#### **Docket Check Report**

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**Issue Date** 

**Inventors** 

19374-508

Pending

26-Aug-1999

Farmer, Sean

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US

09/383975

Title: TOPICAL COMPOSITIONS CONTAINING PROBIOTIC BACILLUS BACTERIA,

SPORES, AND EXTRACELLULAR PRODUCTS AND USES THEREOF

Remarks: Assigned from Sean Farmer to Ganeden Biotech, Inc. Recorded at Reel/Frame 010392/0632

(8 pgs.) on 11/11/99.

PCT Search Report references submitted in original IDS per M. Guinazzo 1/9/02.

Due Date/ Final Date	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	A	ttorne	y
15-Nov-1999 15-Mar-2000	Missing Parts Due Due Date	15-Sep-1999	US Missing Parts	11-Nov-1999	IRE	IAB	GJS DLM
26-Nov-1999 26-Nov-1999	Information Disclosure Stmnt Due Date	26-Aug-1999	Information Disclosure Stmnt	17-Nov-1999	IRE	IAB	GJS DLM
15-Dec-1999 15-Mar-2000	Missing Parts Due w/ 1st Ext Due Date	15-Sep-1999	US Missing Parts	11-Nov-1999	IRE	IAB	GJS DLM
15-Jan-2000 15-Mar-2000	Missing Parts Due w/ 2nd Ext Due Date	15-Sep-1999	US Missing Parts	11-Nov-1999	IRE	IAB	GJS DLM
15-Feb-2000 15-Mar-2000	Missing Parts Due w/ 3rd Ext Due Date	15-Sep-1999	US Missing Parts	11-Nov-1999	IRE	IAB	GJS DLM
01-Mar-2000 15-Mar-2000	Missing Parts Due in 2Wks Due Date	15-Sep-1999	US Missing Parts	11-Nov-1999	IRE	IAB	GJS DLM
15-Mar-2000 15-Mar-2000	MISSING PARTS DUE w/ FI Final	15-Sep-1999	US Missing Parts	11-Nov-1999	IRE	IAB	GJS DLM
26-Apr-2000 26-Aug-2000	Foreign 8M Letter Due Date	26-Aug-1999	Foreign Filing	26-Jun-2000	IRE	IAB	GJS DLM
29-May-2000 29-Sep-2000	Resp to 3M O.A. Due in 1M Due Date	29-Mar-2000	US 3 Month Office Action	29-Sep-2000	IRE	IAB	GJS DLM
26-Jun-2000 26-Aug-2000	Foreign 10M Reminder Due Date	26-Aug-1999	Foreign Filing	26-Jun-2000	IRE	IAB	GJS DLM
29-Jun-2000 29-Sep-2000	Resp to 3M O.A. Due Due Date	29-Mar-2000	US 3 Month Office Action	29-Sep-2000	IRE	IAB	GJS DLM
26-Jul-2000 26-Aug-2000	Foreign 11M Reminder Due Date	26-Aug-1999	Foreign Filing	31-Jul-2000	IRE	IAB	GJS DLM
29-Jul-2000 29-Sep-2000	Resp to 3M O.A. Due 1st Ext Due Date	29-Mar-2000	US 3 Month Office Action	29-Sep-2000	IRE	IAB	GJS DLM
26-Aug-2000 26-Aug-2000	FOREIGN 12M DEADLINE Final	26-Aug-1999	Foreign Filing	25-Aug-2000	IRE	IAB	GJS DLM
29-Aug-2000 29-Sep-2000	Resp to 3M O.A. Due 2nd Ext Due Date	29-Mar-2000	US 3 Month Office Action	29-Sep-2000	IRE	IAB	GJS DLM
15-Sep-2000 29-Sep-2000	Resp to 3M O.A. Due in 2Wks Due Date	29-Mar-2000	US 3 Month Office Action	29-Sep-2000	IRE	IAB	GJS DLM

#### **Docket Check Report**

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Case Number/ Country/Sub Case Filing Date/
Status Application

Patent Number Issue Date

Inventors

19374-508

Pending

26-Aug-1999

Farmer, Sean

US

09/383975

Title:

Title: TOPICAL COMPOSITIONS CONTAINING PROBIOTIC BACILLUS BACTERIA,

SPORES, AND EXTRACELLULAR PRODUCTS AND USES THEREOF

Remarks: Assigned from Sean Farmer to Ganeden Biotech, Inc. Recorded at Reel/Frame 010392/0632

(8 pgs.) on 11/11/99.

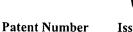
PCT Search Report references submitted in original IDS per M. Guinazzo 1/9/02.

Due Date/ Final Date	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	At	torne	y
29-Sep-2000 29-Sep-2000	DEADLINE TO RESP TO 3M Final	29-Mar-2000	US 3 Month Office Action	29-Sep-2000	IRE	IAB	GJS DLM
04-Nov-2000 04-Dec-2000	REVIVE APPLICATION  Due Date	04-Oct-2000	US Notice Of Abandonment	03-Jul-2001	IRE	IAB	GJS DLM
04-Dec-2000 04-Dec-2000	REVIVE APPLICATION  Due Date	04-Oct-2000	US Notice Of Abandonment	03-Jul-2001	IRE	IAB	GJS DLM
26-Feb-2001 26-Feb-2001	Application Status Check Due Date	26-Aug-1999	Application Status Check		IRE	IAB	GJS DLM
03-Sep-2001 03-Jan-2002	Resp to 3M O.A. Due in 1M Due Date	03-Jul-2001	US 3 Month Office Action	03-Jan-2002	IRE	IAB	GJS DLM
03-Oct-2001 03-Jan-2002	Resp to 3M O.A. Due Due Date	03-Jul-2001	US 3 Month Office Action	03-Jan-2002	IRE	IAB	GJS DLM
03-Nov-2001 03-Jan-2002	Resp to 3M O.A. Due 1st Ext Due Date	03-Jul-2001	US 3 Month Office Action	03-Jan-2002	IRE	IAB	GJS DLM
03-Dec-2001 03-Jan-2002	Resp to 3M O.A. Due 2nd Ext Due Date	03-Jul-2001	US 3 Month Office Action	03-Jan-2002	IRE	IAB	GJS DLM
19-Dec-2001 03-Jan-2002	Resp to 3M O.A. Due in 2Wks Due Date	03-Jul-2001	US 3 Month Office Action	03-Jan-2002	IRE	IAB	GJS DLM
03-Jan-2002 03-Jan-2002	DEADLINE TO RESP TO 3M Final	03-Jul-2001	US 3 Month Office Action	03-Jan-2002	IRE	IAB	GJS DLM

#### **Docket Check Report**

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Case Number/ Country/Sub Case Filing Date/ **Application** 



**Issue Date** 

19374-508

**Status** Published

25-Aug-2000

Farmer, Sean

**Inventors** 

WO

US00/23300

Title: TOPICAL COMPOSITIONS CONTAINING PROBIOTIC BACILLUS BACTERIA,

SPORES, AND EXTRACELLULAR PRODUCTS AND USES THEREOF

Remarks: Assigned from Sean Farmer to Ganeden Biotech, Inc. Recorded at Reel/Frame 010392/0632

(8 pgs.) on 11/11/99.

EP Application No. 00961363.9

<b>Due Date/ Final Date</b>	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	A	ttorne	y
25-Sep-2000 25-Sep-2000	Filing Receipt Rec'd? Due Date	25-Aug-2000	Filing Receipt Rec'd?	03-Oct-2000	IRE	IAB	GJS DLM
03-Nov-2000 03-Nov-2000	Resp to Invi to Correct DUE  Due Date	03-Oct-2000	PCT Invi to Correct Defects	03-Nov-2000	IRE	IAB	GJS DLM
26-Nov-2000 26-Nov-2000	Confirm Designations 15M Reminder	26-Aug-1999	Confirm Designations 15M	05-May-1955	IRE	IAB	GJS DLM
03-Dec-2000 03-Mar-2001	Rsp to Invi to Correct BY EXT Due Date	03-Oct-2000	PCT Invi to Correct Ext	04-Dec-2000	IRE	IAB	GJS DLM
26-Dec-2000 26-Dec-2000	Priority Docs Due at IB 16M Due Date	26-Aug-1999	Priority Docs Due at IB 16M	12-Dec-2000	IRE	IAB	GJS DLM
03-Jan-2001 03-Mar-2001	Rsp to Invi to Correct BY EXT Due Date	03-Oct-2000	PCT Invi to Correct Ext	03-Jan-2001	IRE	IAB	GJS DLM
10-Jan-2001 10-Jan-2001	Srch Rpt Rec'd from ISA? 16.5 Due Date	26-Aug-1999	Srch Rpt Rec'd from ISA? 16.5M	27-Feb-2001	IRE	IAB	GJS DLM
26-Jan-2001 26-Jan-2001	Cancel Publication? 17M Reminder	26-Aug-1999	Cancel Publication? 17M	05-May-1955	IRE	IAB	GJS DLM
26-Jan-2001 26-Mar-2001	ChI/ChII 17M Ltr to Client	26-Aug-1999	ChI/ChII Decision	27-Feb-2001	IRE	IAB	GJS DLM
03-Feb-2001 03-Mar-2001	Rsp to Invi to Correct BY EXT Due Date	03-Oct-2000	PCT Invi to Correct Ext	02-Feb-2001	IRE	IAB	GJS DLM
26-Feb-2001 26-Mar-2001	ChI/ChII 18M Reminder	26-Aug-1999	ChI/ChII Decision	27-Feb-2001	IRE	IAB	GJS DLM
26-Feb-2001 26-Feb-2001	Publication 18M Due Date	26-Aug-1999	Publication 18M	01-Mar-2001	IRE	IAB	GJS DLM
03-Mar-2001 03-Mar-2001	Rsp to Invi to Correct BY EXT Due Date	03-Oct-2000	PCT Invi to Correct Ext	23-Mar-2001	IRE	IAB	GJS DLM
26-Mar-2001 26-Mar-2001		26-Aug-1999	ChI/ChII Decision	23-Mar-2001	IRE	IAB	GJS DLM
27-Mar-2001 27-Apr-2001	Art 19 Amns Due in 1M Reminder	27-Feb-2001	PCT Search Report	27-Mar-2001	IRE	IAB	GJS DLM
26-Apr-2001 26-Apr-2001	Demand Receipt Rec'd? Due Date	26-Aug-1999	Demand Receipt Rec'd?	05-May-1955	IRE	IAB	GJS DLM

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Patent Number

Issue Date

Inventors

19374-508

Published

25-Aug-2000

Farmer, Sean

WO

US00/23300

Title: TOPICAL COMPOSITIONS CONTAINING PROBIOTIC BACILLUS BACTERIA,

SPORES, AND EXTRACELLULAR PRODUCTS AND USES THEREOF

Remarks: Assigned from Sean Farmer to Ganeden Biotech, Inc. Recorded at Reel/Frame 010392/0632

(8 pgs.) on 11/11/99.

EP Application No. 00961363.9

Due Date/ Final Date	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	A	ttorne	y
26-Apr-2001 26-Apr-2001	CHI NATL PHASE DEADLI Final	26-Aug-1999	CHI NAT'L PHASE DEADLINE 20M	23-Mar-2001	IRE	IAB	GJS DLM
27-Apr-2001 27-Apr-2001	Art 19 Amns DUE Due Date	27-Feb-2001	PCT Search Report	05-May-1955	IRE	IAB	GJS DLM
27-Apr-2001 27-May-2001	Cite Art to USPTO Due in 1M Reminder	27-Feb-2001	Foreign Prior Art	05-Apr-2001	IRE	IAB	GJS DLM
27-May-2001 27-May-2001	Cite Art to USPTO DUE Due Date	27-Feb-2001	Foreign Prior Art	05-Apr-2001	IRE	IAB	GJS DLM
26-Aug-2001 26-Aug-2001	Opinion Rec'd from IPEA? 24 Due Date	26-Aug-1999	Opinion Rec'd from IPEA? 24M	24-Jul-2001	IRE	IAB	GJS DLM
15-Sep-2001 15-Sep-2001	Response to Communication-P Final	15-Aug-2001	PCT Communication	07-Sep-2001	IRE	IAB	GJS DLM
24-Sep-2001 24-Oct-2001	Resp to EP Opinion Due in 1M Reminder	24-Jul-2001	PCT Opinion EP 3M	05-May-1955	IRE	IAB	GJS DLM
24-Sep-2001 24-Oct-2001	Cite Art to USPTO Due in 1M Reminder	24-Jul-2001	Foreign Prior Art	05-May-1955	IRE	IAB	GJS DLM
24-Oct-2001 24-Oct-2001	Resp to EP Opinion DUE Due Date	24-Jul-2001	PCT Opinion EP 3M		IRE	IAB	GJS DLM
24-Oct-2001 24-Oct-2001	Cite Art to USPTO DUE Due Date	24-Jul-2001	Foreign Prior Art	05-May-1955	IRE	IAB	GJS DLM
26-Nov-2001 26-Feb-2002	ChII 27M Ltr to Client	26-Aug-1999	ChII Decision	05-May-1955	IRE	IAB	GJS DLM
30-Nov-2001 30-Nov-2001	Submit corrected POA Final	31-Oct-2001	PCT Defective POA or Revocatio	30-Nov-2001	IRE	IAB	GJS DLM
26-Dec-2001 26-Feb-2002	ChII 28M Reminder	26-Aug-1999	ChII Decision	05-May-1955	IRE	IAB	GJS DLM
10-Jan-2002 10-Jan-2002	IPER Rec'd from IPEA? 28.5M Due Date	26-Aug-1999	IPER Rec'd from IPEA? 28.5M	20-Nov-2001	IRE	IAB	GJS DLM
20-Jan-2002 20-Feb-2002	Cite Art to USPTO Due in 1M Reminder	20-Nov-2001	Foreign Prior Art	05-May-1955	IRE	IAB	GJS DLM
26-Jan-2002 26-Feb-2002	ChII 29M Reminder	26-Aug-1999	ChII Decision	18-Jan-2002	IRE	IAB	GJS DLM

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Filing Date/ Application

Patent Number

**Issue Date** 

**Inventors** 

19374-508

Published

25-Aug-2000

Farmer, Sean

WO

US00/23300

Title: TOPICAL COMPOSITIONS CONTAINING PROBIOTIC BACILLUS BACTERIA,

SPORES, AND EXTRACELLULAR PRODUCTS AND USES THEREOF

Remarks: Assigned from Sean Farmer to Ganeden Biotech, Inc. Recorded at Reel/Frame 010392/0632

(8 pgs.) on 11/11/99.

EP Application No. 00961363.9

Due Date/ Final Date	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	A	ttorne	y
20-Feb-2002 20-Feb-2002	Cite Art to USPTO DUE Due Date	20-Nov-2001	Foreign Prior Art	05-May-1955	IRE	IAB	GJS DLM
	If US designated, file US NAT Final	26-Aug-1999	ChII Decision		IRE	IAB	GJS DLM
26-Feb-2002 26-Feb-2002	CHII NAT'L PHASE DEADLI Final	26-Aug-1999	ChII Decision		IRE	IAB	GJS DLM

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Status

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Patent Number

Issue Date

**Inventors** 

19374-508 DIV1

Pending

20-Jul-2000

Farmer, Sean

US

09/620622

Title: TOPICAL COMPOSITIONS CONTAINING PROBIOTIC BACILLUS BACTERIA,

SPORES, AND EXTRACELLULAR PRODUCTS AND USES THEREOF

Remarks: DIV of 08/764522, filed 12/12/96 (6,090,544) and a DIV of 09/383975, filed 8/26/99 and

claims priority to 60/044643, filed 4/18/97.

Due Date/ Final Date	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	A	ttorne	y
20-Oct-2000 20-Oct-2000	Information Disclosure Stmnt Due Date	20-Jul-2000	Information Disclosure Strunt	27-Oct-2000	IRE	IAB	GJS DLM
20-Oct-2000 20-Oct-2000	Filing Receipt Rec'd? Due Date	20-Jul-2000	Filing Receipt Rec'd?	05-May-1955	IRE	IAB	GJS DLM
02-Dec-2001 02-Apr-2002	Resp to 3M O.A. Due in 1M Due Date	02-Oct-2001	US 3 Month Office Action	23-Jan-2002	IRE	IAB	GJS DLM
02-Jan-2002 02-Apr-2002	Resp to 3M O.A. Due Due Date	02-Oct-2001	US 3 Month Office Action	23-Jan-2002	IRE	IAB	GJS DLM
20-Jan-2002 20-Jan-2002	Application Status Check Due Date	20-Jul-2000	Application Status Check	02-Oct-2001	IRE	IAB	GJS DLM
02-Feb-2002 02-Apr-2002	Resp to 3M O.A. Due 1st Ext Due Date	02-Oct-2001	US 3 Month Office Action	23-Jan-2002	IRE	IAB	GJS DLM
02-Mar-2002 02-Apr-2002	Resp to 3M O.A. Due 2nd Ext Due Date	02-Oct-2001	US 3 Month Office Action	23-Jan-2002	IRE	IAB	GJS DLM
18-Mar-2002 02-Apr-2002	Resp to 3M O.A. Due in 2Wks Due Date	02-Oct-2001	US 3 Month Office Action	23-Jan-2002	IRE	IAB	GJS DLM
02-Apr-2002 02-Apr-2002	DEADLINE TO RESP TO 3M Final	02-Oct-2001	US 3 Month Office Action	23-Jan-2002	IRE	IAB	GJS DLM

#### **Docket Check Report**

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Application Patent Number

**Issue Date** 

**Inventors** 

19374-509

Pending

08-Nov-2000

Filing Date/

Farmer, Sean

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US

09/708870

Title: INHIBITION OF PATHOGENS BY PROBIOTIC BACTERIA

Remarks: Claims priority to 60/163959, filed 11/8/99 and 60/198404, filed 4/19/00.

Updated filing receipt received, dated 8/16/01. Confirmation number 2981

Supplemental IDS filed 12/7/01. Supplemental IDS filed 12/21/01.

Due Date/ Final Date	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	A	ttorne	y
08-Feb-2001 08-Feb-2001	Filing Receipt Rec'd? Due Date	08-Nov-2000	Filing Receipt Rec'd?	17-Jan-2001	IRE	IAB	GJS DLM
08-Feb-2001 08-Feb-2001	Information Disclosure Stmnt Due Date	08-Nov-2000	Information Disclosure Stmnt	10-Sep-2001	IRE	IAB	GJS DLM
17-Mar-2001 17-Jul-2001	Missing Parts Due Due Date	17-Jan-2001	US Missing Parts	17-Jul-2001	IRE	IAB	GJS DLM
17-Apr-2001 17-Jul-2001	Missing Parts Due w/ 1st Ext Due Date	17-Jan-2001	US Missing Parts	17-Jul-2001	IRE	IAB	GJS DLM
17-May-2001 17-Jul-2001	Missing Parts Due w/ 2nd Ext Due Date	17-Jan-2001	US Missing Parts	17-Jul-2001	IRE	IAB	GJS DLM
17-Jun-2001 17-Jul-2001	Missing Parts Due w/ 3rd Ext Due Date	17-Jan-2001	US Missing Parts	17-Jul-2001	IRE	IAB	GJS DLM
03-Jul-2001 17-Jul-2001	Missing Parts Due in 2Wks Due Date	17-Jan-2001	US Missing Parts	17-Jul-2001	IRE	IAB	GJS DLM
08-Jul-2001 08-Nov-2001	Foreign 8M Letter Due Date	08-Nov-2000	Foreign Filing	05-May-1955	IRE	IAB	GJS DLM
17-Jul-2001 17-Jul-2001	MISSING PARTS DUE w/ FI Final	17-Jan-2001	US Missing Parts	17-Jul-2001	IRE	IAB	GJS DLM
08-Sep-2001 08-Nov-2001	Foreign 10M Reminder Due Date	08-Nov-2000	Foreign Filing	05-May-1955	IRE	IAB	GJS DLM
08-Oct-2001 08-Nov-2001	Foreign 11M Reminder Due Date	08-Nov-2000	Foreign Filing	05-May-1955	IRE	IAB	GJS DLM
08-Nov-2001 08-Nov-2001	FOREIGN 12M DEADLINE Final	08-Nov-2000	Foreign Filing	05-May-1955	IRE	IAB	GJS DLM
20-Jan-2002 20-Jun-2002	Due Date - Election Due Date	20-Dec-2001	US Restriction		IRE	IAB	GJS DLM
20-Feb-2002 20-Jun-2002	Restrict or Elect w/ 1st Ext Due Date	20-Dec-2001	US Restriction		IRE	IAB	GJS DLM
20-Mar-2002 20-Jun-2002	Restrict or Elect w/ 2nd Ext Due Date	20-Dec-2001	US Restriction	·	IRE	IAB	GJS DLM
20-Apr-2002 20-Jun-2002	Restrict or Elect w/ 3rd Ext Due Date	20-Dec-2001	US Restriction		IRE	IAB	GJS DLM

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**Patent Number** 

**Issue Date** 

**Inventors** 

Farmer, Sean

19374-509

US

Pending

08-Nov-2000

09/708870

Title: INHIBITION OF PATHOGENS BY PROBIOTIC BACTERIA

Remarks: Claims priority to 60/163959, filed 11/8/99 and 60/198404, filed 4/19/00.

Updated filing receipt received, dated 8/16/01. Confirmation number 2981

Supplemental IDS filed 12/7/01. Supplemental IDS filed 12/21/01.

Due Date/ Final Date	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	A	ttorne	y
08-May-2002 08-May-2002	Application Status Check Due Date	08-Nov-2000	Application Status Check		IRE	IAB	GJS DLM
20-May-2002 20-Jun-2002	Restrict or Elect w/ 4th Ext Due Date	20-Dec-2001	US Restriction		IRE	IAB	GJS DLM
06-Jun-2002 20-Jun-2002	Restrict or Elect Due in 2Wks Due Date	20-Dec-2001	US Restriction		IRE	IAB	GJS DLM
20-Jun-2002 20-Jun-2002	DEADLINE TO RESTRICT C	20-Dec-2001	US Restriction		IRE	IAB	GJS DLM

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**Issue Date** 

Inventors

19374-509 PRO

Abandoned

08-Nov-1999

Farmer, Sean

US

60/163959

Title: ISOLATION AND CHARACTERIZATION OF PROBIOTIC STRAINS OF

BACILLUS COAGULANS

Remarks: rmdr IAB/SAW 10/25

Due Date/ Final Date	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	Aı	ttorne	y
08-Jul-2000 08-Nov-2000	Foreign 8M Letter Due Date	08-Nov-1999	Foreign Filing	17-Jul-2000	IRE	IAB	GJS DLM
08-Jul-2000 08-Nov-2000	Provisional 8M Letter Due Date	08-Nov-1999	Conversion	17-Jul-2000	IRE	IAB	GJS DLM
08-Sep-2000 08-Nov-2000	Foreign 10M Reminder Due Date	08-Nov-1999	Foreign Filing	08-Nov-2000	IRE	IAB	GJS DLM
08-Sep-2000 08-Nov-2000	Convert from Provisional? 10 Due Date	08-Nov-1999	Conversion	08-Nov-2000	IRE	IAB	GJS DLM
08-Oct-2000 08-Nov-2000	Foreign 11M Reminder Due Date	08-Nov-1999	Foreign Filing	08-Nov-2000	IRE	IAB	GJS DLM
08-Oct-2000 08-Nov-2000	Convert from Provisional? 11 Due Date	08-Nov-1999	Conversion	08-Nov-2000	IRE	IAB	GJS DLM
08-Nov-2000 08-Nov-2000	FOREIGN 12M DEADLINE Final	08-Nov-1999	Foreign Filing	08-Nov-2000	IRE	IAB	GJS DLM
08-Nov-2000 08-Nov-2000		08-Nov-1999	Conversion	08-Nov-2000	IRE	IAB	GJS DLM

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Patent Number

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**Inventors** 

19374-509 PRO

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08-Nov-2000

Farmer, Sean

WO

US00/30737

Title: ISOLATION AND CHARACTERIZATION OF PROBIOTIC STRAINS OF

**BACILLUS COAGULANS** 

Remarks: Claims priority to 60/163959, filed 11/8/99 and 60/198404, filed 4/19/00.

Title, as amended: INHIBITION OF PATHOGENS BY BACILLUS COAGULANS

**STRAINS** 

EP Appln No. 00978435.6

Due Date/ Final Date	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	A	ttorne	y
08-Dec-2000 08-Dec-2000	Filing Receipt Rec'd? Due Date	08-Nov-2000	Filing Receipt Rec'd?	06-Dec-2000	IRE	IAB	GJS DLM
06-Jan-2001 06-Jan-2001	Resp to Invi to Correct DUE  Due Date	06-Dec-2000	PCT Invi to Correct Defects	08-Jan-2001	IRE	IAB	GJS DLM
08-Feb-2001 08-Feb-2001	Confirm Designations 15M Reminder	08-Nov-1999	Confirm Designations 15M	05-May-1955	IRE	IAB	GJS DLM
08-Mar-2001 08-Mar-2001	Priority Docs Due at IB 16M Due Date	08-Nov-1999	Priority Docs Due at IB 16M	23-Jan-2001	IRE	IAB	GJS DLM
23-Mar-2001 23-Mar-2001	Srch Rpt Rec'd from ISA? 16.5 Due Date	08-Nov-1999	Srch Rpt Rec'd from ISA? 16.5M	27-Feb-2001	IRE	IAB	GJS DLM
27-Mar-2001 27-Apr-2001	Art 19 Amns Due in 1M Reminder	27-Feb-2001	PCT Search Report	27-Mar-2001	IRE	IAB	GJS DLM
08-Apr-2001 08-Jun+2001	ChI/ChII 17M Ltr to Client	08-Nov-1999	ChI/ChII Decision	10-Apr-2001	IRE	IAB	GJS DLM
08-Apr-2001 08-Apr-2001	Cancel Publication? 17M Reminder	08-Nov-1999	Cancel Publication? 17M	05-May-1955	IRE	IAB	GJS DLM
27-Apr-2001 27-May-2001	Cite Art to USPTO Due in 1M Reminder	27-Feb-2001	Foreign Prior Art	27-Apr-2001	IRE	IAB	GJS DLM
27-Apr-2001 27-Apr-2001	Art 19 Amns DUE Due Date	27-Feb-2001	PCT Search Report	05-May-1955	IRE	IAB	GJS DLM
08-May-2001 08-May-2001	Publication 18M Due Date	08-Nov-1999	Publication 18M	17-May-2001	IRE	IAB	GJS DLM
08-May-2001 08-Jun-2001	ChI/ChII 18M Reminder	08-Nov-1999	ChI/ChII Decision	25-May-2001	IRE	IAB	GJS DLM
27-May-2001 27-May-2001	Cite Art to USPTO DUE Due Date	27-Feb-2001	Foreign Prior Art	05-May-1955	IRE	IAB	GJS DLM
08-Jun-2001 08-Jun-2001	CHII DEMAND DEADLINE Final	08-Nov-1999	ChI/ChII Decision	06-Jun-2001	IRE	IAB	GJS DLM
08-Jul-2001 08-Jul-2001	CHI NAT'L PHASE DEADLI Final	08-Nov-1999	CHI NAT'L PHASE DEADLINE 20M	05-May-1955	IRE	IAB	GJS DLM

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**Inventors** 

19374-509 PRO

Published

08-Nov-2000

Farmer, Sean

WO

US00/30737

Title: ISOLATION AND CHARACTERIZATION OF PROBIOTIC STRAINS OF

**BACILLUS COAGULANS** 

Remarks: Claims priority to 60/163959, filed 11/8/99 and 60/198404, filed 4/19/00.

Title, as amended: INHIBITION OF PATHOGENS BY BACILLUS COAGULANS

**STRAINS** 

EP Appln No. 00978435.6

Due Date/ Final Date	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	A	ttorne	y
08-Jul-2001 08-Jul-2001	Demand Receipt Rec'd? Due Date	08-Nov-1999	Demand Receipt Rec'd?	06-Jun-2001	IRE	IAB	GJS DLM
29-Jul-2001 29-Jul-2001	Pay filing fees Final	19-Jun-2001	PCT Ntc of Insuff Dep Acct	05-Jul-2001	IRE	IAB	GJS DLM
08-Nov-2001 08-Nov-2001	Opinion Rec'd from IPEA? 24 Due Date	08-Nov-1999	Opinion Rec'd from IPEA? 24M	12-Sep-2001	IRE	IAB	GJS DLM
12-Nov-2001 12-Dec-2001	Cite Art to USPTO Due in 1M Reminder	12-Sep-2001	Foreign Prior Art		IRE	IAB	GJS DLM
12-Nov-2001 12-Dec-2001	Resp to EP Opinion Due in 1M Due Date	12-Sep-2001	PCT Opinion EP 3M		IRE	IAB	GJS DLM
12-Dec-2001 12-Dec-2001	Resp to EP Opinion DUE Final	12-Sep-2001	PCT Opinion EP 3M		IRE	IAB	GJS DLM
12-Dec-2001 12-Dec-2001	Cite Art to USPTO DUE Due Date	12-Sep-2001	Foreign Prior Art		IRE	IAB	GJS DLM
08-Feb-2002 08-May-2002	ChII 27M Ltr to Client	08-Nov-1999	ChII Decision		IRE	IAB	GJS DLM
08-Mar-2002 08-May-2002	ChII 28M Reminder	08-Nov-1999	ChII Decision		IRE	IAB	GJS DLM
23-Mar-2002 23-Mar-2002	IPER Rec'd from IPEA? 28.5M Due Date	08-Nov-1999	IPER Rec'd from IPEA? 28.5M		IRE	IAB	GJS DLM
08-Apr-2002 08-May-2002	ChII 29M Reminder	08-Nov-1999	ChII Decision		IRE	IAB	GJS DLM
08-May-2002 08-May-2002	CHII NAT'L PHASE DEADLI Final	08-Nov-1999	ChII Decision		IRE	IAB	GJS DLM
08-May-2002 08-May-2002	If US designated, file US NAT Final	08-Nov-1999	ChII Decision		IRE	IAB	GJS DLM

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Filing Date/ Application

Patent Number

**Issue Date** 

**Inventors** 

19374-509 PRO B

US

Abandoned

19-Apr-2000 60/198404

Farmer, Sean

Title: ADDITIONAL ISOLATION AND CHARACTERIZATION OF PROBIOTIC STRAINS OF

**BACILLUS COAGULANS** 

Due Date/ Final Date	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	At	ttorne	y
19-Dec-2000 19-Apr-2001	Foreign 8M Letter Due Date	19-Apr-2000	Foreign Filing	05-May-1955	IRE	IAB	GJS DLM
19-Dec-2000 19-Apr-2001	Provisional 8M Letter Due Date	19-Apr-2000	Conversion		IRE	IAB	GJS DLM
19-Feb-2001 19-Apr-2001	Foreign 10M Reminder Due Date	19-Apr-2000	Foreign Filing	05-May-1955	IRE	IAB	GJS DLM
19-Feb-2001 19-Apr-2001	Convert from Provisional? 10 Due Date	19-Apr-2000	Conversion		IRE	IAB	GJS DLM
19-Mar-2001 19-Apr-2001	Foreign 11M Reminder Due Date	19-Apr-2000	Foreign Filing	06-Apr-2001	IRE	IAB	GJS DLM
19-Mar-2001 19-Apr-2001	Convert from Provisional? 11 Due Date	19-Apr-2000	Conversion		IRE	IAB	GJS DLM
19-Apr-2001 19-Apr-2001	FOREIGN 12M DEADLINE Final	19-Apr-2000	Foreign Filing		IRE	IAB	GJS DLM
19-Apr-2001 19-Apr-2001	CONVERSION DEADLINE 1 Final	19-Apr-2000	Conversion		IRE	IAB	GJS DLM

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Country/Sub Case Status

Filing Date/ Application

Patent Number

**Issue Date** 

**Inventors** 

19374-510

Abandoned

26-Aug-1999

Farmer, Sean

US

09/384043

Title: USE OF EMU OIL AND ITS VARIOUS FRACTIONS AS A CARRIER

FOR ANTIFUNGAL, ANTIBACTERIAL AND ANTIVIRAL MEDICATIONS

AND PREPARATIONS

Remarks: Abnd per failure to resp to OA mailed 11/7/00.

"PCT Search Report Art cited in Original IDS" per M. Guinazzo 1/9/02.

Due Date/ Final Date	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	A	ttorne	y
10-Nov-1999 10-Mar-2000	Missing Parts Due Due Date	10-Sep-1999	US Missing Parts	10-Nov-1999	IRE	IAB	GJS DLM
26-Nov-1999 26-Nov-1999	Information Disclosure Stmnt Due Date	26-Aug-1999	Information Disclosure Stmnt	18-Nov-1999	IRE	IAB	GJS DLM
10-Dec-1999 10-Mar-2000	Missing Parts Due w/ 1st Ext Due Date	10-Sep-1999	US Missing Parts	10-Nov-1999	IRE	IAB	GJS DLM
10-Jan-2000 10-Mar-2000	Missing Parts Due w/ 2nd Ext Due Date	10-Sep-1999	US Missing Parts	10-Nov-1999	IRE	IAB	GJS DLM
10-Feb-2000 10-Mar-2000	Missing Parts Due w/ 3rd Ext Due Date	10-Sep-1999	US Missing Parts	10-Nov-1999	IRE	IAB	GJS DLM
27-Feb-2000 10-Mar-2000	Missing Parts Due in 2Wks Due Date	10-Sep-1999	US Missing Parts	10-Nov-1999	IRE	IAB	GJS DLM
10-Mar-2000 10-Mar-2000	MISSING PARTS DUE w/ FI Final	10-Sep-1999	US Missing Parts	10-Nov-1999	IRE	IAB	GJS DLM
10-Apr-2000 10-Aug-2000	Resp to 3M O.A. Due in 1M Due Date	10-Feb-2000	US 3 Month Office Action	10-Aug-2000	IRE	IAB	GJS DLM
26-Apr-2000 26-Aug-2000	Foreign 8M Letter Due Date	26-Aug-1999	Foreign Filing	26-Jun-2000	IRE	IAB	GJS DLM
10-May-2000 10-Aug-2000	Resp to 3M O.A. Due Due Date	10-Feb-2000	US 3 Month Office Action	10-Aug-2000	IRE	IAB	GJS DLM
10-Jun-2000 10-Aug-2000	Resp to 3M O.A. Due 1st Ext Due Date	10-Feb-2000	US 3 Month Office Action	10-Aug-2000	IRE	IAB	GJS DLM
26-Jun-2000 26-Aug-2000	Foreign 10M Reminder Due Date	26-Aug-1999	Foreign Filing	26-Jun-2000	IRE	IAB	GJS DLM
10-Jul-2000 10-Aug-2000	Resp to 3M O.A. Due 2nd Ext Due Date	10-Feb-2000	US 3 Month Office Action	10-Aug-2000	IRE	IAB	GJS DLM
26-Jul-2000 26-Aug-2000	Foreign 11M Reminder Due Date	26-Aug-1999	Foreign Filing	31-Jul-2000	IRE	IAB	GJS DLM
27-Jul-2000 10-Aug-2000	Resp to 3M O.A. Due in 2Wks Due Date	10-Feb-2000	US 3 Month Office Action	10-Aug-2000	IRE	IAB	GJS DLM
10-Aug-2000 10-Aug-2000	DEADLINE TO RESP TO 3M Final	10-Feb-2000	US 3 Month Office Action	10-Aug-2000	IRE	IAB	GJS DLM

#### **Docket Check Report**

**Patent Number** 

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**Inventors** 

Farmer, Sean

Case Number/ Country/Sub Case

Status Abandoned Application

Filing Date/

26-Aug-1999

**Issue Date** 

19374-510 US

09/384043

Title: USE OF EMU OIL AND ITS VARIOUS FRACTIONS AS A CARRIER

FOR ANTIFUNGAL, ANTIBACTERIAL AND ANTIVIRAL MEDICATIONS

AND PREPARATIONS

Remarks: Abnd per failure to resp to OA mailed 11/7/00.

"PCT Search Report Art cited in Original IDS" per M. Guinazzo 1/9/02.

Due Date/ Final Date	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	A	ttorne	y
26-Aug-2000 26-Aug-2000	FOREIGN 12M DEADLINE Final	26-Aug-1999	Foreign Filing	25-Aug-2000	IRE	IAB	GJS DLM
07-Jan-2001 07-May-2001	Amend after Final Due Date	07-Nov-2000	US Final Office Action		IRE	IAB	GJS DLM
07-Feb-2001 07-May-2001	Resp to Final Office Act. Due Due Date	07-Nov-2000	US Final Office Action		IRE	IAB	GJS DLM
26-Feb-2001 26-Feb-2001	Application Status Check Due Date	26-Aug-1999	Application Status Check	07-Nov-2000	IRE	IAB	GJS DLM
07-Mar-2001 07-May-2001	Resp to Final O.A. 1st Ext Reminder	07-Nov-2000	US Final Office Action		IRE	IAB	GJS DLM
07-Apr-2001 07-May-2001	Final O.A. 2nd Ext/Appeal? Reminder	07-Nov-2000	US Final Office Action		IRE	IAB	GJS DLM
24-Apr-2001 07-May-2001	2 Wks to Final O.A./Appeal?  Due Date	07-Nov-2000	US Final Office Action		IRE	IAB	GJS DLM
	FINAL O.A. DEADLINE/APP Final	07-Nov-2000	US Final Office Action	·	IRE	IAB	GJS DLM

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Application

Patent Number Iss

**Issue Date** 

**Inventors** 

19374-510

Published

25-Aug-2000

Farmer, Sean

wo

US00/23448

Title: USE OF EMU OIL AND ITS VARIOUS FRACTIONS AS A CARRIER

FOR ANTIFUNGAL, ANTIBACTERIAL AND ANTIVIRAL MEDICATIONS

AND PREPARATIONS

Remarks: Title, as amended: USE OF EMU OIL A CARRIER FOR ANTIFUNGAL,

ANTIBASTERIAL, AND ANTIVIRAL MEDICATIONS

EP Application No. 00964921.1

Due Date/ Final Date	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	A	ttorne	y
25-Sep-2000 25-Sep-2000	Filing Receipt Rec'd? Due Date	25-Aug-2000	Filing Receipt Rec'd?	13-Oct-2000	IRE	IAB	GJS DLM
13-Nov-2000 13-Nov-2000	Resp to Invi to Correct DUE Due Date	13-Oct-2000	PCT Invi to Correct Defects	13-Nov-2000	IRE	IAB	GJS DLM
26-Nov-2000 26-Nov-2000	Confirm Designations 15M Reminder	26-Aug-1999	Confirm Designations 15M	26-Nov-2000	IRE	IAB	GJS DLM
26-Dec-2000 26-Dec-2000	Priority Docs Due at IB 16M Due Date	26-Aug-1999	Priority Docs Due at IB 16M	10-May-2001	IRE	IAB	GJS DLM
10-Jan-2001 10-Jan-2002	Srch Rpt Rec'd from ISA? 16.5 Due Date	26-Aug-1999	Srch Rpt Rec'd from ISA? 16.5M	27-Feb-2001	IRE	IAB	GJS DLM
13-Jan-2001 13-Jan-2001	Rsp to Invi to Correct BY EXT Due Date	13-Oct-2000	PCT Invi to Correct Ext	23-Mar-2001	IRE	IAB	GJS DLM
26-Jan-2001 26-Mar-2001	ChI/ChII 17M Ltr to Client	26-Aug-1999	ChI/ChII Decision	15-Mar-2001	IRE	IAB	GJS DLM
26-Jan-2001 26-Jan-2001	Cancel Publication? 17M Reminder	26-Aug-1999	Cancel Publication? 17M	01-Mar-2001	IRE	IAB	GJS DLM
26-Feb-2001 26-Feb-2001	Publication 18M  Due Date	26-Aug-1999	Publication 18M	09-May-2001	IRE	IAB	GJS DLM
26-Feb-2001 26-Mar-2001	ChI/ChII 18M Reminder	26-Aug-1999	ChI/ChII Decision	15-Mar-2001	IRE	IAB	GJS DLM
26-Mar-2001 26-Mar-2001	CHII DEMAND DEADLINE Final	26-Aug-1999	ChI/ChII Decision	23-Mar-2001	IRE	IAB	GJS DLM
27-Mar-2001 27-Apr-2001	Art 19 Amns Due in 1M Reminder	27-Feb-2001	PCT Search Report	27-Mar-2001	IRE	IAB	GJS DLM
26-Apr-2001 26-Apr-2001	Demand Receipt Rec'd? Due Date	26-Aug-1999	Demand Receipt Rec'd?	23-Mar-2001	IRE	IAB	GJS DLM
26-Apr-2001 26-Apr-2001	CHI NATL PHASE DEADLI Final	26-Aug-1999	CHI NAT'L PHASE DEADLINE 20M	23-Mar-2001	IRE	IAB	GJS DLM
27-Apr-2001 27-Apr-2001	Art 19 Amns DUE Due Date	27-Feb-2001	PCT Search Report	05-May-1955	IRE	IAB	GJS DLM

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Filing Date/ Application

Patent Number

Issue Date

Inventors
Farmer, Sean

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WO

US00/23448

Title: USE OF EMU OIL AND ITS VARIOUS FRACTIONS AS A CARRIER

FOR ANTIFUNGAL, ANTIBACTERIAL AND ANTIVIRAL MEDICATIONS

AND PREPARATIONS

Remarks: Title, as amended: USE OF EMU OIL A CARRIER FOR ANTIFUNGAL,

ANTIBASTERIAL, AND ANTIVIRAL MEDICATIONS

EP Application No. 00964921.1

Due Date/ Final Date	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	A	ttorne	y
27-Apr-2001 27-May-2001	Cite Art to USPTO Due in 1M Reminder	27-Feb-2001	Foreign Prior Art	05-Apr-2001	IRE	IAB	GJS DLM
27-May-2001 27-May-2001	Cite Art to USPTO DUE Due Date	27-Feb-2001	Foreign Prior Art	05-Apr-2001	IRE	IAB	GJS DLM
26-Aug-2001 26-Aug-2001	•	26-Aug-1999	Opinion Rec'd from IPEA? 24M	07-Aug-2001	IRE	IAB	GJS DLM
07-Oct-2001 07-Nov-2001	Cite Art to USPTO Due in 1M Reminder	07-Aug-2001	Foreign Prior Art	05-May-1955	IRE	IAB	GJS DLM
07-Oct-2001 07-Nov-2001	Resp to EP Opinion Due in 1M Reminder	07-Aug-2001	PCT Opinion EP 3M	05-May-1955	IRE	IAB	GJS DLM
07-Nov-2001 07-Nov-2001	Cite Art to USPTO DUE Due Date	07-Aug-2001	Foreign Prior Art	05-May-1955	IRE	IAB	GJS DLM
07-Nov-2001 07-Nov-2001	Resp to EP Opinion DUE Due Date	07-Aug-2001	PCT Opinion EP 3M		IRE	IAB	GJS DLM
26-Nov-2001 26-Feb-2002	ChII 27M Ltr to Client	26-Aug-1999	ChII Decision		IRE	IAB	GJS DLM
26-Dec-2001 26-Feb-2002	ChII 28M Reminder	26-Aug-1999	ChII Decision		IRE	IAB	GJS DLM
10-Jan-2002 10-Jan-2002	Srch Rpt Rec'd from ISA? 16.5 Due Date	26-Aug-1999	Srch Rpt Rec'd from ISA? 16.5M	27-Feb-2001	IRE	IAB	GJS DLM
10-Jan-2002 10-Jan-2002	IPER Rec'd from IPEA? 28.5M Due Date	26-Aug-1999	IPER Rec'd from IPEA? 28.5M	17-Dec-2001	IRE	IAB	GJS DLM
26-Jan-2002 26-Feb-2002	ChII 29M Reminder	26-Aug-1999	ChII Decision		IRE	IAB	GJS DLM
17-Feb-2002 17-Mar-2002	Cite Art to USPTO Due in 1M Reminder	17-Dec-2001	Foreign Prior Art	05-May-1955	IRE	IAB	GJS DLM
26-Feb-2002 26-Feb-2002	CHII NAT'L PHASE DEADLI Final	26-Aug-1999	ChII Decision		IRE	IAB	GJS DLM
26-Feb-2002 26-Feb-2002	If US designated, file US NAT Final	26-Aug-1999	ChII Decision		IRE	IAB	GJS DLM

#### **Docket Check Report**

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**Issue Date** 

**Inventors** 

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Farmer, Sean

WO

US00/23448

Title: USE OF EMU OIL AND ITS VARIOUS FRACTIONS AS A CARRIER

FOR ANTIFUNGAL, ANTIBACTERIAL AND ANTIVIRAL MEDICATIONS

AND PREPARATIONS

Remarks: Title, as amended: USE OF EMU OIL A CARRIER FOR ANTIFUNGAL,

ANTIBASTERIAL, AND ANTIVIRAL MEDICATIONS

EP Application No. 00964921.1

Due Date/ Final Date	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	Attorne	y
17-Mar-2002 17-Mar-2002	Cite Art to USPTO DUE Due Date	17-Dec-2001	Foreign Prior Art	05-May-1955	IRE IAB	GJS DLM

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**Issue Date** 

**Inventors** 

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07-May-2001

Farmer, Sean

US

09/850466

Title: USE OF EMU OIL AND ITS VARIOUS FRACTIONS AS A CARRIER

FOR ANTIFUNGAL, ANTIBACTERIAL AND ANTIVIRAL MEDICATIONS

AND PREPARATIONS

Remarks: Confirmation No. 2756

CON of 09/384043, filed 8/26/99.

Request for corrected filing receipt filed 9/7/01.

Corrected filing receipt received dated 11/09/01. Response to request for corrected filing

receipt denied dated 11/09/01.

Supplemental IDS filed 11/21/01. Supp IDS filed based on PCT IPER 1/31/02.

Due Date/ Final Date	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	A	ttorne	y
07-Aug-2001 07-Aug-2001	Information Disclosure Stmnt Due Date	07-May-2001	Information Disclosure Stmnt	31-Jan-2002	IRE	IAB	GJS DLM
07-Aug-2001 07-Aug-2001	Filing Receipt Rec'd? Due Date	07-May-2001	Filing Receipt Rec'd?	20-Jun-2001	IRE	IAB	GJS DLM
28-Oct-2001 28-Feb-2002	Resp to 3M O.A. Due in 1M Due Date	28-Aug-2001	US 3 Month Office Action		IRE	IAB	GJS DLM
28-Nov-2001 28-Feb-2002	Resp to 3M O.A. Due Due Date	28-Aug-2001	US 3 Month Office Action		IRE	IAB	GJS DLM
28-Dec-2001 28-Feb-2002	Resp to 3M O.A. Due 1st Ext Due Date	28-Aug-2001	US 3 Month Office Action		IRE	IAB	GJS DLM
28-Jan-2002 28-Feb-2002	Resp to 3M O.A. Due 2nd Ext Due Date	28-Aug-2001	US 3 Month Office Action		IRE	IAB	GJS DLM
14-Feb-2002 28-Feb-2002	Resp to 3M O.A. Due in 2Wks Due Date	28-Aug-2001	US 3 Month Office Action		IRE	IAB	GJS DLM
28-Feb-2002 28-Feb-2002	DEADLINE TO RESP TO 3M Final	28-Aug-2001	US 3 Month Office Action		IRE	IAB	GJS DLM
26-Aug-2002 26-Aug-2002	Request for Examination Due Date	26-Aug-1999	Substantive Examination		IRE	IAB	GJS DLM
07-Nov-2002 07-Nov-2002	Application Status Check Due Date	07-May-2001	Application Status Check		IRE	IAB	GJS DLM

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Case Number/ Country/Sub Case Filing Date/ Application

Issue Date Inventors

19374-511

Status Pending

14-Apr-2000

Farmer, Sean

AU

43533/00

Lefkowitz, Andrew R.

Title: METHODS FOR INHIBITING MICROBIAL INFECTIONS ASSOCIATED WITH USE OF

SANITARY PRODUCTS AND FOR ENHANCING SANITARY PRODUCT

DEGRADATION, SYSTEMS AND COMPOSITIONS

Remarks: Title, as Amended: METHOD FOR INHIBITING MICROBIAL INFECTIONS

ASSOCIATED WITH SANITARY PRODUCTS

Due Date/ Final Date	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	A	ttorne	<b>y</b>
14-Apr-2001 14-Apr-2005	Req for Exam Due in 4Y Due Date	14-Apr-2000	Deferred Examination	05-May-1955	IRE	IAB	GJS DLM
14-Apr-2002 14-Apr-2005	Req for Exam Due in 3Y Due Date	14-Apr-2000	Deferred Examination		IRE	IAB	GJS DLM
14-Apr-2003 14-Apr-2005	Req for Exam Due in 2Y Due Date	14-Apr-2000	Deferred Examination		IRE	IAB	GJS DLM
14-Apr-2004 14-Apr-2005	Req for Exam Due in 1Y Due Date	14-Apr-2000	Deferred Examination		IRE	IAB	GJS DLM
14-Oct-2004 14-Apr-2005	Req for Exam Due in 6M Due Date	14-Apr-2000	Deferred Examination		IRE	IAB	GJS DLM
14-Dec-2004 14-Apr-2005	Req for Exam Due in 4M Due Date	14-Apr-2000	Deferred Examination		IRE	IAB	GJS DLM
14-Feb-2005 14-Apr-2005	Req for Exam Due in 2M Due Date	14-Apr-2000	Deferred Examination		IRE	IAB	GJS DLM
14-Mar-2005 14-Apr-2005	Req for Exam Due in 1M Due Date	14-Apr-2000	Deferred Examination		IRE	IAB	GJS DLM
14-Apr-2005 14-Apr-2005	REQ FOR EXAM DEADLINE	14-Apr-2000	Deferred Examination		IRE	IAB	GJS DLM

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Issue Date Inventors

19374-511

Status Pending

14-Apr-2000

Lefkowitz, Andrew R.

Farmer, Sean

CA

Title: METHODS FOR INHIBITING MICROBIAL INFECTIONS ASSOCIATED WITH USE OF

SANITARY PRODUCTS AND FOR ENHANCING SANITARY PRODUCT

DEGRADATION, SYSTEMS AND COMPOSITIONS

Remarks: Title, as Amended: METHOD FOR INHIBITING MICROBIAL INFECTIONS

ASSOCIATED WITH SANITARY PRODUCTS

<b>Due Date/ Final Date</b>	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	A	ttorne	y
14-Apr-2001 14-Apr-2005	Req for Exam Due in 4Y Due Date	14-Apr-2000	CA On or After 10/01/96	05-May-1955	IRE	IAB	GJS DLM
27-Oct-2001 27-Oct-2001	Resp to Associate Due Due Date	27-Sep-2001	Associate Letter	29-Oct-2001	IRE	IAB	GJS DLM
21-Jan-2002 21-Sep-2002	Submit Executed Assignment Reminder	21-Sep-2001	CA Assignment	03-Oct-2001	IRE	IAB	GJS DLM
21-Feb-2002 21-Mar-2002	Sequence Listing Due 1M Due Date	21-Sep-2001	CA Sequence Listing		IRE	IAB	GJS DLM
21-Mar-2002 21-Mar-2002	Submit Sequence Listing Final	21-Sep-2001	CA Sequence Listing		IRE	IAB	GJS DLM
14-Apr-2002 14-Apr-2005	Req for Exam Due in 3Y Due Date	14-Apr-2000	CA On or After 10/01/96		IRE	IAB	GJS DLM
21-Aug-2002 21-Sep-2002	Submit Executed Assignment I Due Date	21-Sep-2001	CA Assignment	03-Oct-2001	IRE	IAB	GJS DLM
21-Sep-2002 21-Sep-2002	Submit Executed Assignment Final	21-Sep-2001	CA Assignment	03-Oct-2001	IRE	IAB	GJS DLM
14-Apr-2003 14-Apr-2005	Req for Exam Due in 2Y Due Date	14-Apr-2000	CA On or After 10/01/96		IRE	IAB	GJS DLM
14-Apr-2004 14-Apr-2005	Req for Exam Due in 1Y Due Date	14-Apr-2000	CA On or After 10/01/96		IRE	IAB	GJS DLM
14-Oct-2004 14-Apr-2005	Req for Exam Due in 6M Due Date	14-Apr-2000	CA On or After 10/01/96		IRE	IAB	GJS DLM
14-Dec-2004 14-Apr-2005	Req for Exam Due in 4M Due Date	14-Apr-2000	CA On or After 10/01/96		IRE	IAB	GJS DLM
14-Feb-2005 14-Apr-2005	Req for Exam Due in 2M Due Date	14-Apr-2000	CA On or After 10/01/96		IRE	IAB	GJS DLM
14-Mar-2005 14-Apr-2005	Req for Exam Due in 1M Due Date	14-Apr-2000	CA On or After 10/01/96		IRE	IAB	GJS DLM
14-Apr-2005 14-Apr-2005	•	E 14-Apr-2000	CA On or After 10/01/96		IRE	IAB	GJS DLM

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Case Number/
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Filing Date/ Application Patent Number

**Issue Date** 

Inventors

19374-511

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14-Apr-2000

Farmer, Sean

EP

00923406.3

Lefkowitz, Andrew R.

Title: METHODS FOR INHIBITING MICROBIAL INFECTIONS ASSOCIATED WITH USE OF

SANITARY PRODUCTS AND FOR ENHANCING SANITARY PRODUCT

DEGRADATION, SYSTEMS AND COMPOSITIONS

Remarks: Title, as Amended: METHOD FOR INHIBITING MICROBIAL INFECTIONS

ASSOCIATED WITH SANITARY PRODUCTS

Due Date/ Final Date	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	A	ttorne	y
03-Jan-2002 03-Jan-2002	Resp to Assoc - Amended Clai Final	07-Dec-2001	EP Amended Claims		IRE	IAB	GJS DLM
23-Jul-2002 23-Jul-2002	Request Examination Due Date	23-Jan-2002	Request Examination		IRE	IAB	GJS DLM

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Case Number/ Country/Sub Case Status

Filing Date/ Application

**Patent Number** 

**Issue Date** 

**Inventors** Farmer, Sean

19374-511

Pending

14-Apr-2000

Lefkowitz, Andrew R.

ЛР

2000-610532

Title: METHODS FOR INHIBITING MICROBIAL INFECTIONS ASSOCIATED WITH USE OF

SANITARY PRODUCTS AND FOR ENHANCING SANITARY PRODUCT

DEGRADATION, SYSTEMS AND COMPOSITIONS

Remarks: Title, as Amended: METHOD FOR INHIBITING MICROBIAL INFECTIONS

ASSOCIATED WITH SANITARY PRODUCTS

Due Date/ Final Date	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	A	ttorne	y
14-Apr-2001 14-Apr-2007	Req for Exam Due in 6Y Due Date	14-Apr-2000	Deferred Examination	05-May-1955	IRE	IAB	GJS DLM
14-Apr-2002 14-Apr-2007	Req for Exam Due in 5Y Due Date	14-Apr-2000	Deferred Examination		IRE	IAB	GJS DLM
14-Apr-2003 14-Apr-2007	Req for Exam Due in 4Y Due Date	14-Apr-2000	Deferred Examination		IRE	IAB	GJS DLM
14-Apr-2004 14-Apr-2007	Req for Exam Due in 3Y Due Date	14-Apr-2000	Deferred Examination		IRE	IAB	GJS DLM
14-Apr-2005 14-Apr-2007	Req for Exam Due in 2Y Due Date	14-Apr-2000	Deferred Examination		IRE	IAB	GJS DLM
14-Apr-2006 14-Apr-2007	Req for Exam Due in 1Y Due Date	14-Apr-2000	Deferred Examination		IRE	IAB	GJS DLM
14-Oct-2006 14-Apr-2007	Req for Exam Due in 6M Due Date	14-Apr-2000	Deferred Examination		IRE	IAB	GJS DLM
14-Dec-2006 14-Apr-2007	Req for Exam Due in 4M Due Date	14-Apr-2000	Deferred Examination		IRE	IAB	GJS DLM
14-Feb-2007 14-Apr-2007	Req for Exam Due in 2M Due Date	14-Apr-2000	Deferred Examination		IRE	IAB	GJS DLM
14-Mar-2007 14-Apr-2007	Req for Exam Due in 1M Due Date	14-Apr-2000	Deferred Examination		IRE	IAB	GJS DLM
14-Apr-2007 14-Apr-2007	REQ FOR EXAM DEADLINE Final	14-Apr-2000	Deferred Examination		IRE	IAB	GJS DLM
14-Apr-2007 14-Apr-2007	Deferred Exam  Due Date	14-Apr-2000	Deferred Exam		IRE	IAB	GJS DLM
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# **Docket Check Report**

Case Number/ Filing Date/ Country/Sub Case Status **Application** 

**Patent Number** 

**Issue Date** 

**Inventors** 

19374-511 US

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14-Apr-1999 09/291789

Farmer, Sean Lefkowitz, Andrew R.

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Title: METHODS FOR INHIBITING MICROBIAL INFECTIONS ASSOCIATED WITH USE OF

SANITARY PRODUCTS AND FOR ENHANCING SANITARY PRODUCT

DEGRADATION, SYSTEMS AND COMPOSITIONS

Remarks: CON of PCT/US98/7307 filed 4/10/98; which claims priority from 60/044643, filed 4/18/97.

Due Date/ Final Date	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	A	ttorne	y
11-Jul-1999 11-Nov-1999	Missing Parts Due Due Date	11-May-1999	US Missing Parts	12-Jul-1999	IRE	IAB	GJS DLM
14-Jul-1999 14-Jul-1999	Information Disclosure Stmnt Due Date	14-Apr-1999	Information Disclosure Stmnt	21-Nov-2000	IRE	IAB	GJS DLM
11-Aug-1999 11-Nov-1999	Missing Parts Due w/ 1st Ext Reminder	11-May-1999	US Missing Parts	12-Jul-1999	IRE	IAB	GJS DLM
11-Sep-1999 11-Nov-1999	Missing Parts Due w/ 2nd Ext Reminder	11-May-1999	US Missing Parts	12-Jul-1999	IRE	IAB	GJS DLM
11-Oct-1999 11-Nov-1999	Missing Parts Due w/ 3rd Ext Reminder	11-May-1999	US Missing Parts	12-Jul-1999	IRE	IAB	GJS DLM
07-Nov-1999 07-Mar-2000	Resp to 3M O.A. Due in 1M Due Date	07-Sep-1999	US 3 Month Office Action	07-Mar-2000	IRE	IAB	GJS DLM
11-Nov-1999 11-Nov-1999	MISSING PARTS DUE w/ FI Final	11-May-1999	US Missing Parts	12-Jul-1999	IRE	IAB	GJS DLM
07-Dec-1999 07-Mar-2000	Resp to 3M O.A. Due Due Date	07-Sep-1999	US 3 Month Office Action	07-Mar-2000	IRE	IAB	GJS DLM
14-Dec-1999 14-Apr-2000	Foreign 8M Letter Due Date	14-Apr-1999	Foreign Filing	10-Dec-1999	IRE	IAB	GJS DLM
07-Jan-2000 07-Mar-2000	Resp to 3M O.A. Due 1st Ext Due Date	07-Sep-1999	US 3 Month Office Action	07-Mar-2000	IRE	IAB	GJS DLM
07-Feb-2000 07-Mar-2000	Resp to 3M O.A. Due 2nd Ext Due Date	07-Sep-1999	US 3 Month Office Action	07-Mar-2000	IRE	IAB	GJS DLM
14-Feb-2000 14-Apr-2000	Foreign 10M Reminder Due Date	14-Apr-1999	Foreign Filing	14-Apr-2000	IRE	IAB	GJS DLM
24-Feb-2000 07-Mar-2000	Resp to 3M O.A. Due in 2Wks Due Date	07-Sep-1999	US 3 Month Office Action	07-Mar-2000	IRE	IAB	GJS DLM
07-Mar-2000 07-Mar-2000	DEADLINE TO RESP TO 3M Final	07-Sep-1999	US 3 Month Office Action	07-Mar-2000	IRE	IAB	GJS DLM
14-Mar-2000 14-Apr-2000	Foreign 11M Reminder Due Date	14-Apr-1999	Foreign Filing	14-Apr-2000	IRE	IAB	GJS DLM
=	FOREIGN 12M DEADLINE Final	14-Apr-1999	Foreign Filing	14-Apr-2000	IRE	IAB	GJS DLM

#### **Docket Check Report**

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Status

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Patent Number

**Issue Date** 

**Inventors** 

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US

Pending

14-Apr-1999 09/291789

Farmer, Sean Lefkowitz, Andrew R.

Title: METHODS FOR INHIBITING MICROBIAL INFECTIONS ASSOCIATED WITH USE OF

SANITARY PRODUCTS AND FOR ENHANCING SANITARY PRODUCT

DEGRADATION, SYSTEMS AND COMPOSITIONS

Remarks: CON of PCT/US98/7307 filed 4/10/98; which claims priority from 60/044643, filed 4/18/97.

Due Date/ Final Date	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	Aı	ttorne	y
07-Aug-2000 07-Dec-2000	Resp to 3M O.A. Due in 1M Due Date	07-Jun-2000	US 3 Month Office Action	17-Nov-2000	IRE	IAB	GJS DLM
07-Sep-2000 07-Dec-2000	Resp to 3M O.A. Due Due Date	07-Jun-2000	US 3 Month Office Action	17-Nov-2000	IRE	IAB	GJS DLM
07-Oct-2000 07-Dec-2000	Resp to 3M O.A. Due 1st Ext Due Date	07-Jun-2000	US 3 Month Office Action	17-Nov-2000	IRE	IAB	GJS DLM
14-Oct-2000 14-Oct-2000	Application Status Check Due Date	14-Apr-1999	Application Status Check	07-Jun-2000	IRE	IAB	GJS DLM
07-Nov-2000 07-Dec-2000	Resp to 3M O.A. Due 2nd Ext Due Date	07-Jun-2000	US 3 Month Office Action	17-Nov-2000	IRE	IAB	GJS DLM
24-Nov-2000 07-Dec-2000	Resp to 3M O.A. Due in 2Wks Due Date	07-Jun-2000	US 3 Month Office Action	17-Nov-2000	IRE	IAB	GJS DLM
07-Dec-2000 07-Dec-2000	DEADLINE TO RESP TO 3M Final	07-Jun-2000	US 3 Month Office Action	17-Nov-2000	IRE	IAB	GJS DLM
27-Apr-2001 27-Aug-2001	Resp to 3M O.A. Due in 1M Due Date	27-Feb-2001	US 3 Month Office Action	27-Aug-2001	IRE	IAB	GJS DLM
27-May-2001 27-Aug-2001	Resp to 3M O.A. Due Due Date	27-Feb-2001	US 3 Month Office Action	27-Aug-2001	IRE	IAB	GJS DLM
27-Jun-2001 27-Aug-2001	Resp to 3M O.A. Due 1st Ext Due Date	27-Feb-2001	US 3 Month Office Action	27-Aug-2001	IRE	IAB	GJS DLM
27-Jul-2001 27-Aug-2001	Resp to 3M O.A. Due 2nd Ext Due Date	27-Feb-2001	US 3 Month Office Action	27-Aug-2001	IRE	IAB	GJS DLM
13-Aug-2001 27-Aug-2001	Resp to 3M O.A. Due in 2Wks Due Date	27-Feb-2001	US 3 Month Office Action	27-Aug-2001	IRE	IAB	GJS DLM
27-Aug-2001 27-Aug-2001	DEADLINE TO RESP TO 3M Final	27-Feb-2001	US 3 Month Office Action	27-Aug-2001	IRE	IAB	GJS DLM
21-Jan-2002 21-May-2002	Amend after Final Due Date	21-Nov-2001	US Final Office Action		IRE	IAB	GJS DLM
21-Feb-2002 21-May-2002	Resp to Final Office Act. Due Due Date	21-Nov-2001	US Final Office Action		IRE	IAB	GJS DLM
21-Mar-2002 21-May-2002	Resp to Final O.A. 1st Ext Reminder	21-Nov-2001	US Final Office Action		IRE	IAB	GJS DLM

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19374-511

Status Pending

14-Apr-1999

**Issue Date** 

US

09/291789

Lefkowitz, Andrew R.

**Inventors** 

Farmer, Sean

Title: METHODS FOR INHIBITING MICROBIAL INFECTIONS ASSOCIATED WITH USE OF

SANITARY PRODUCTS AND FOR ENHANCING SANITARY PRODUCT

DEGRADATION, SYSTEMS AND COMPOSITIONS

Remarks: CON of PCT/US98/7307 filed 4/10/98; which claims priority from 60/044643, filed 4/18/97.

Due Date/ Final Date	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	A	ttorne	y
21-Apr-2002 21-May-2002	Final O.A. 2nd Ext/Appeal? Reminder	21-Nov-2001	US Final Office Action		IRE	IAB	GJS DLM
07-May-2002 21-May-2002		21-Nov-2001	US Final Office Action		IRE	IAB	GJS DLM
21-May-2002 21-May-2002	FINAL O.A. DEADLINE/APP Final	21-Nov-2001	US Final Office Action		IRE	IAB	GJS DLM

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Case Number/ Filing Date/ Country/Sub Case **Application** Status **Patent Number Issue Date Inventors** 19374-511 Published 14-Apr-2000 Farmer, Sean WO US00/10222 Lefkowitz, Andrew R.

Title: METHODS FOR INHIBITING MICROBIAL INFECTIONS ASSOCIATED WITH USE OF

SANITARY PRODUCTS AND FOR ENHANCING SANITARY PRODUCT

DEGRADATION, SYSTEMS AND COMPOSITIONS

Remarks: Title, as Amended: METHOD FOR INHIBITING MICROBIAL INFECTIONS

ASSOCIATED WITH SANITARY PRODUCTS

Due Date/	Reasons for Date/	Action Base			•		
Final Date	Date Type	Date	Action Type	Date Taken	A	ttorne	y
14-May-2000 14-May-2000	Filing Receipt Rec'd? Due Date	14-Apr-2000	Filing Receipt Rec'd?	22-May-2000	IRE	IAB	GJS DLM
22-Jun-2000 22-Jun-2000	Resp to Invi to Correct DUE  Due Date	22-May-2000	PCT Invi to Correct Defects	05-May-1955	IRE	IAB	GJS DLM
14-Jul-2000 14-Jul-2000	Confirm Designations 15M Reminder	14-Apr-1999	Confirm Designations 15M	20-Jun-2000	IŘE	IAB	GJS DLM
20-Jul-2000 20-Jul-2000	Communication No Other For Final	20-Jun-2000	PCT Communication	05-May-1955	IRE	IAB	GJS DLM
22-Jul-2000 22-Aug-2000	Rsp to Invi to Correct BY EXT Due Date	22-May-2000	PCT Invi to Correct Ext	24-Jul-2000	IRE	IAB	GJS DLM
14-Aug-2000 14-Aug-2000	Priority Docs Due at IB 16M Due Date	14-Apr-1999	Priority Docs Due at IB 16M	02-Jun-2000	IRE	IAB	GJS DLM
22-Aug-2000 22-Aug-2000	Rsp to Invi to Correct BY EXT Due Date	22-May-2000	PCT Invi to Correct Ext	05-May-1955	IRE	IAB	GJS DLM
29-Aug-2000 29-Aug-2000	Srch Rpt Rec'd from ISA? 16.5 Due Date	14-Apr-1999	Srch Rpt Rec'd from ISA? 16.5M	21-Aug-2000	IRE	IAB	GJS DLM
14-Sep-2000 14-Nov-2000	ChI/ChII 17M Ltr to Client	14-Apr-1999	ChI/ChII Decision	15-Sep-2000	IRE	IAB	GJS DLM
14-Sep-2000 14-Sep-2000	Cancel Publication? 17M Reminder	14-Apr-1999	Cancel Publication? 17M	05-May-1955	IRE	IAB	GJS DLM
21-Sep-2000 21-Oct-2000	Art 19 Amns Due in 1M Reminder	21-Aug-2000	PCT Search Report	05-May-1955	IRE	IAB	GJS DLM
14-Oct-2000 14-Oct-2000	Publication 18M Due Date	14-Apr-1999	Publication 18M	19-Oct-2000	IRE	IAB	GJS DLM
14-Oct-2000 14-Nov-2000	ChI/ChII 18M Reminder	14-Apr-1999	ChI/ChII Decision	24-Oct-2000	IRE	IAB	GJS DLM
21-Oct-2000 21-Nov-2000	Cite Art to USPTO Due in 1M Reminder	21-Aug-2000	Foreign Prior Art	21-Nov-2000	IRE	IAB	GJS DLM
21-Oct-2000 21-Oct-2000	Art 19 Amns DUE Due Date	21-Aug-2000	PCT Search Report	05-May-1955	IRE	IAB	GJS DLM
14-Nov-2000 14-Nov-2000	CHII DEMAND DEADLINE Final	14-Apr-1999	ChI/ChII Decision	13-Nov-2000	IRE	IAB	GJS DLM

#### **Docket Check Report**

Page: 73

Case Number/
Country/Sub Case Status Application Patent Number Issue Date Inventors

19374-511 Published 14-Apr-2000
WO US00/10222 Farmer, Sean
Lefkowitz, Andrew R.

Title: METHODS FOR INHIBITING MICROBIAL INFECTIONS ASSOCIATED WITH USE OF

SANITARY PRODUCTS AND FOR ENHANCING SANITARY PRODUCT

DEGRADATION, SYSTEMS AND COMPOSITIONS

Remarks: Title, as Amended: METHOD FOR INHIBITING MICROBIAL INFECTIONS

ASSOCIATED WITH SANITARY PRODUCTS

Due Date/ Final Date	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	A	ttorne	y
21-Nov-2000 21-Nov-2000	Cite Art to USPTO DUE Due Date	21-Aug-2000	Foreign Prior Art	21-Nov-2000	IRE	IAB	GJS DLM
14-Dec-2000 14-Dec-2000	CHI NAT'L PHASE DEADLI Final	14-Apr-1999	CHI NAT'L PHASE DEADLINE 20M	05-May-1955	IRE	IAB	GJS DLM
14-Dec-2000 14-Dec-2000	Demand Receipt Rec'd? Due Date	14-Apr-1999	Demand Receipt Rec'd?	13-Nov-2000	IRE	IAB	GJS DLM
29-Mar-2001 29-Apr-2001	Resp to EP Opinion Due in 1M Reminder	29-Jan-2001	PCT Opinion EP 3M	29-Mar-2001	IRE	IAB	GJS DLM
29-Mar-2001 29-Apr-2001	Cite Art to USPTO Due in 1M Reminder	29-Jan-2001	Foreign Prior Art	29-Mar-2001	IRE	IAB	GJS DLM
14-Apr-2001 14-Apr-2001	Opinion Rec'd from IPEA? 24 Due Date	14-Apr-1999	Opinion Rec'd from IPEA? 24M	29-Jan-2001	IRE	IAB	GJS DLM
29-Apr-2001 29-Apr-2001	Cite Art to USPTO DUE Due Date	29-Jan-2001	Foreign Prior Art	05-May-1955	IRE	IAB	GJS DLM
29-Apr-2001 29-Apr-2001	Resp to EP Opinion DUE Due Date	29-Jan-2001	PCT Opinion EP 3M	29-Apr-2001	IRE	IAB	GJS DLM
14-Jul-2001 14-Oct-2001	ChII 27M Ltr to Client	14-Apr-1999	ChII Decision	15-Aug-2001	IRE	IAB	GJS DLM
14-Aug-2001 14-Oct-2001	ChII 28M Reminder	14-Apr-1999	ChII Decision	15-Aug-2001	IRE	IAB	GJS DLM
29-Aug-2001 29-Aug-2001	IPER Rec'd from IPEA? 28.5M Due Date	14-Apr-1999	IPER Rec'd from IPEA? 28.5M	11-Jul-2001	IRE	IAB	GJS DLM
11-Sep-2001 11-Oct-2001	Cite Art to USPTO Due in 1M Reminder	11-Jul-2001	Foreign Prior Art	05-May-1955	IRE	IAB	GJS DLM
14-Sep-2001 14-Oct-2001	ChII 29M Reminder	14-Apr-1999	ChII Decision	13-Sep-2001	IRE	IAB	GJS DLM
11-Oct-2001 11-Oct-2001	Cite Art to USPTO DUE Due Date	11-Jul-2001	Foreign Prior Art		IRE	IAB	GJS DLM
14-Oct-2001 14-Oct-2001	If US designated, file US NAT Final	14-Apr-1999	ChII Decision	17-Sep-2001	IRE	IAB	GJS DLM
14-Oct-2001 14-Oct-2001	CHII NAT'L PHASE DEADLI Final	14-Apr-1999	ChII Decision	17-Sep-2001	IRE	IAB	GJS DLM

#### **Docket Check Report**

Page: 74

Case Number/
Country/Sub Case





Issue Date Inventors

19374-511

Status Published

14-Apr-2000

Farmer, Sean

wo

US00/10222

Lefkowitz, Andrew R.

Title: METHODS FOR INHIBITING MICROBIAL INFECTIONS ASSOCIATED WITH USE OF

SANITARY PRODUCTS AND FOR ENHANCING SANITARY PRODUCT

DEGRADATION, SYSTEMS AND COMPOSITIONS

Remarks: Title, as Amended: METHOD FOR INHIBITING MICROBIAL INFECTIONS

ASSOCIATED WITH SANITARY PRODUCTS

EP 00923406.3

Due Date/

Reasons for Date/

**Action Base** 

Final Date Date Type

Date

**Action Type** 

**Patent Number** 

Date Taken

Attorney

# **Docket Check Report**

Page: 75

Case Number/ Country/Sub Case

Status

Filing Date/ Application

Patent Number

Issue Date

**Inventors** 

Farmer, Sean

19374-517 PRO

US

Pending

05-Nov-2001

60/338785

Title: IMPROVED PROBIOTIC COMPOSITIONS

Due Date/ Final Date	Reasons for Date/ Date Type	Action Base Date	Action Type	Date Taken	Attorne	y
05-Feb-2002 05-Feb-2002	Filing Receipt Rec'd? Due Date	05-Nov-2001	Filing Receipt Rec'd?	IRE	IAB	GJS DLM
05-Jul-2002 05-Nov-2002	Foreign 8M Letter Due Date	05-Nov-2001	Foreign Filing	IRE	IAB	GJS DLM
05-Jul-2002 05-Nov-2002	Provisional 8M Letter Due Date	05-Nov-2001	Conversion	IRE	IAB	GJS DLM
05-Sep-2002 05-Nov-2002	Foreign 10M Reminder Due Date	05-Nov-2001	Foreign Filing	IRE	IAB	GJS DLM
05-Sep-2002 05-Nov-2002	Convert from Provisional? 10 Due Date	05-Nov-2001	Conversion	IRE	IAB	GJS DLM
05-Oct-2002 05-Nov-2002	Foreign 11M Reminder Due Date	05-Nov-2001	Foreign Filing	IRE	IAB	GJS DLM
05-Oct-2002 05-Nov-2002	Convert from Provisional? 11 Due Date	05-Nov-2001	Conversion	IRE	IAB	GJS DLM
05-Nov-2002 05-Nov-2002	FOREIGN 12M DEADLINE Final	05-Nov-2001	Foreign Filing	IRE	IAB	GJS DLM
05-Nov-2002 05-Nov-2002	CONVERSION DEADLINE 1 Final	05-Nov-2001	Conversion	IRE	IAB	GJS DLM

Express Mail No. EV05429979 Date of Deposit: May 3, 2002

cket No: 19374-501

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:

Farmer et al.

ASSIGNEE:

Ganeden BioTech, Inc.

SERIAL NUMBER:

09/509,159

EXAMINER: Not yet assigned

FILING DATE:

March 22, 2000

ART UNIT:

Not yet assigned

FOR:

TOPICAL USE OF PROBIOTIC BACILLUS SPORES TO PREVENT OR

CONTROL MICROBIAL INFECTIONS

May 3, 2002

Boston, Massachusetts

Commissioner for Patents Washington, D.C. 20031

#### STATEMENT/AFFIDAVIT OF FACTS IN SUPPORT OF PETITION TO WITHDRAW HOLDING OF ABANDONMENT

This statement is made as to the exact facts that are relied upon to establish the diligent effort made show the Decision mailed on June 30, 2000 was not received by the attorney of record.

Pursuant to 37 C.F.R. §1.181(b), the undersigned hereby states that the Decision initially mailed from the U.S. Patent and Trademark Office on June 30, 2000 was not received by our office.

During routine maintenance of files, it was brought to my attention that no information, with the exception of the postcard we provided with the original application submission, was received from the U.S. Patent Office. My office made phone calls to the U.S. Patent Office (telephone number: 703-305-0045) on February 1, 2002 and February 5, 2002. On February 5, 2002, Anthony Smith from the Patent Cooperation Treaty Legal Office returned the calls and followed up by faxing the abovereferenced Decision to my attention. I have attached the faxed petition showing receipt on February 5, 2002 at 10:41 A.M.

Appendix A (attached) shows proof by the way of a print out of Mintz Levin Cohn Ferris Glovsky and Popeo's docket where the decision would have been entered had it been received and docketed and Appendix B (attached) shows proof by the way of a print out of Mintz Levin Cohn Ferris Glovsky and Popeo's docket report for the client and matter number the information would have been entered in had it been received.

APPLICANTS: U.S.S.N.:

Farmer et al. 09/509,159

I hereby state that Mintz Levin Cohn Ferris Glovsky & Popeo has not received the Decision on Petition under 37 CFR 1.137(b) and 37 CFR 1.47(a) or any other documents or information relating to the above-referenced case.

This statement is being made by the available person having first-hand knowledge of the facts recited therein.

Dated: May 3, 2002

Respectfully submitted,

Ingrid A. Beattie) and Reg. No.42,306

Attorney(s) for Applicants

c/o MINTZ, LEVIN, COHN, FERRIS, GLOVSKY & POPEO, P.C.

One Financial Center Boston, Massachusetts 02111

Tel: (617) 542-6000 Fax: (617) 542-2241

TRA 1629527v1

RECEIVED
MAY 0 8 2002
OFFICE OF PETITIONS

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:

Farmer et al.

ASSIGNEE:

Ganeden BioTech, Inc.

SERIAL NUMBER:

09/509,159

EXAMINER:

Not yet assigned

FILING DATE:

March 22, 2000

ART UNIT:

Not yet assigned

FOR:

TOPICAL USE OF PROBIOTIC BACILLUS SPORES TO PREVENT OR

CONTROL MICROBIAL INFECTIONS

May 3, 2002

Boston, Massachusetts

Commissioner for Patents Washington, D.C. 20031

# RESPONSE TO DECISION ON PETITION UNDER 37 CFR 1.137(b) AND 37 CFR 1.47(a)

Sir:

This is in response to the Decision on Petition Under 37 CFR 1.137(b) and 37 CFR 1.47(a) mailed on June 30, 2000 and received on February 5, 2002. Applicants submit herein a Combined Declaration and Power of Attorney document from Robert J. Mikhail. Applicants have filed concurrently herewith a petition to Withdraw Holding of Abandonment along with a check to cover the \$130.00 petition fee pursuant to 37 C.F.R. § 1.181. Please charge any additional fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311, Reference No. 19374-501.

Respectfully submitted,

Ingrid A. Beattie) and Reg. No.42,306

Attorney(s) for Applicants c/o MINTZ, LEVIN

One Financial Center

Boston, Massachusetts 02111

Tel: (617) 542-6000 Fax: (617) 542-2241 RECEIVED

MAY 0 8 2002

OFFICE OF PETITIONS

Dated: May 3, 2002

TRA 1629571v1



Attorne ocket No.: 19374-501

# COMBINED DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I an original, first and joint inventor of the subject matter which is claimed and for which a utility patent is sought on the invention entitled:

# TOPICAL USE OF PROBIOTIC BACILLUS SPORES TO PREVENT OR CONTROL INFECTIONS

			201101.0				
the spe	ecification of	which:					
$\boxtimes$	was filed on April 18, 1997, as United States Provisional Application U.S.S.N. 60/044,643, bearing Attorney Docket No. 19374-501.						
	is attached hereto.						
	-	have reviewed and understand ting the claims, as amended by a					
		uty to disclose information which dance with Title 37, Code of Fed			this		
	I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT International application designating at least one country other than the United States listed below and have also identified below any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed.						
	Appln.	Country	Filing Date	Priority	Claimed		
	Number (if PCT, so indicate) (dd/mm/yy) Yes No						
	US98/07307 PCT 04/10/98						



 $\boxtimes$ 



I hereby claim the benefit under Title 35, United States Code, § 119(e) or §120 of any United States application(s), or §365(c) of any PCT International application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

Application No. (U.S.S.N.)	Filing Date (dd/mm/yy)	Status (Patented, Pending, Abandoned)
60/044,643	04/18/97	Abandoned

PCT International Applications designating the United States:

PCT Appln No.	US Serial No.	PCT Filing Date	Status
PCT/US98/07307		04/10/98	Pending

I hereby appoint the following attorneys and/or agents to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Attorney or Agent	Registration No.	Attorney or Agent	Registration No.
Kevin N. Ainsworth	39,586	Christina V. Karnakis	45,899
Ingrid A. Beattie	42,306	Robert V. Klauzinski	42,742
William Belanger	40,509	Kristin E. Konzak	44,848
Naomi Biswas	38,384	Cynthia Kozakiewicz	42,764
Duane Blake	47,279	Barry J. Marenberg	40,715
Yong Choi	43,324	William A. Marino	44,219
David F. Crosby	36,400	A. Jason Mirabito	28,161
Brett N. Dorny	35,860	Michel Morency	Limited Recognition
Marianne Downing	42,870	Bradley Olson	40,750
Ivor R. Elrifi	39,529	Carol H. Peters	45,010
Heidi A. Erlacher	45,409	David W. Poirier	43,007
Christina Gadiano	37,628	Michael Renaud	44,299
Richard Gervase	P-46,725	Brian Rosenbloom	41,276
John A. Harre	37,345	Robert J. Sayre	42,124
Brian P. Hopkins	42,669	Thomas M. Sullivan	39,392
Shane Hunter	41,858	Janine M. Susan	46,119
David E. Johnson	41,874	Howard Susser	33,556
Kris Kalidindi	41,461	Shelby J. Walker	45,192





Address all telephone calls to Ivor R. Elrifi at telephone number 617/348-1747. Address all correspondence to:

# Ivor R. Elrifi Mintz, Levin, Cohn, Ferris, Glovsky and Popeo, P.C. One Financial Center Boston, Massachusetts 02111

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or patent issued thereon.

Inventor's Signature	Date
inventor s orginature	Date

Full Name of Inventor: Sean Farmer

Citizenship: United States

Residence: 8938-6 Villa La Jolla Drive, La Jolla, California 92037

Post Office Address: 8938-6 Villa La Jolla Drive, La Jolla, California 92037

Inventor's Signature Date

Full Name of Inventor: Robert J. Mikhail

Citizenship: United States

Residence: 1493-B, East Lexington Avenue, El Cajon, California 92019

Post Office Address: 1493-B, East Lexington Avenue, El Cajon, California 92019

TRADOCS:1294479.1(RQTR01!.DOC) 02/10/00



Address all telephone calls to Ivor R. Elrifi at telephone number 617/348-1747 Address all correspondence to:

> Ivor R. Elrifi Mintz, Levin, Colm, Ferris, Glovsky and Popeo, P.C. One Financial Center Boston, Massachusetts 02111

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or patent issued thereon.

Date

Inventor's Signature

Full Name of Inventor: Sean Farmer

Citizenship: United States

Residence: 8938-6 Villa La Jolla Drive, La Jolla, California 92037

Post Office Address 18938 6 Wille La Jolla Drive, La Jolla, California 92037

Inventor's Signature

Full Name of Inventor: Robert J. Mikhail

Citizenship: United States Residence: 1492-P., Bast Lexington Avenue, El Cajon, California 92019

Post Office Address: 1493-B, Figut Loxington Avenue, El Cajon, California 92019-

Residence: 13310 Morning Glory Dr., Lakeside, CA 9
Post Office Address: P.O. Box 21424, El Cajon, CA 92040

TRADOCS11294479.1(RQTR011.DOC) 02/10/00